

Why You  
Can't Tie Your Shoes  
in the Fourth Dimension

*(but you can knot  
a basketball)*



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# Theorem:

You can't tie a string in a knot in 4 dimensional space

How do we picture 4 dimensions?

How do we prove things about 'floppy' objects like ropes?

...this result is only cool if you first know that you *can* tie knots in 3D!

# **Knots in 3 Dimensions**

Proving they actually exist

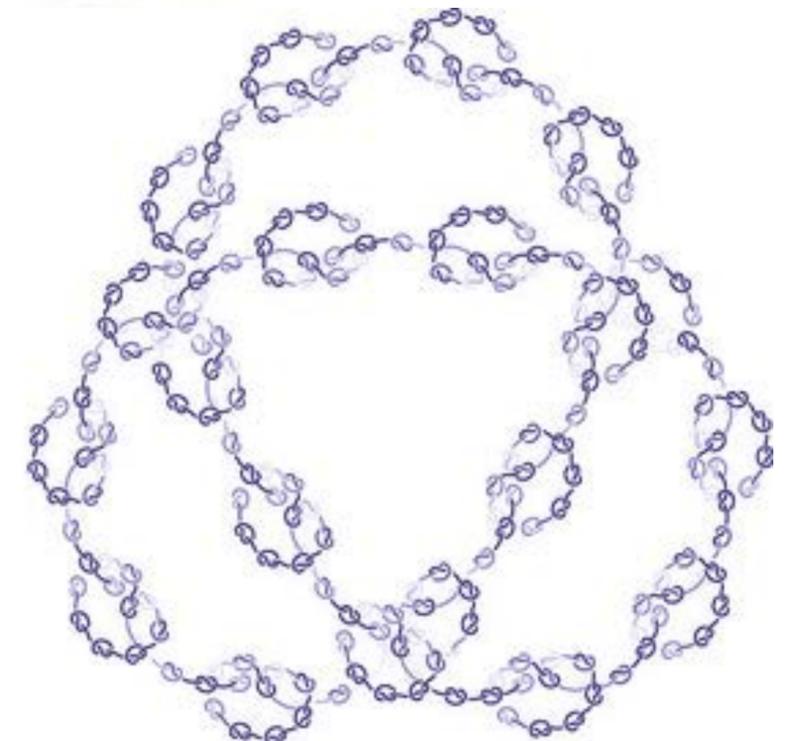
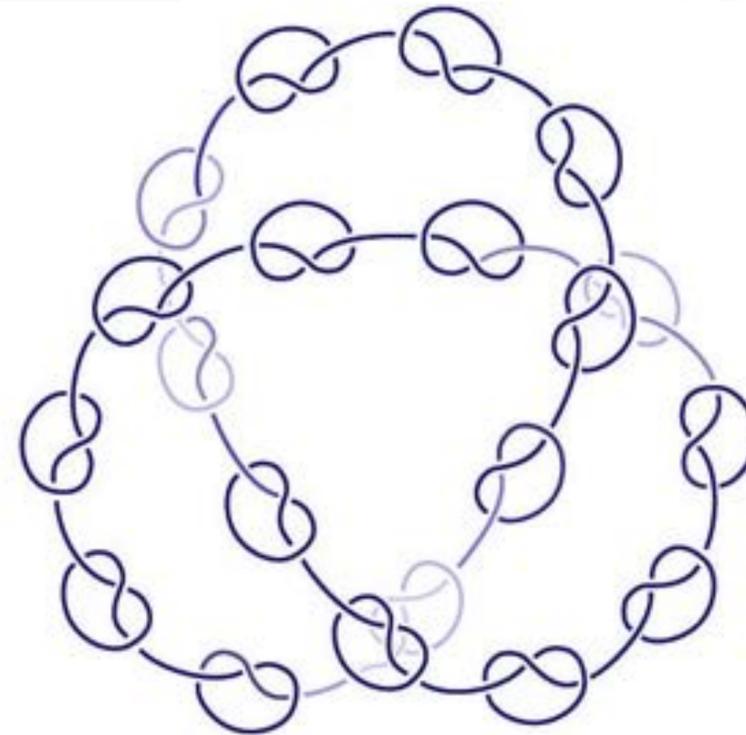
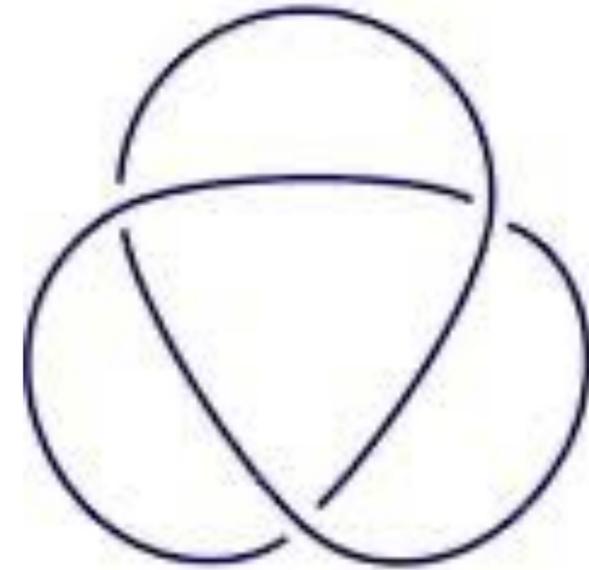
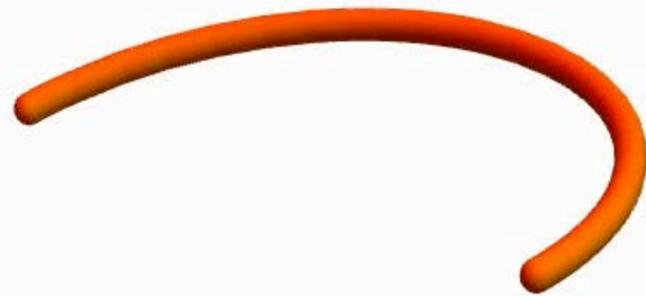
# Defining a Knot

A *knot* is an embedding of a compact 1-dimensional curve into 3-dimensional space.



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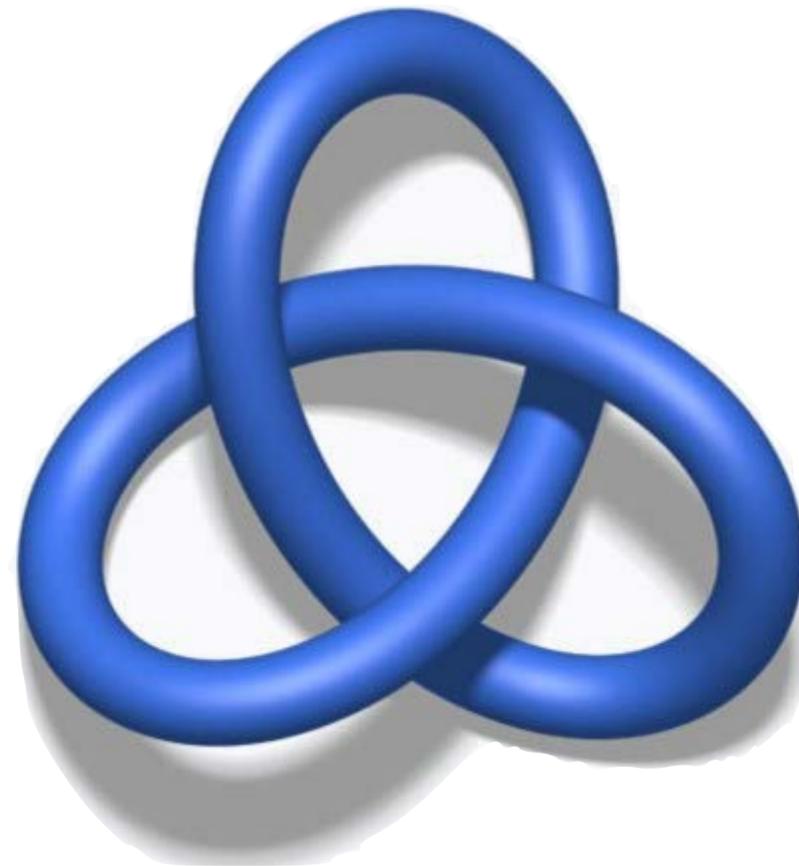
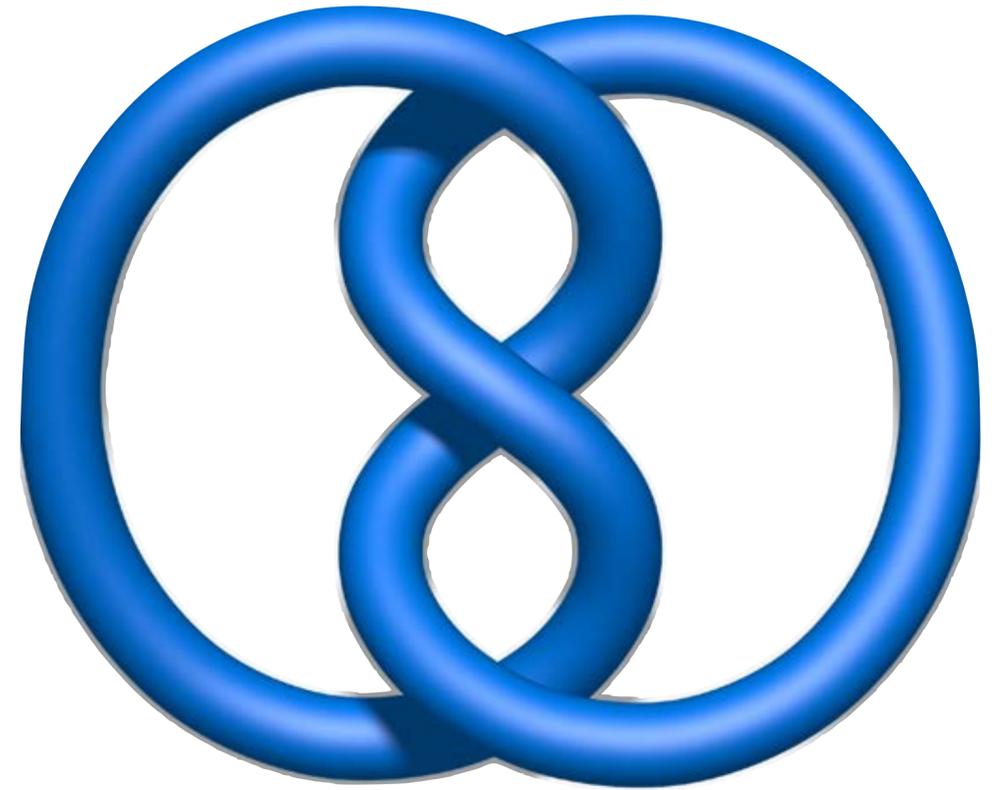
*Make smooth, or piecewise linear (avoid “wild knots”)*

# Knot Equivalence

Two knots  $K, L$  are equivalent if there is a homeomorphism  $h: \mathbb{R}^3 \rightarrow \mathbb{R}^3$  taking  $K$  to  $L$ .

## Theorem:

*This is equivalent to “ambient isotopy”: a continuous path of homeomorphisms of  $\mathbb{R}^3$  taking  $K$  to  $L$*



# Types of Knots

*Two compact one manifolds:  
intervals and circles*



## Theorem:

There are no (nontrivially) knotted intervals

Given any  $\gamma: [0,1] \rightarrow \mathbb{R}^3$ ,  
ambient isotopic to  
shrinking the interval

Eventually, its short enough  
that its approximately its  
tangent line

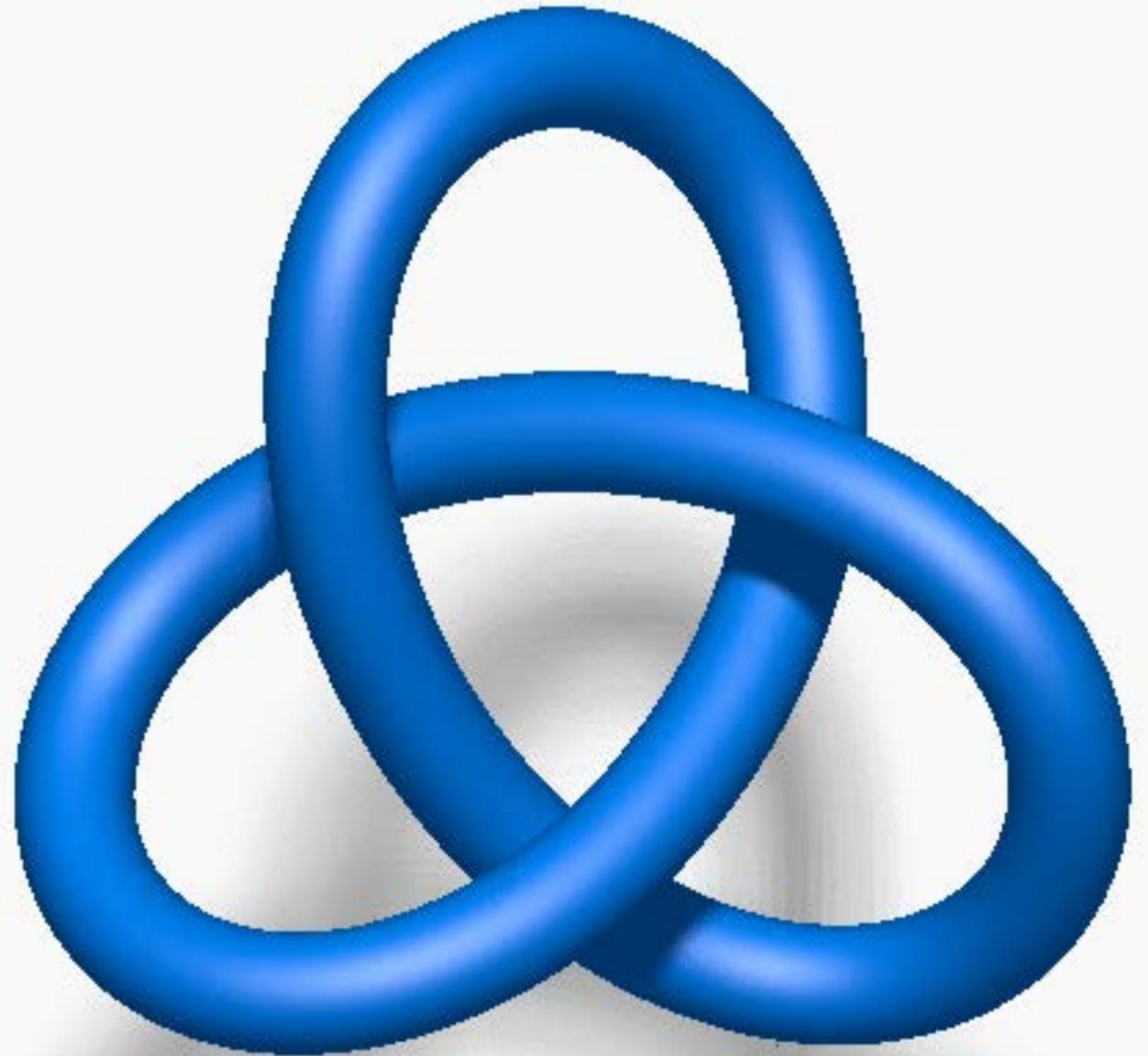
Thus all such are isotopic to a line segment.



But there must be....

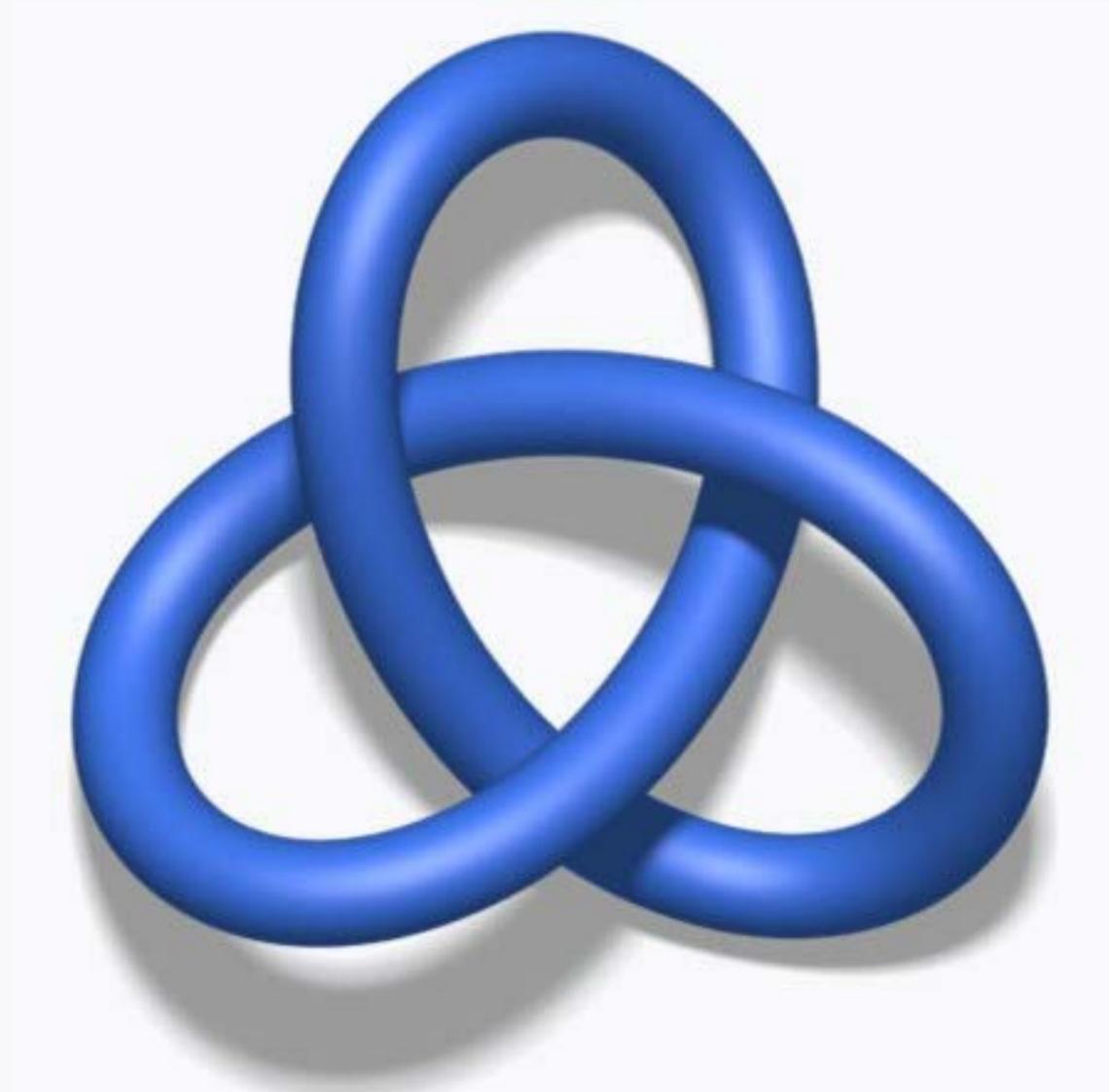
# Knotted Circles?

We need to somehow  
get a handle on "all  
possible ambient  
isotopies"

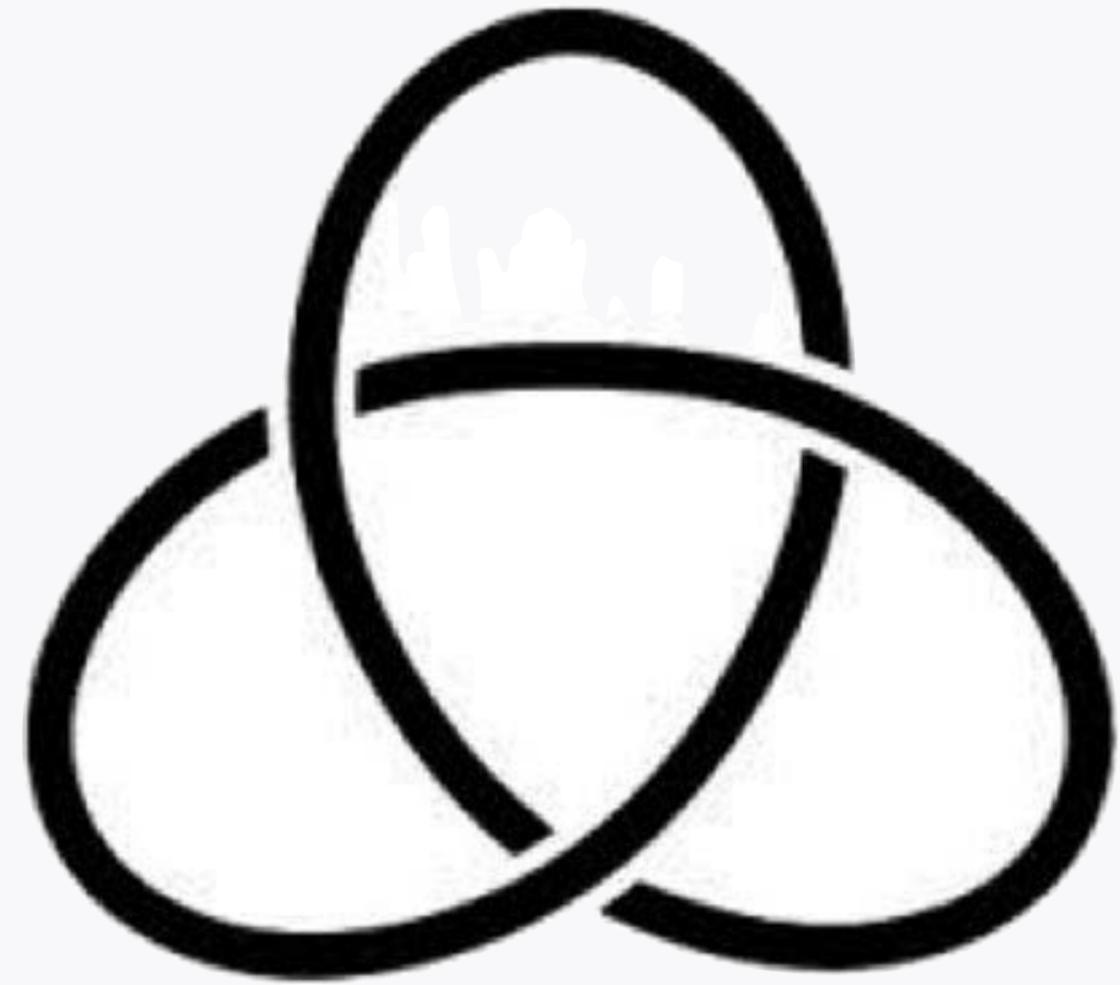


# Knot Projections

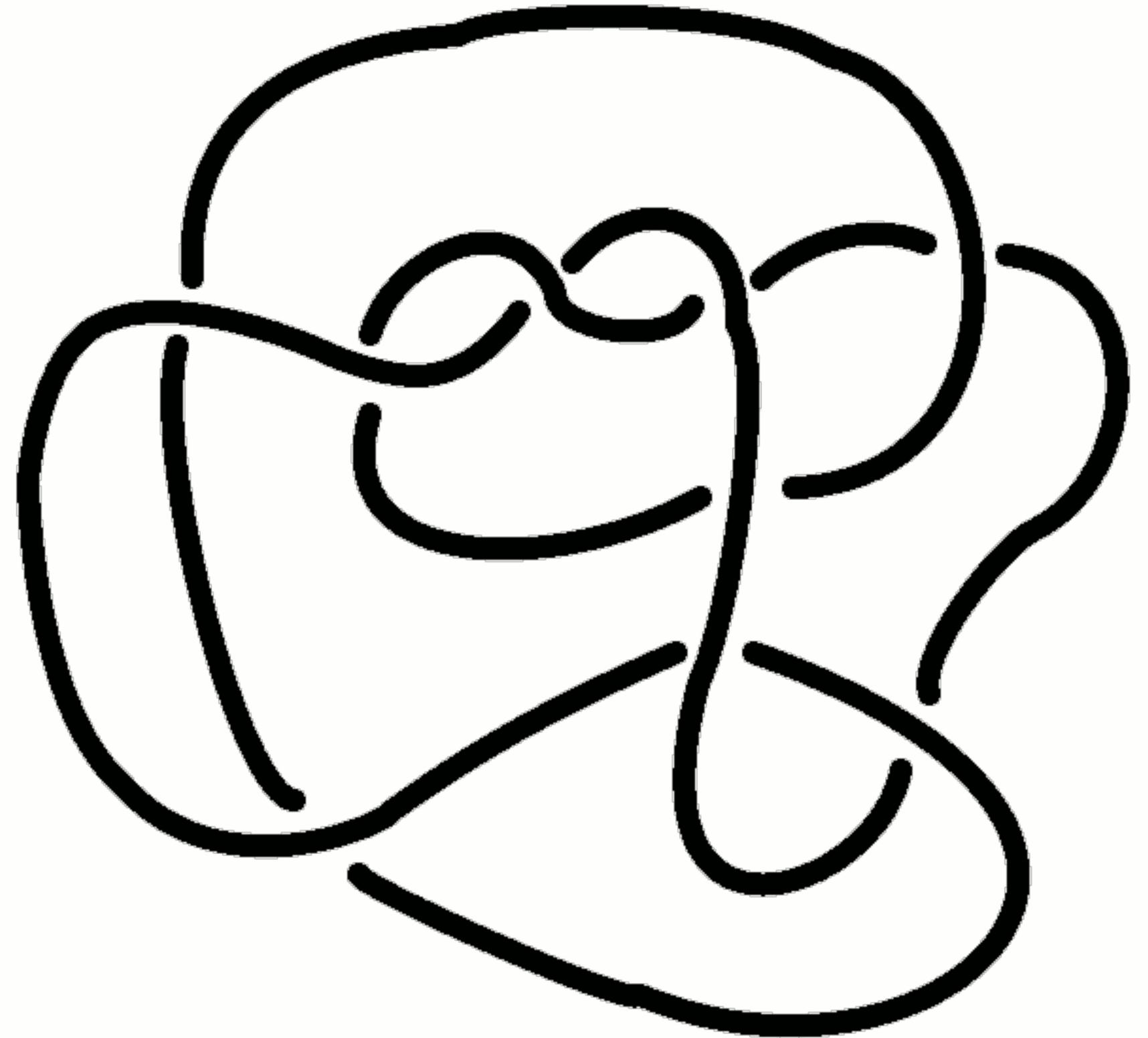
Draw a 2D view of a knot via  
orthogonal projection  $\mathbb{R}^3 \rightarrow \mathbb{R}^2$

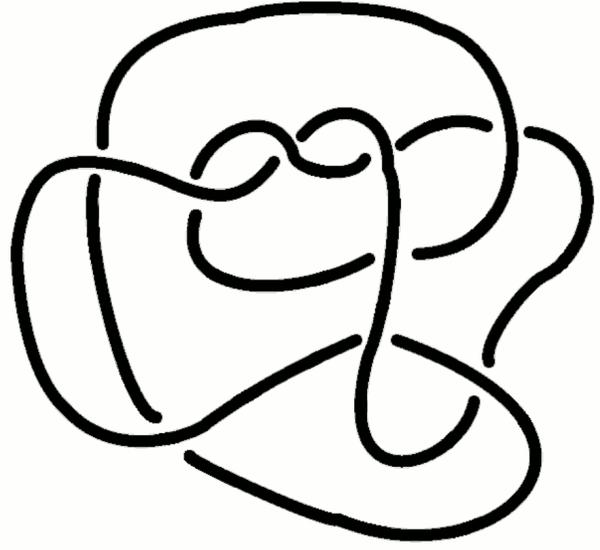


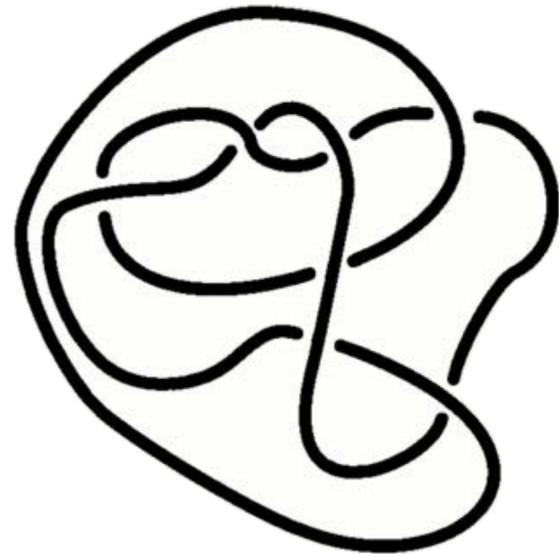
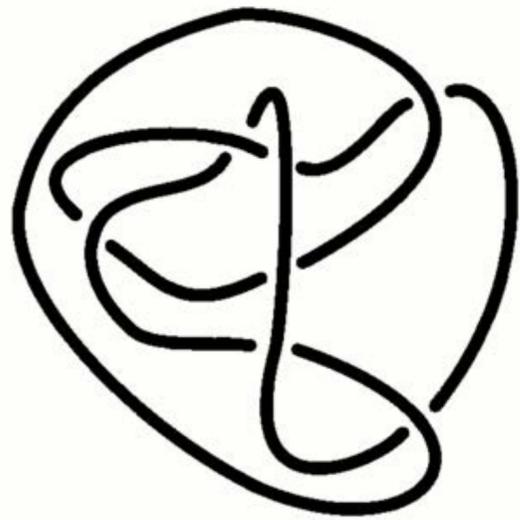
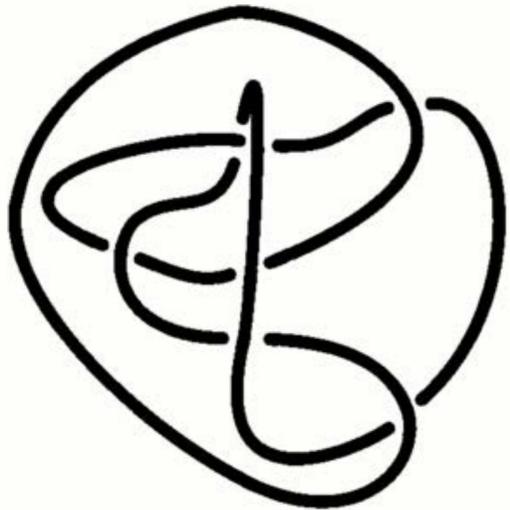
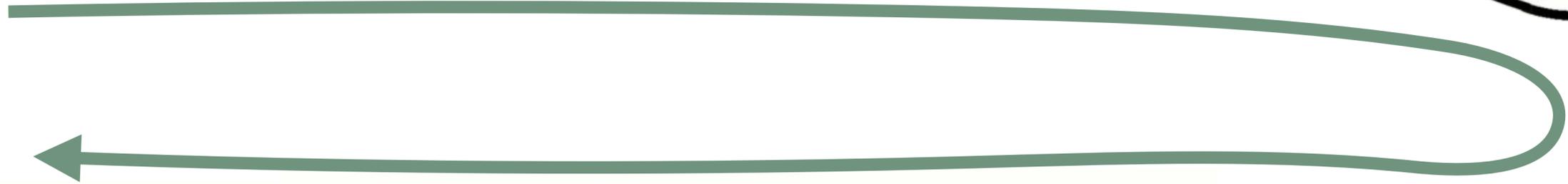
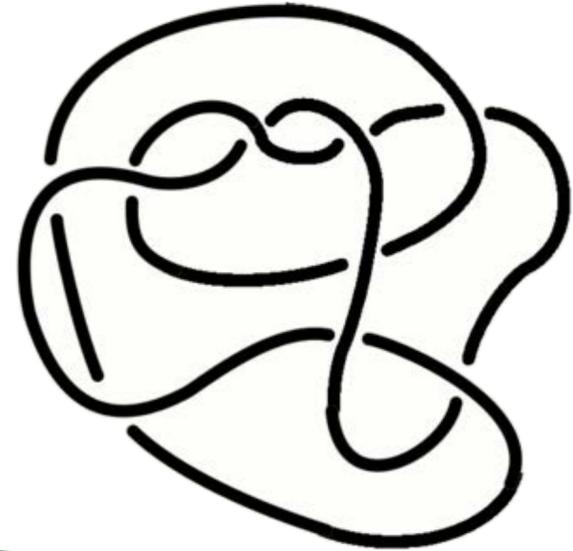
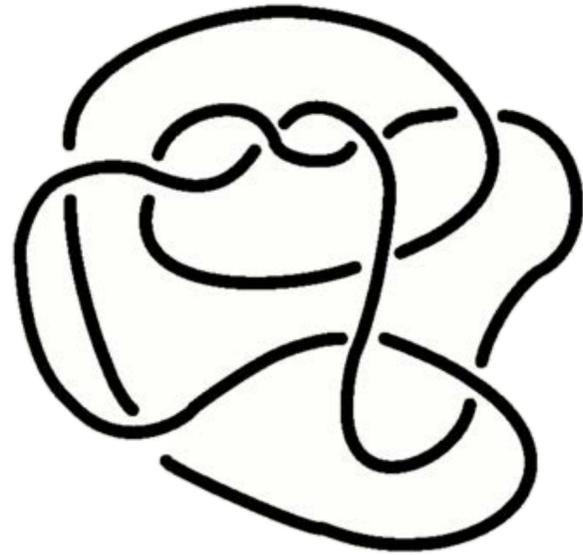
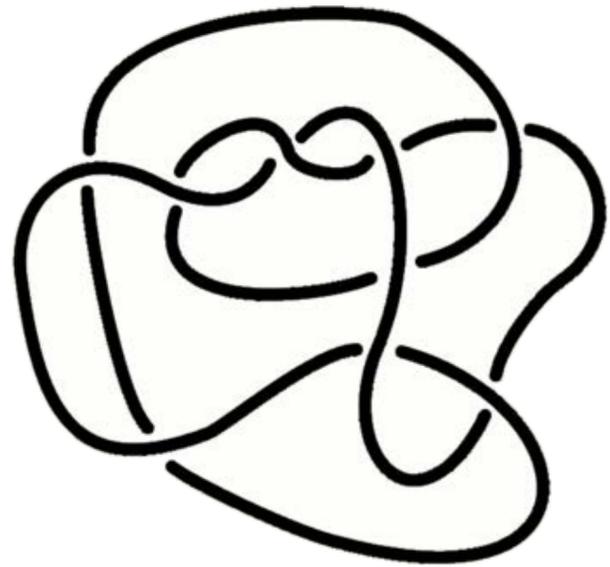
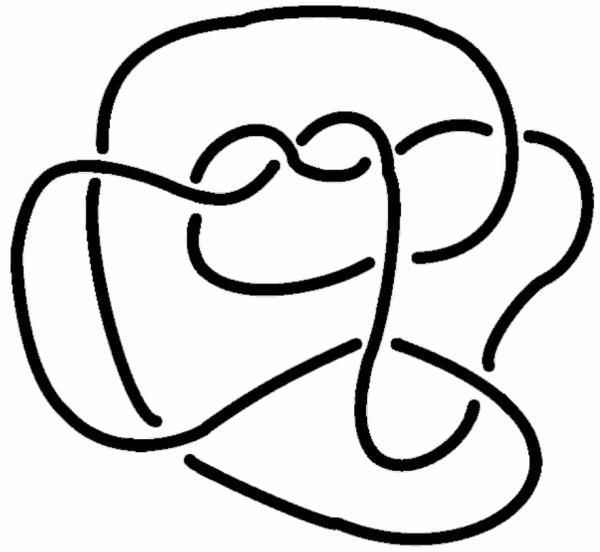
*Broken strands =  
under crossings*

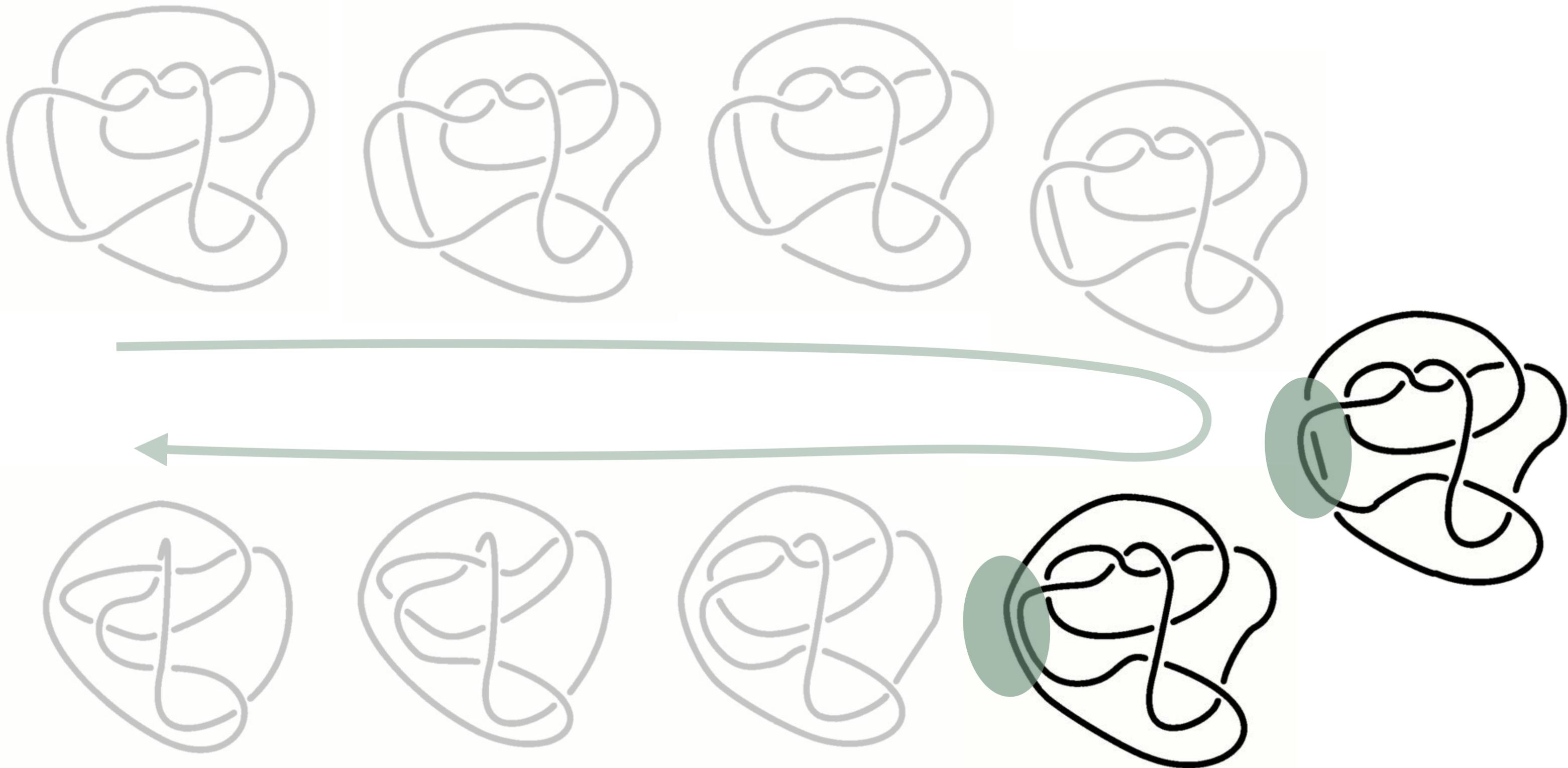


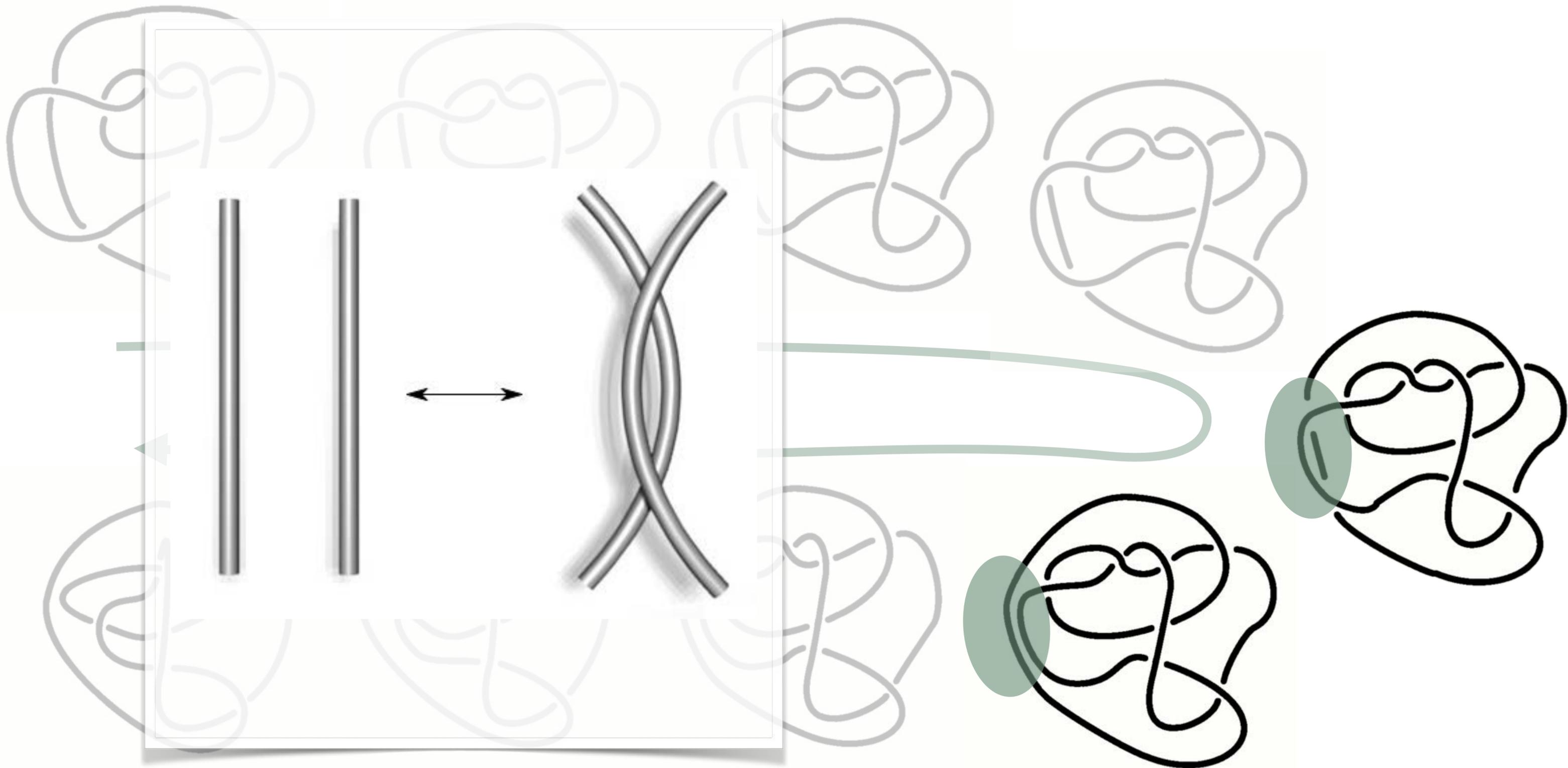
What do we “see” when  
we watch an isotopy  
unfold?

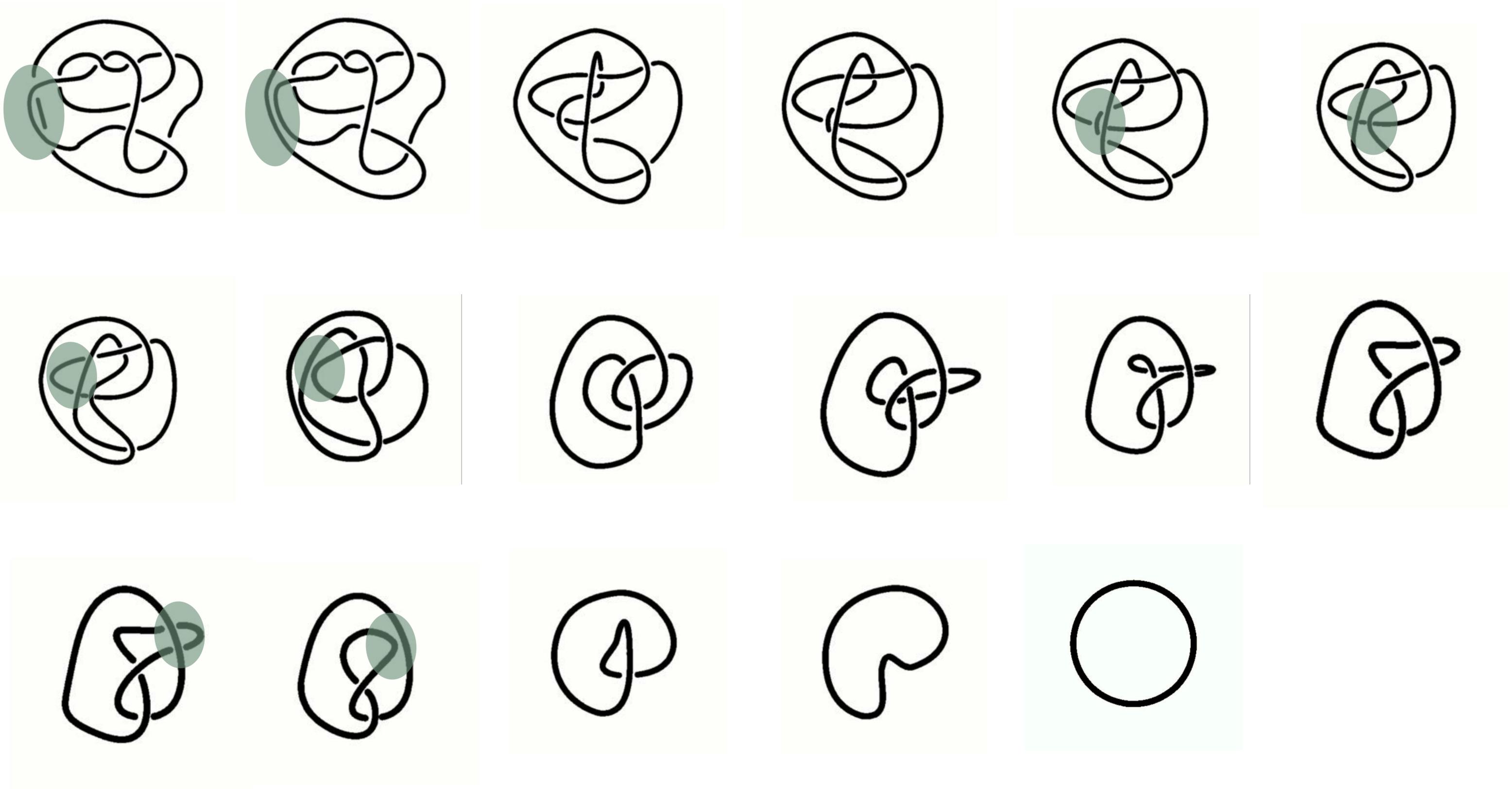


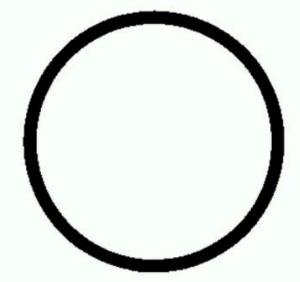
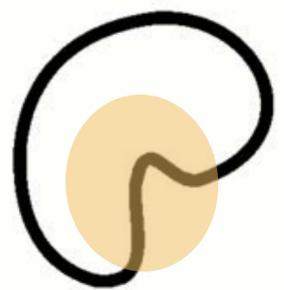
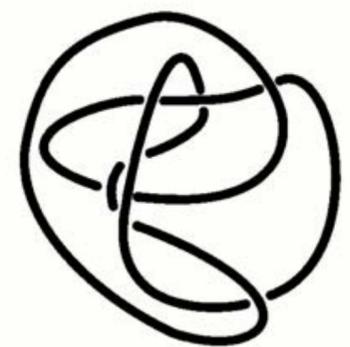
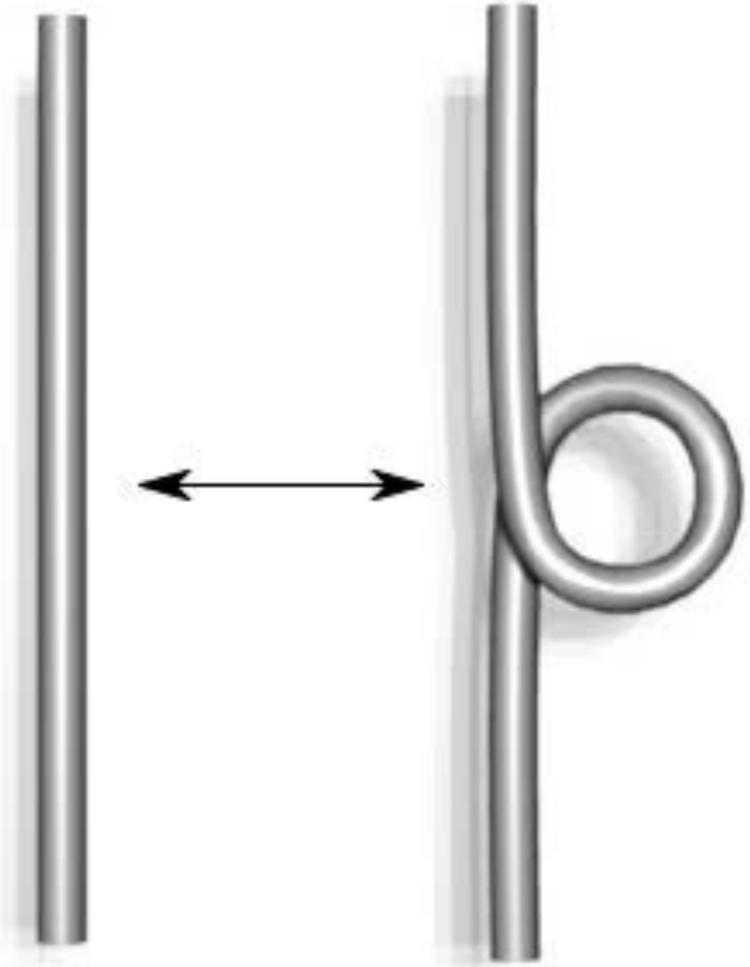
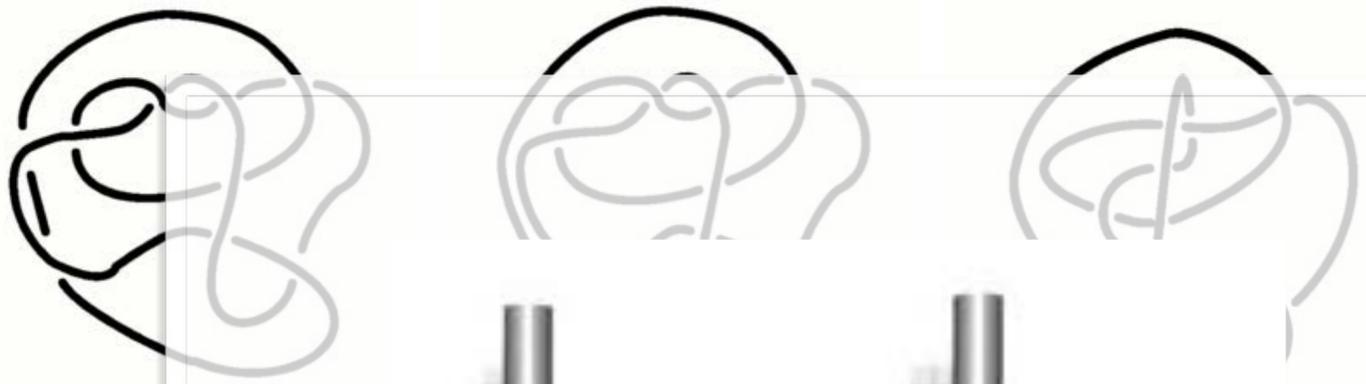


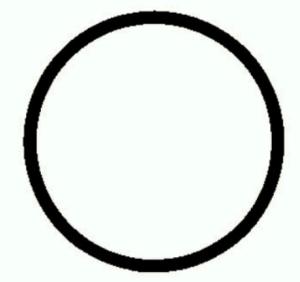
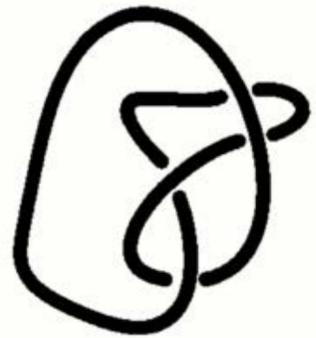
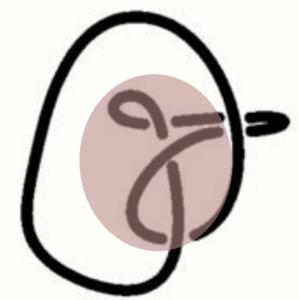
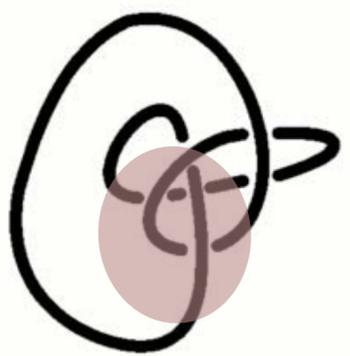
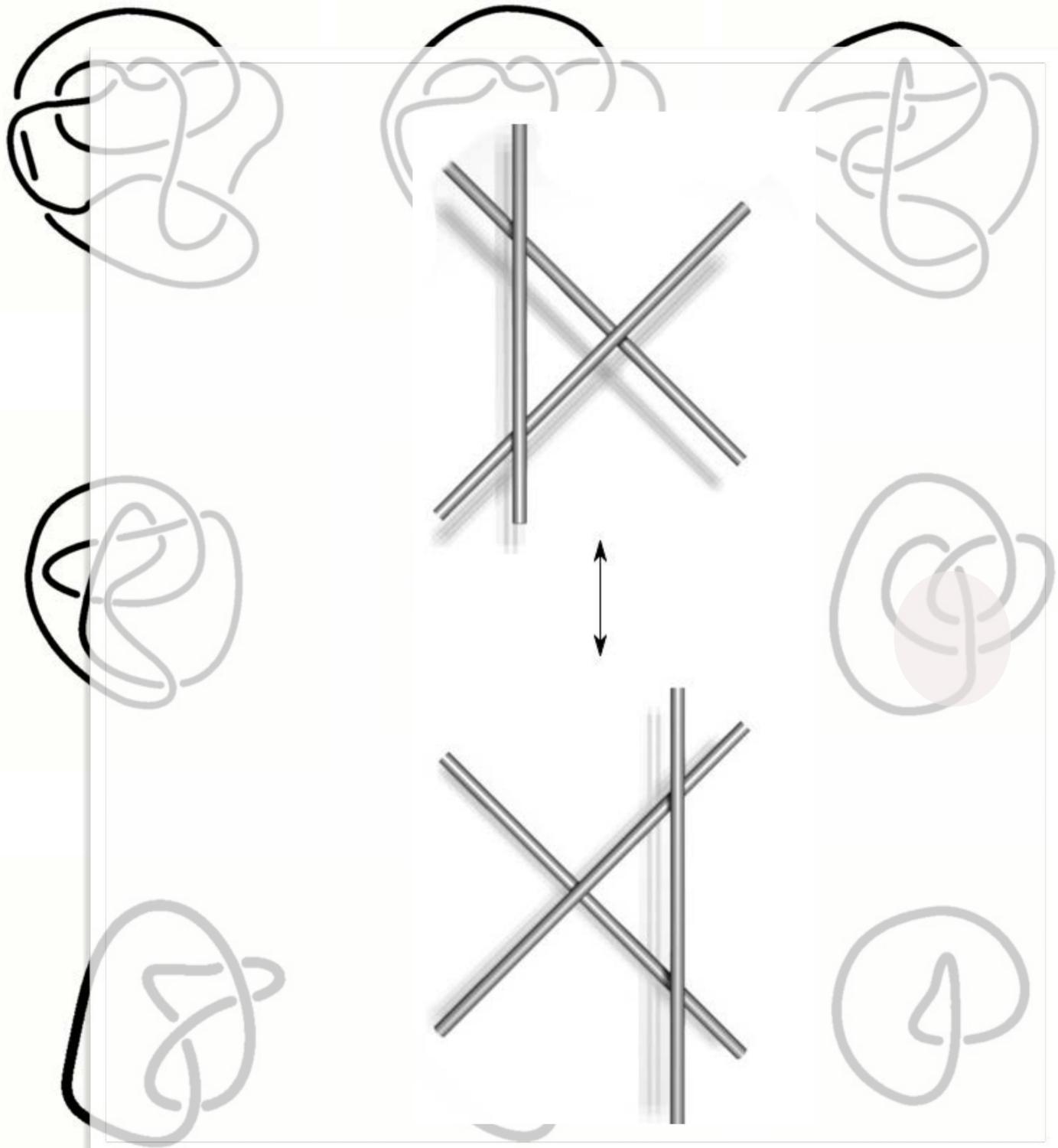








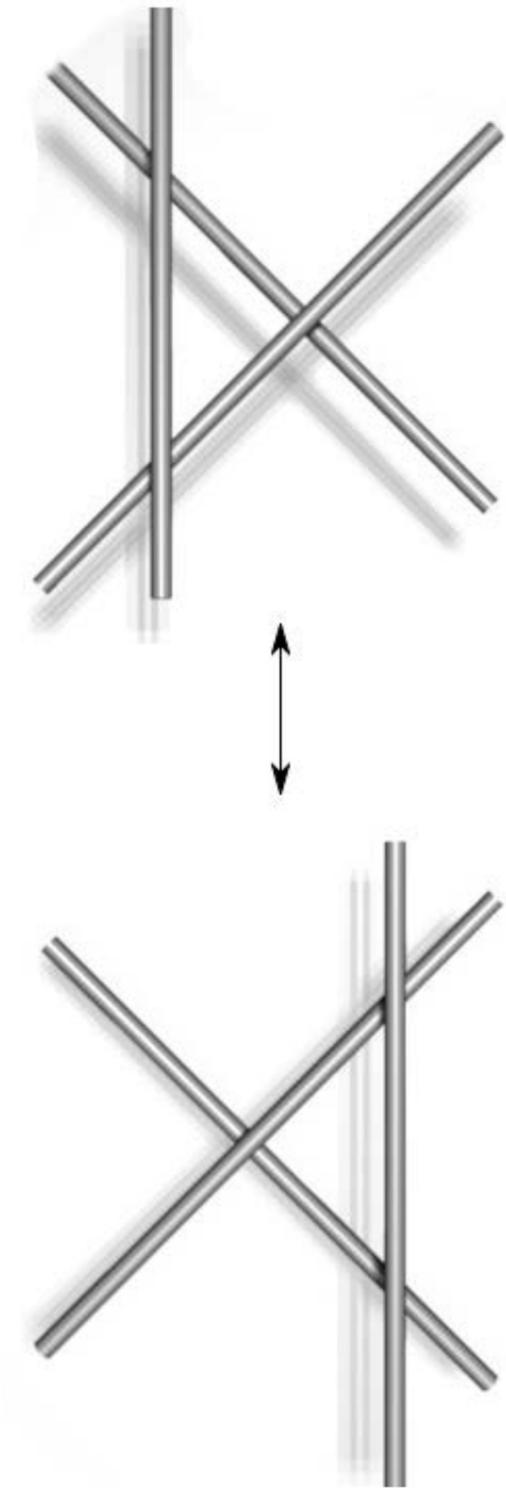
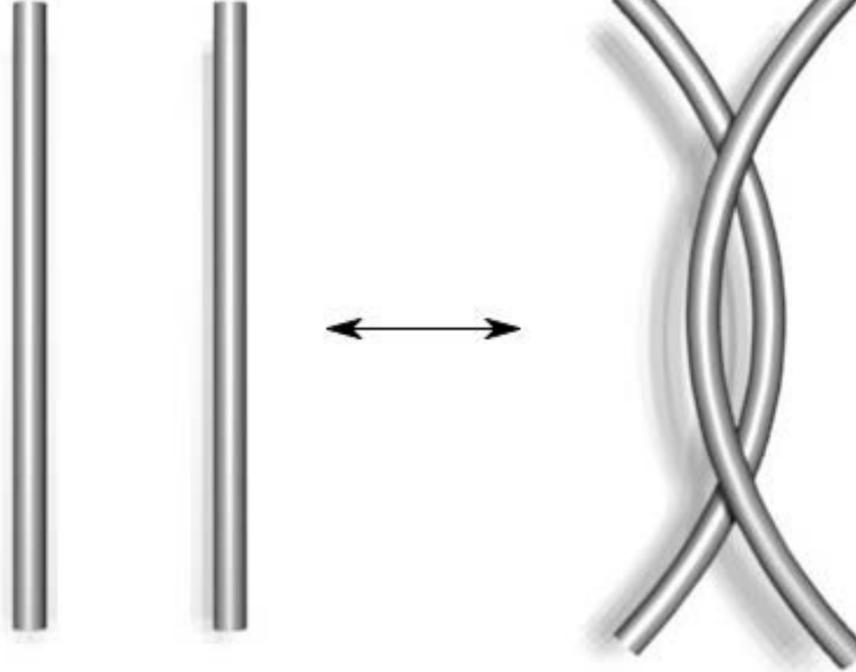
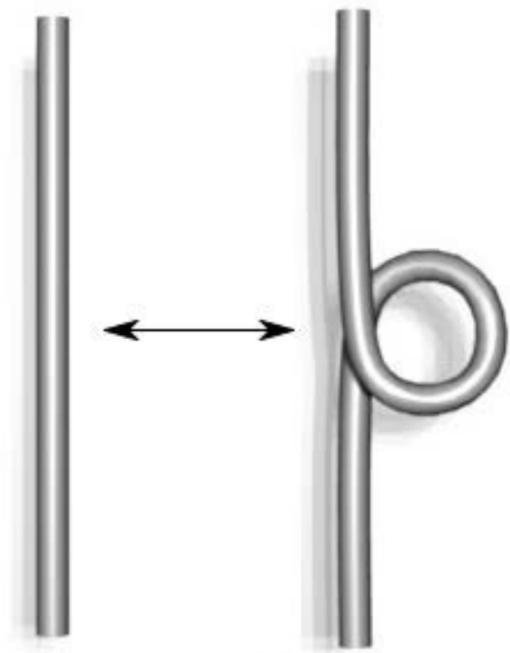




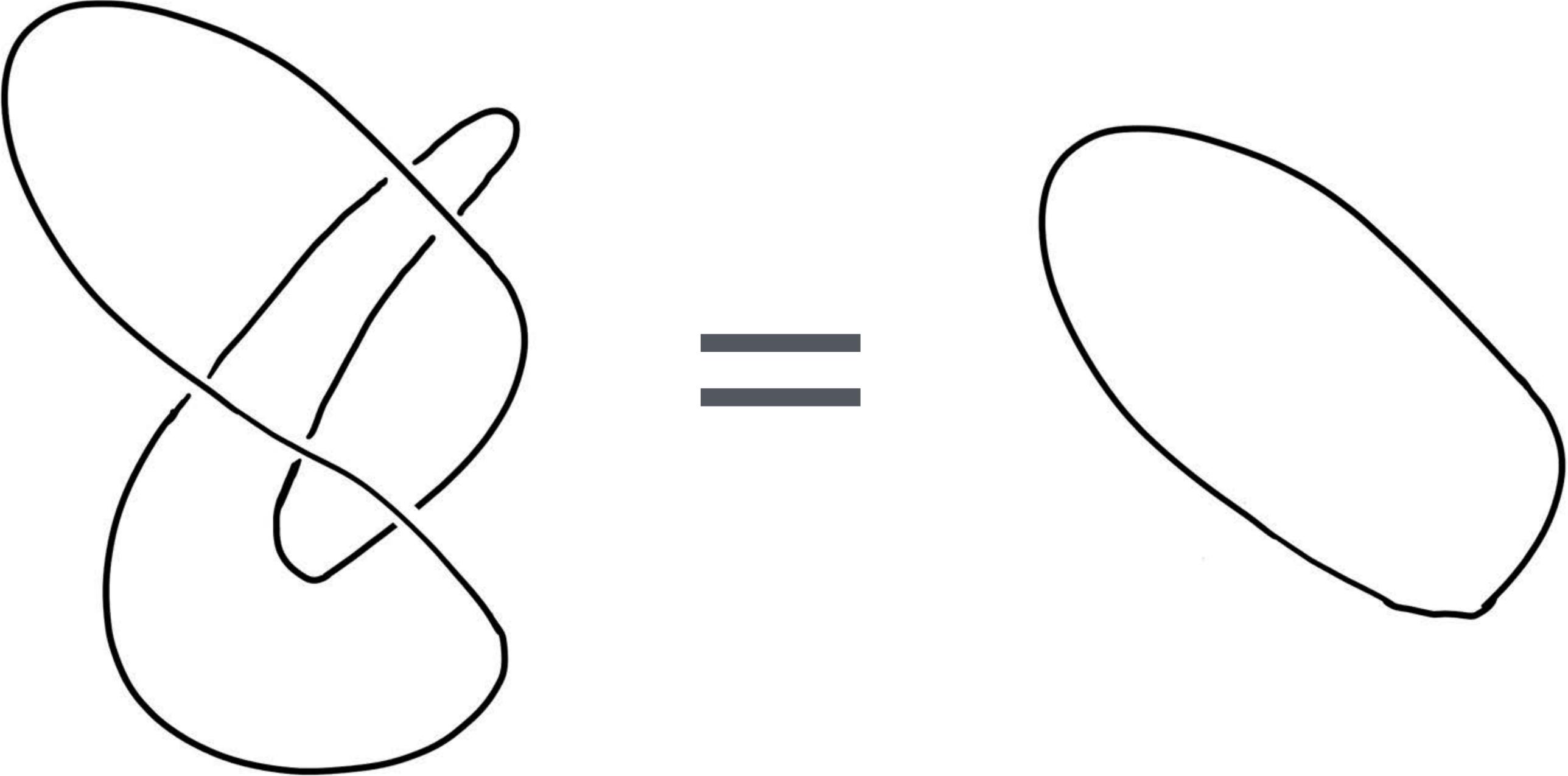
**(1927)**

# Reidemeister's Theorem

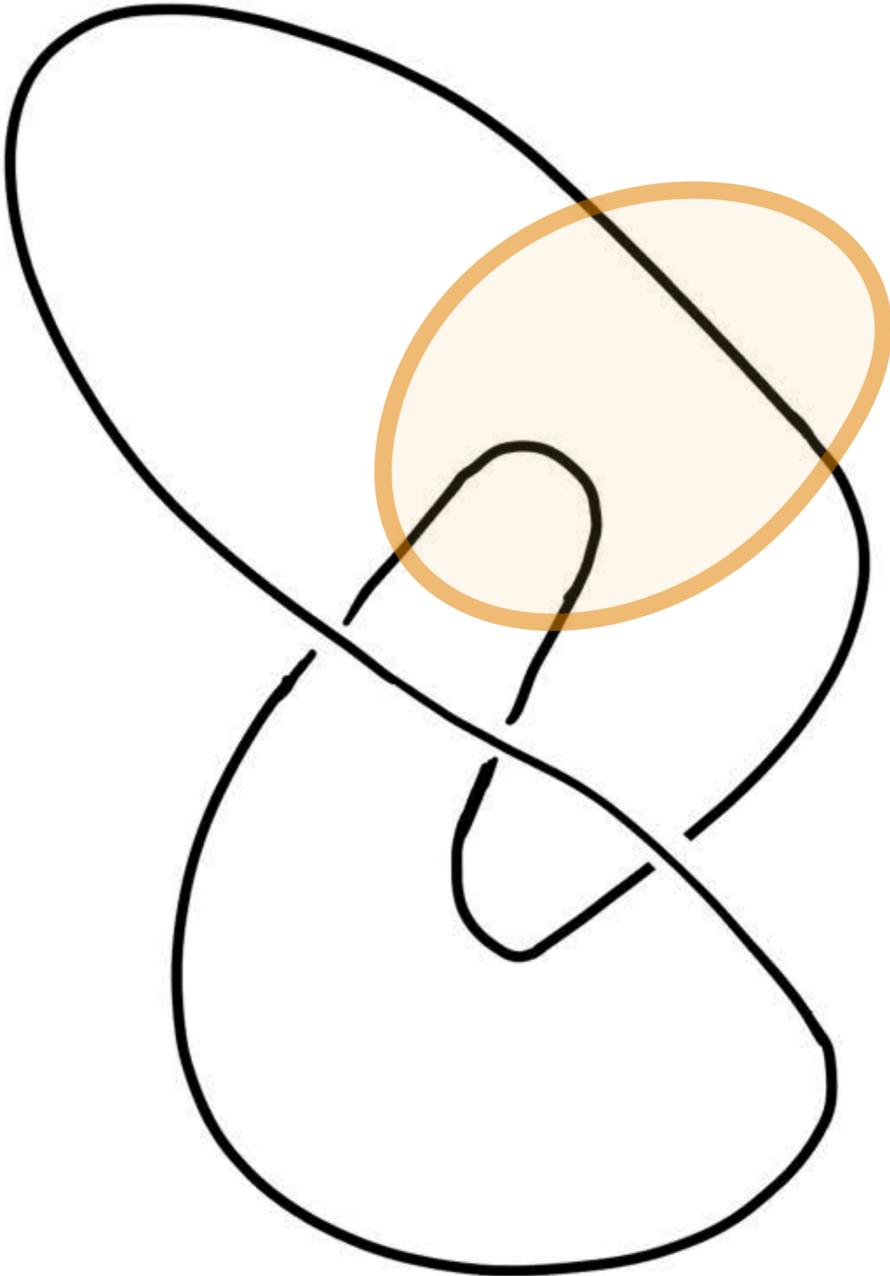
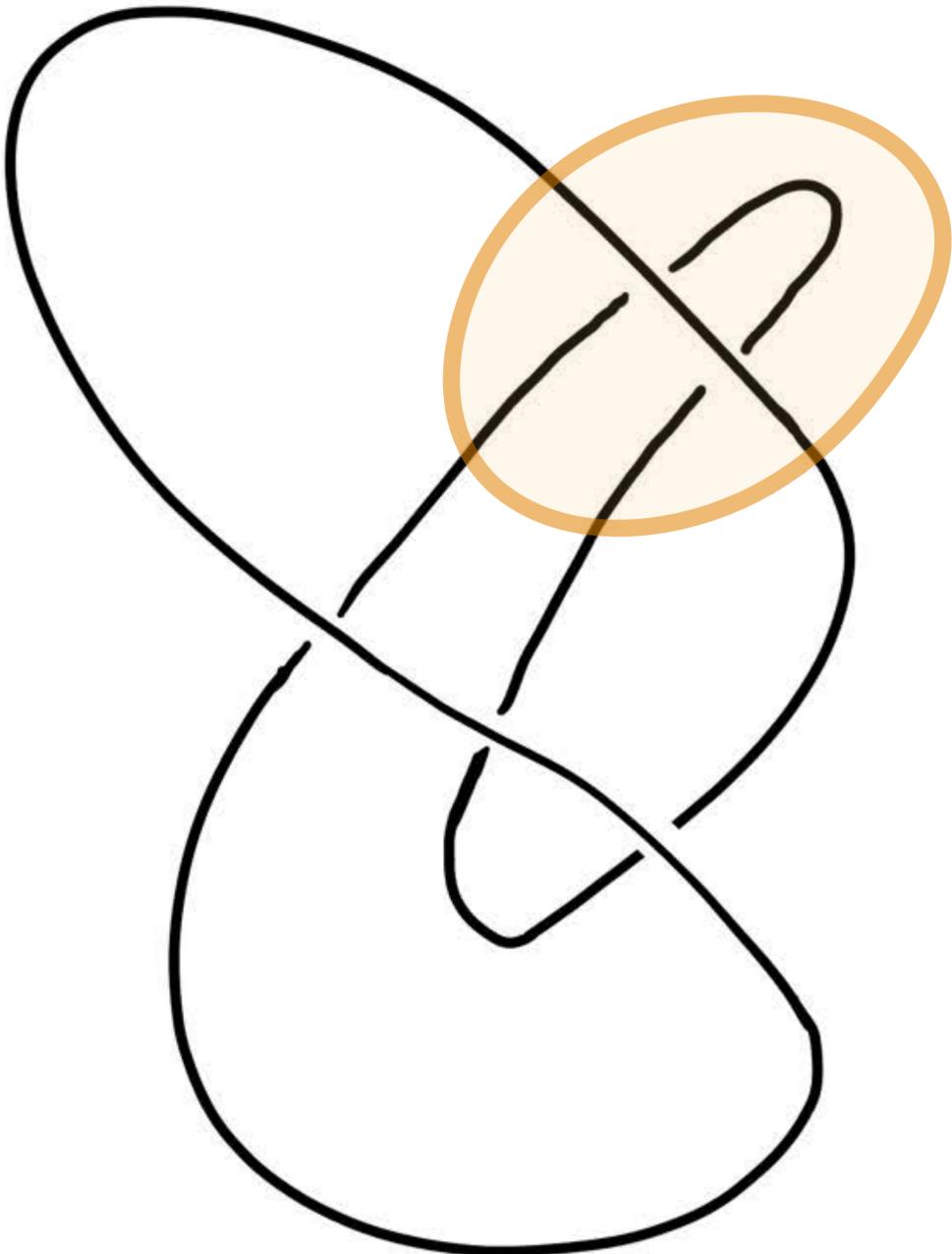
Every equivalence of knots is achievable using only these three moves.



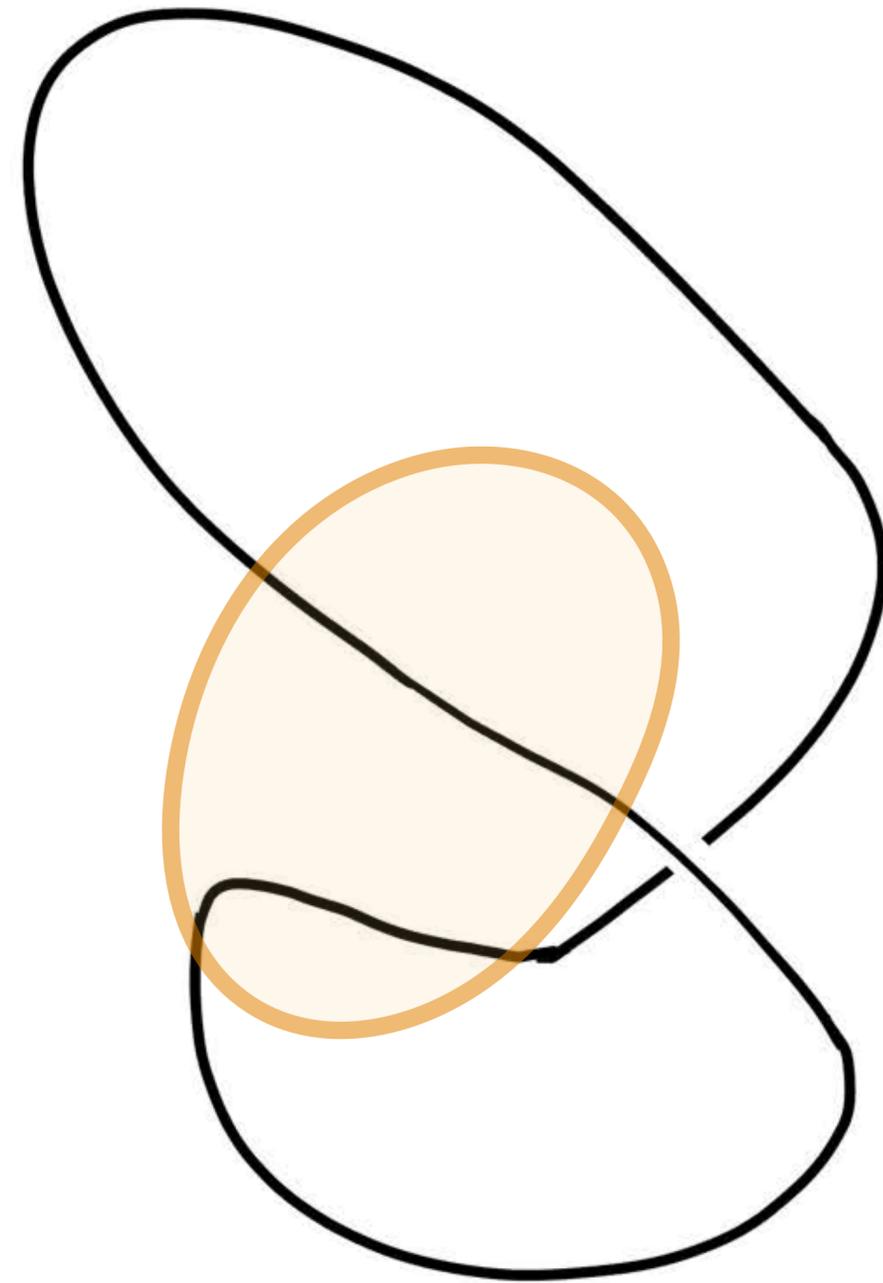
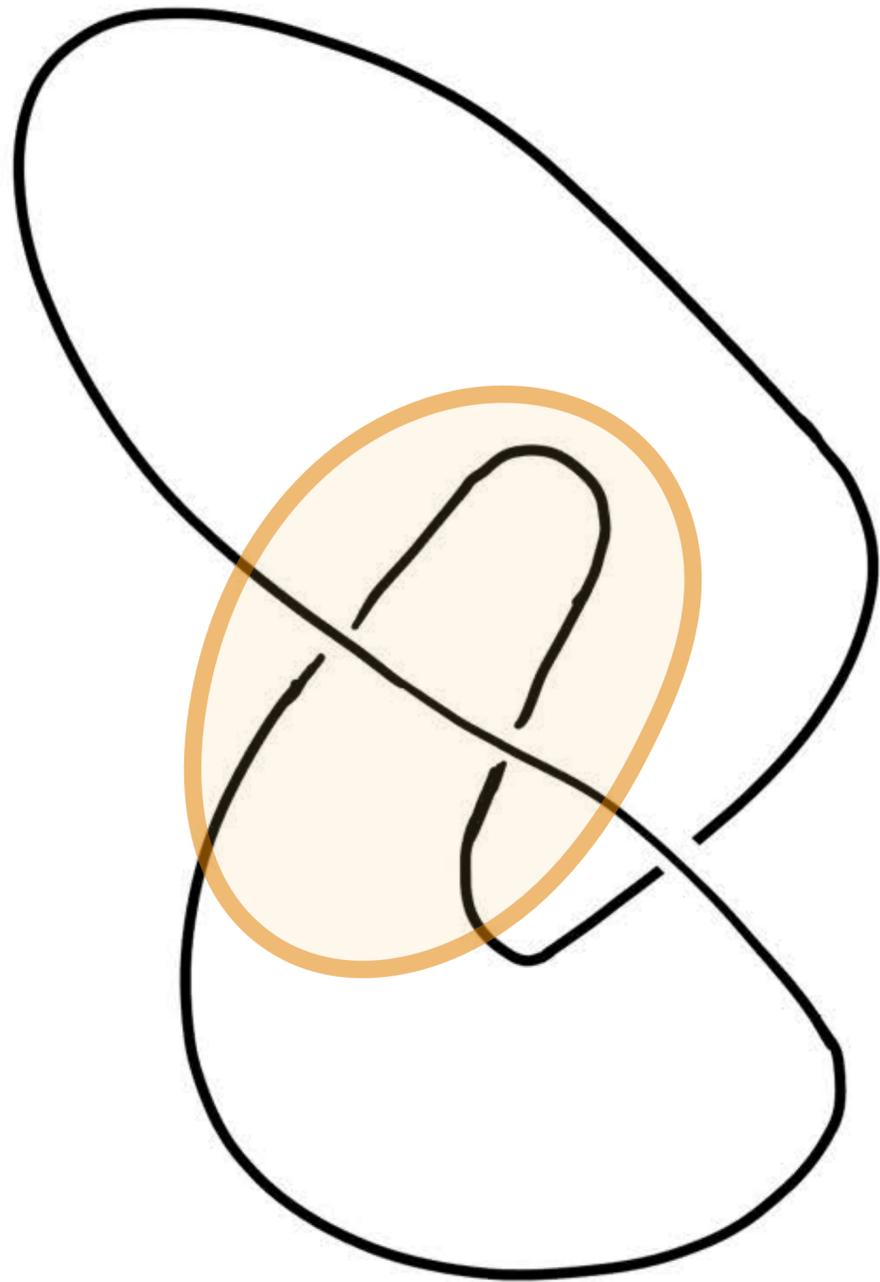
**Example:** Unknotting the unknot



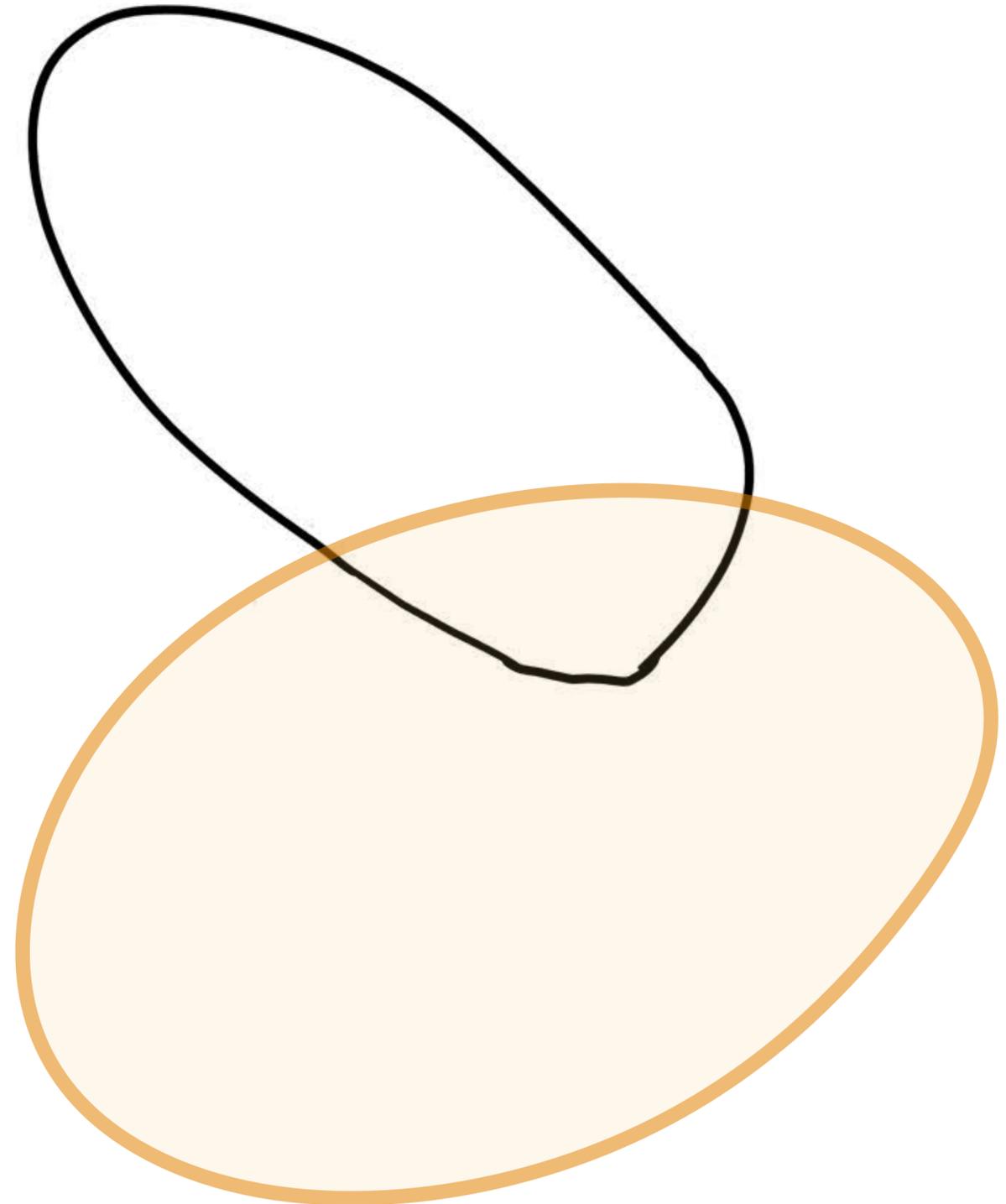
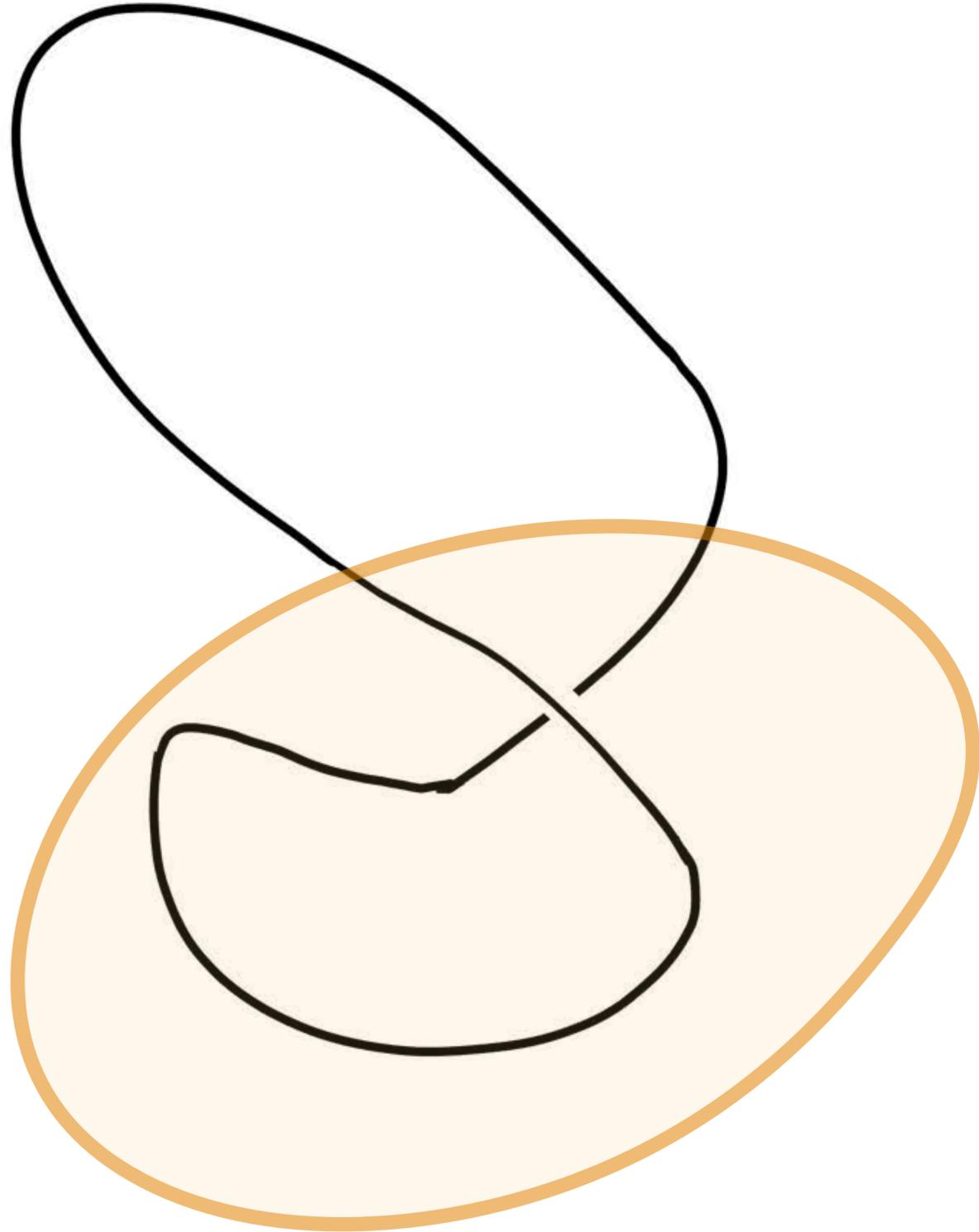
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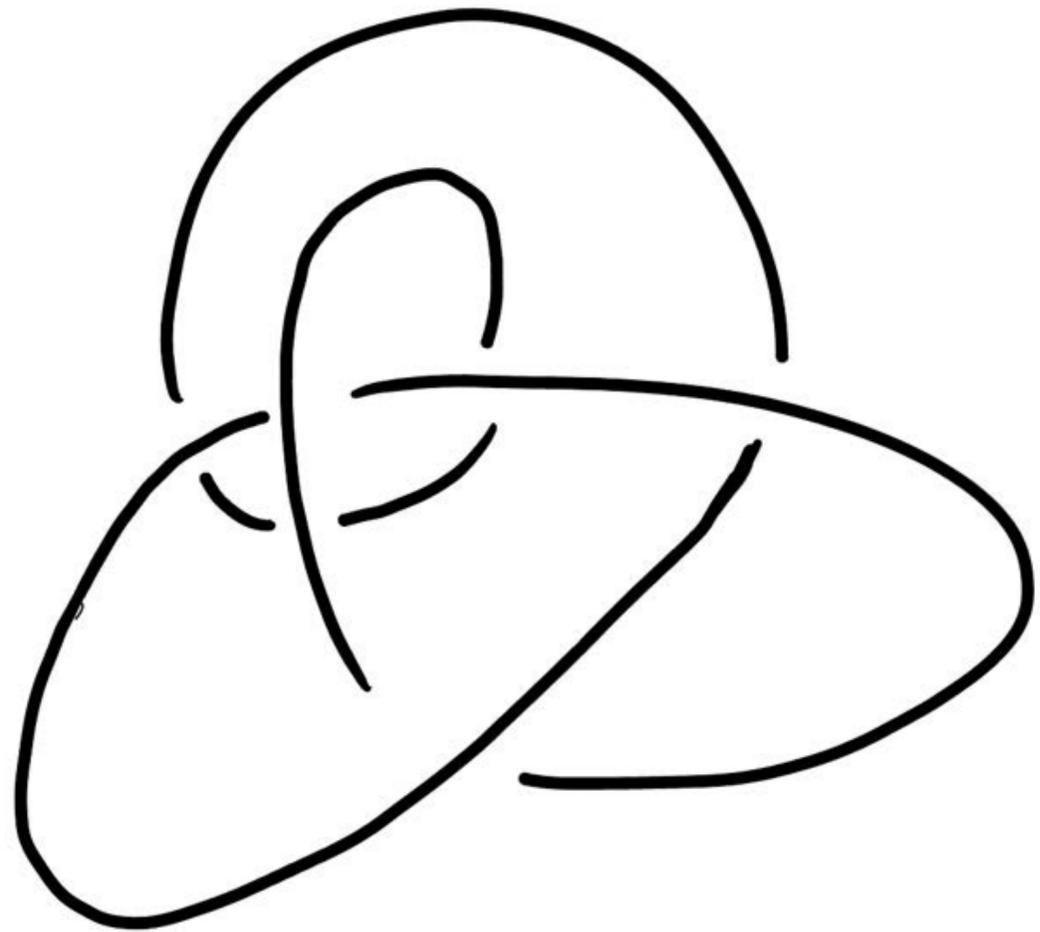
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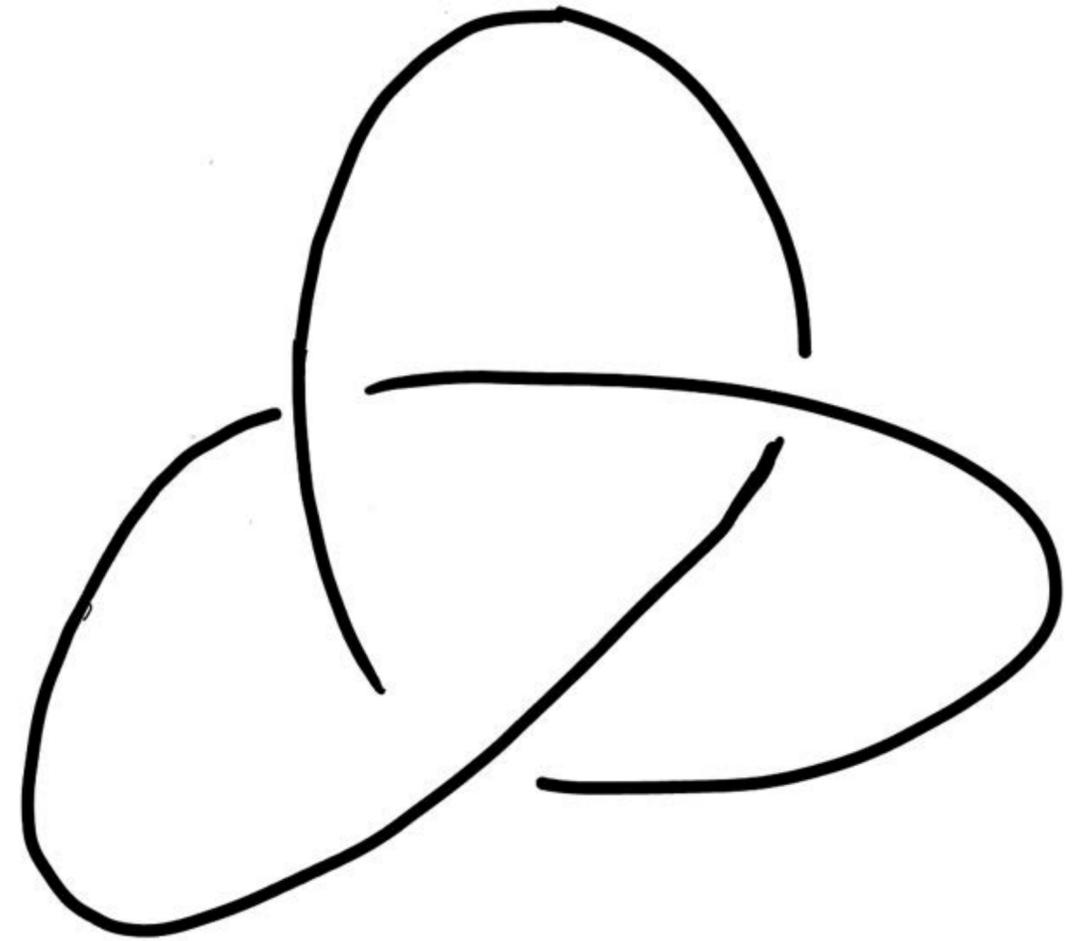
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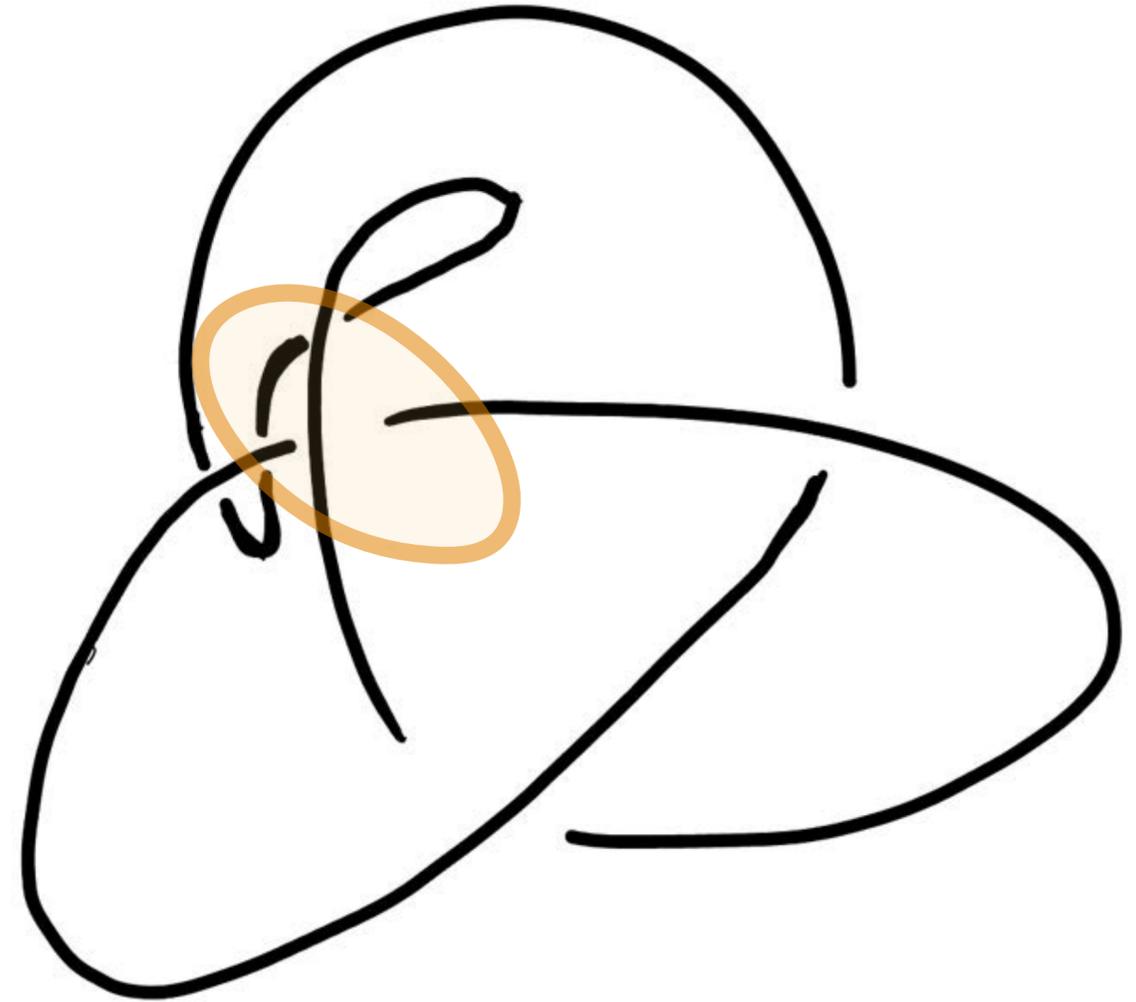
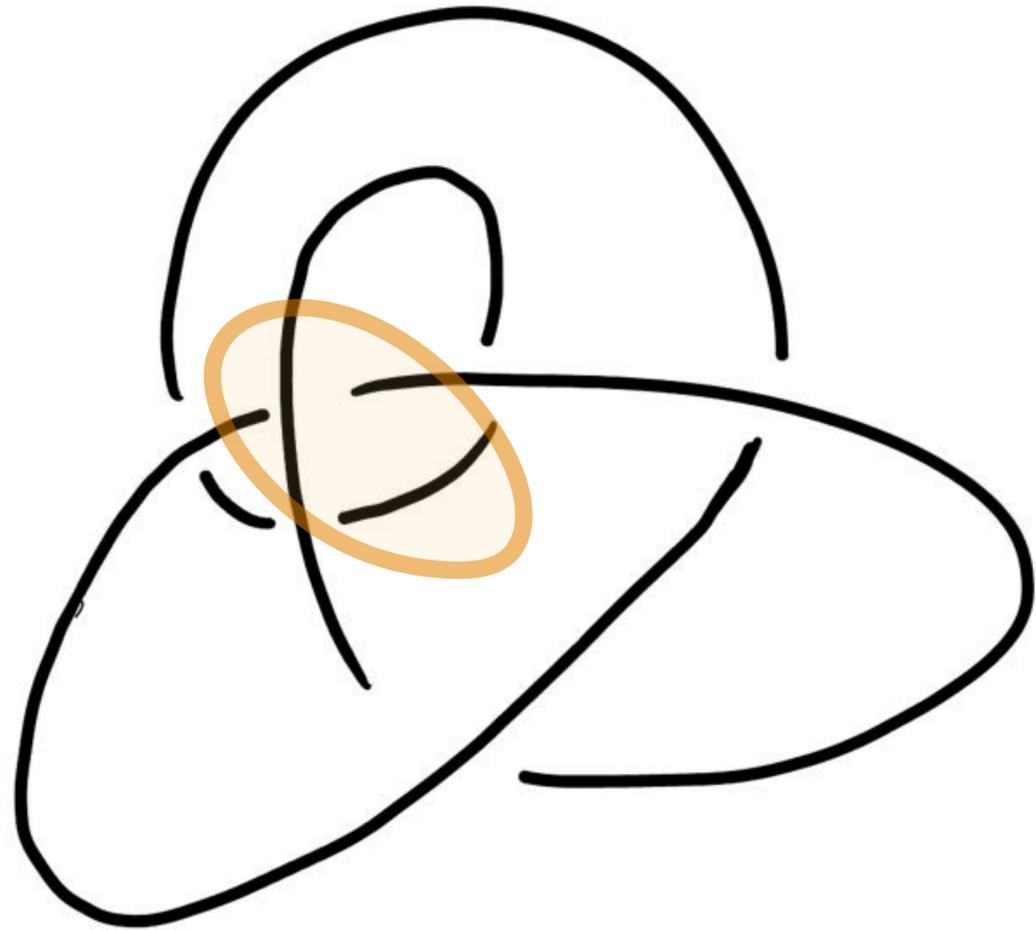
**Example:** These are both the trefoil



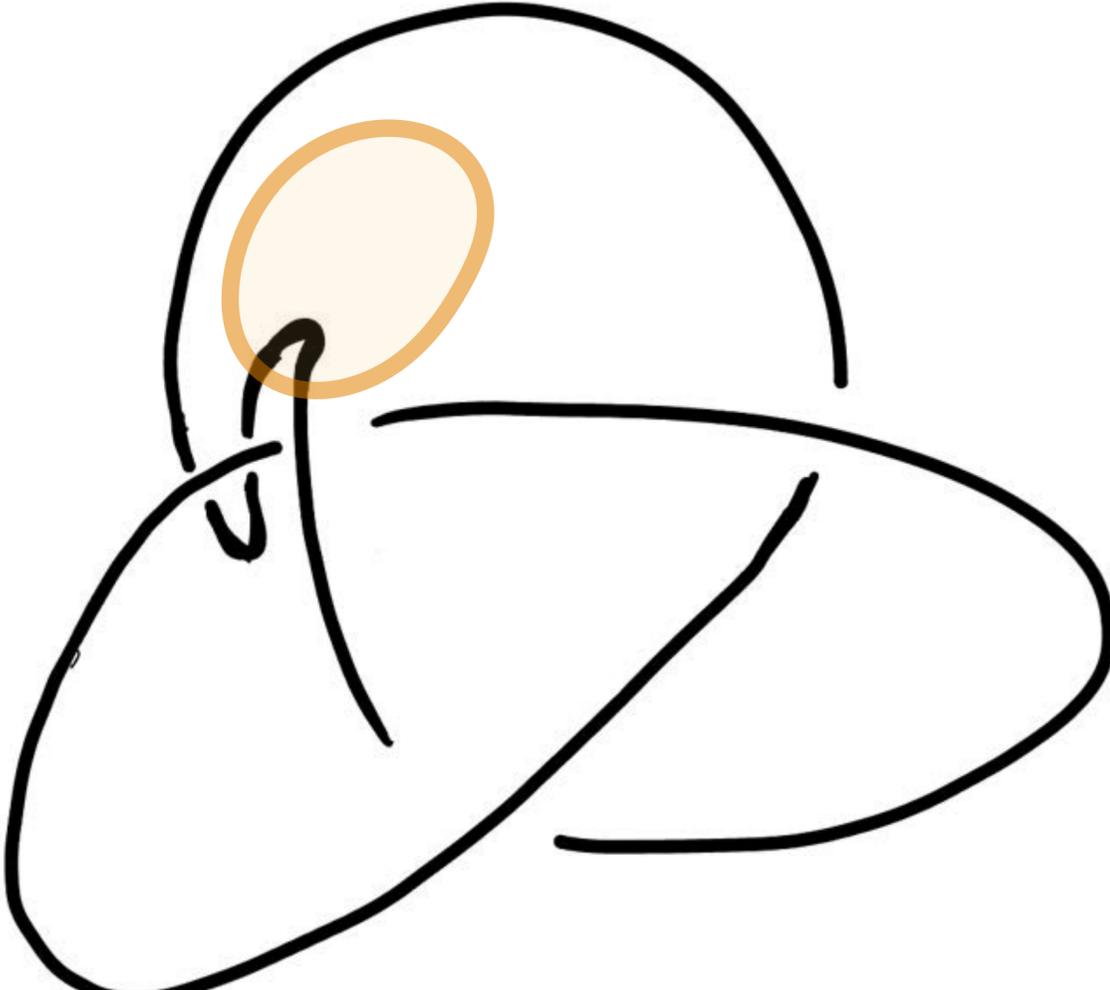
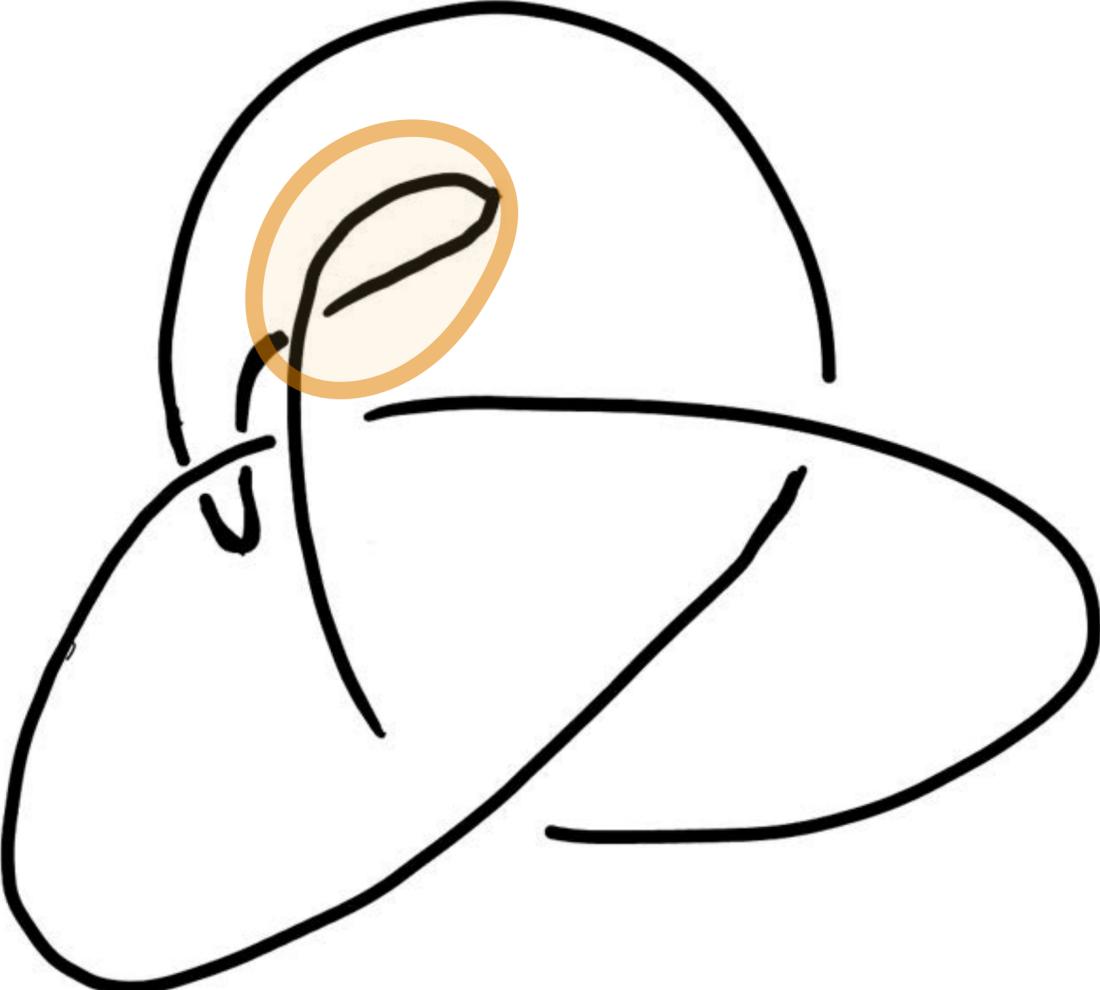
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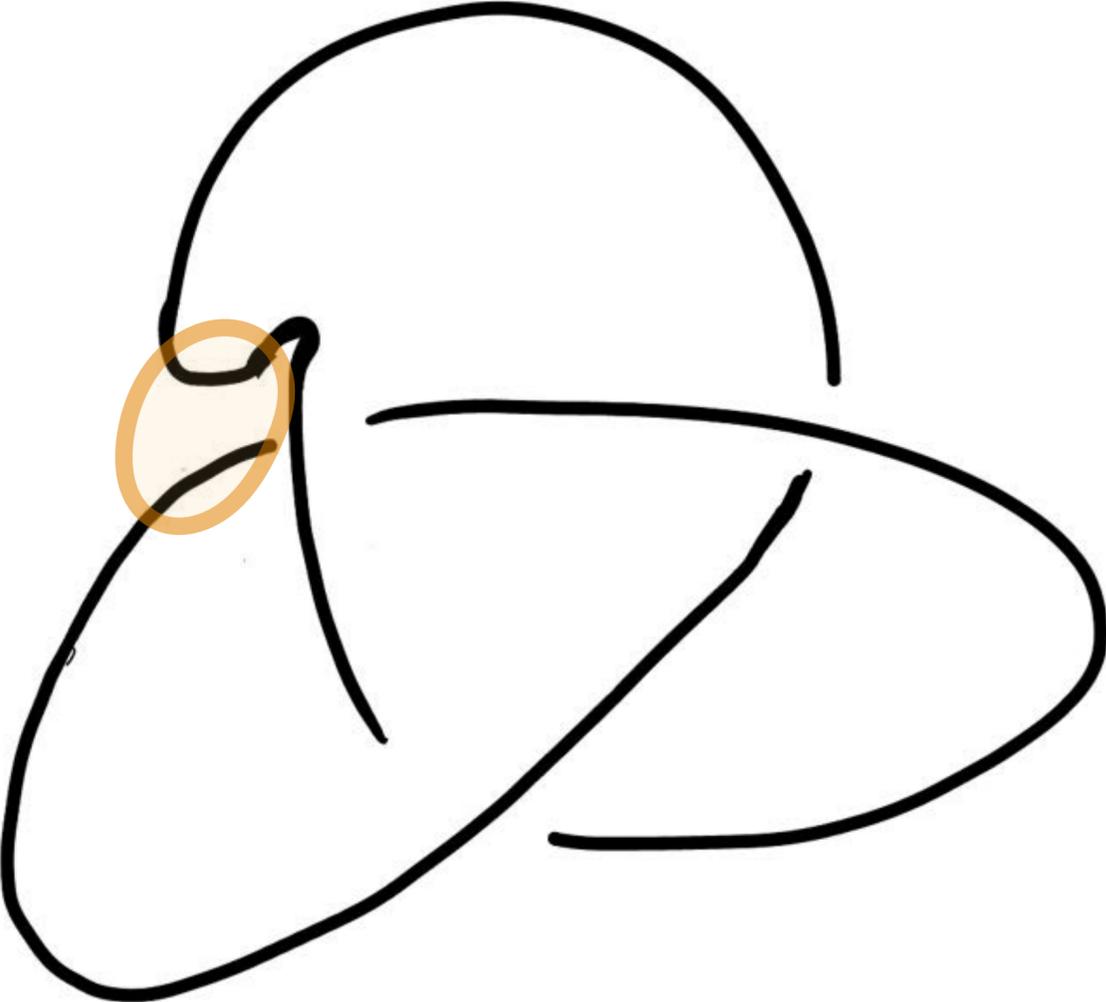
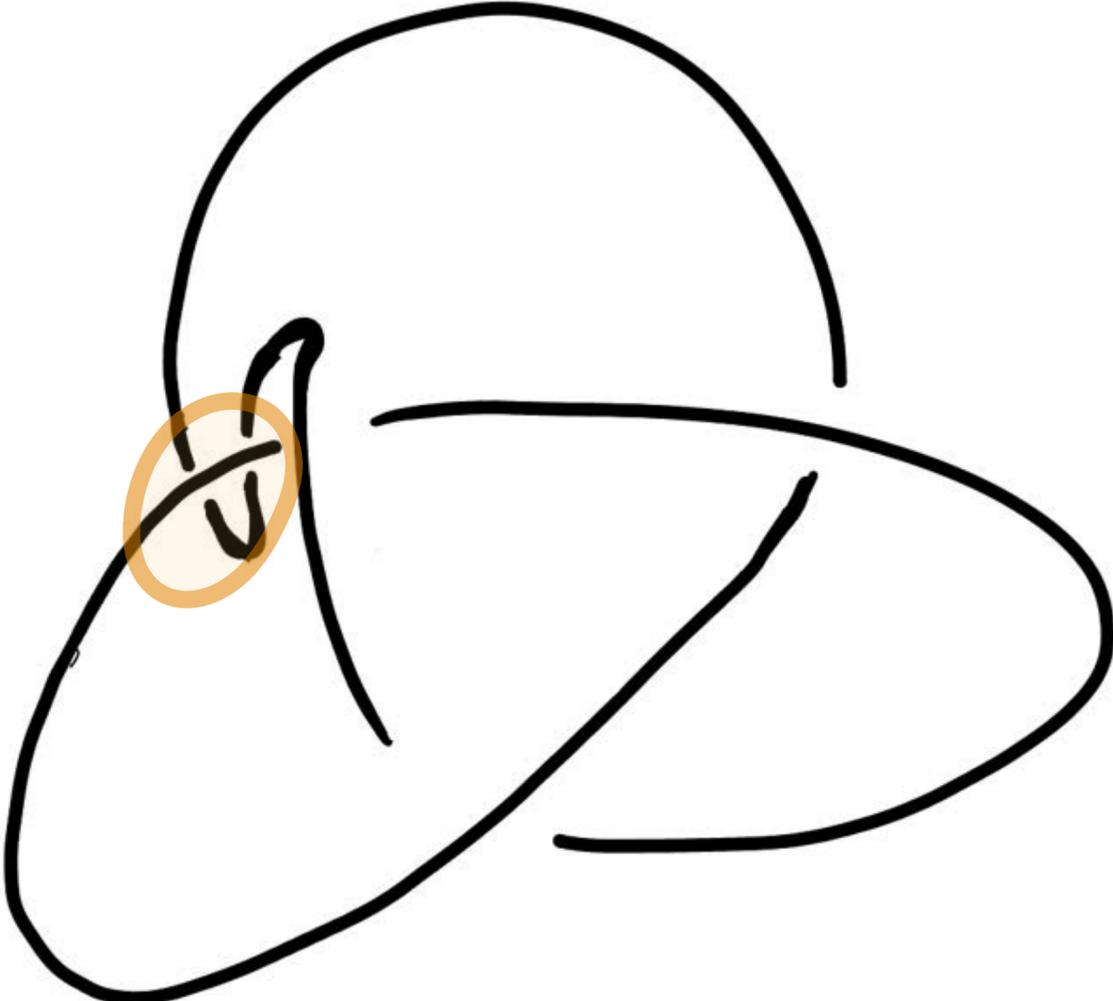
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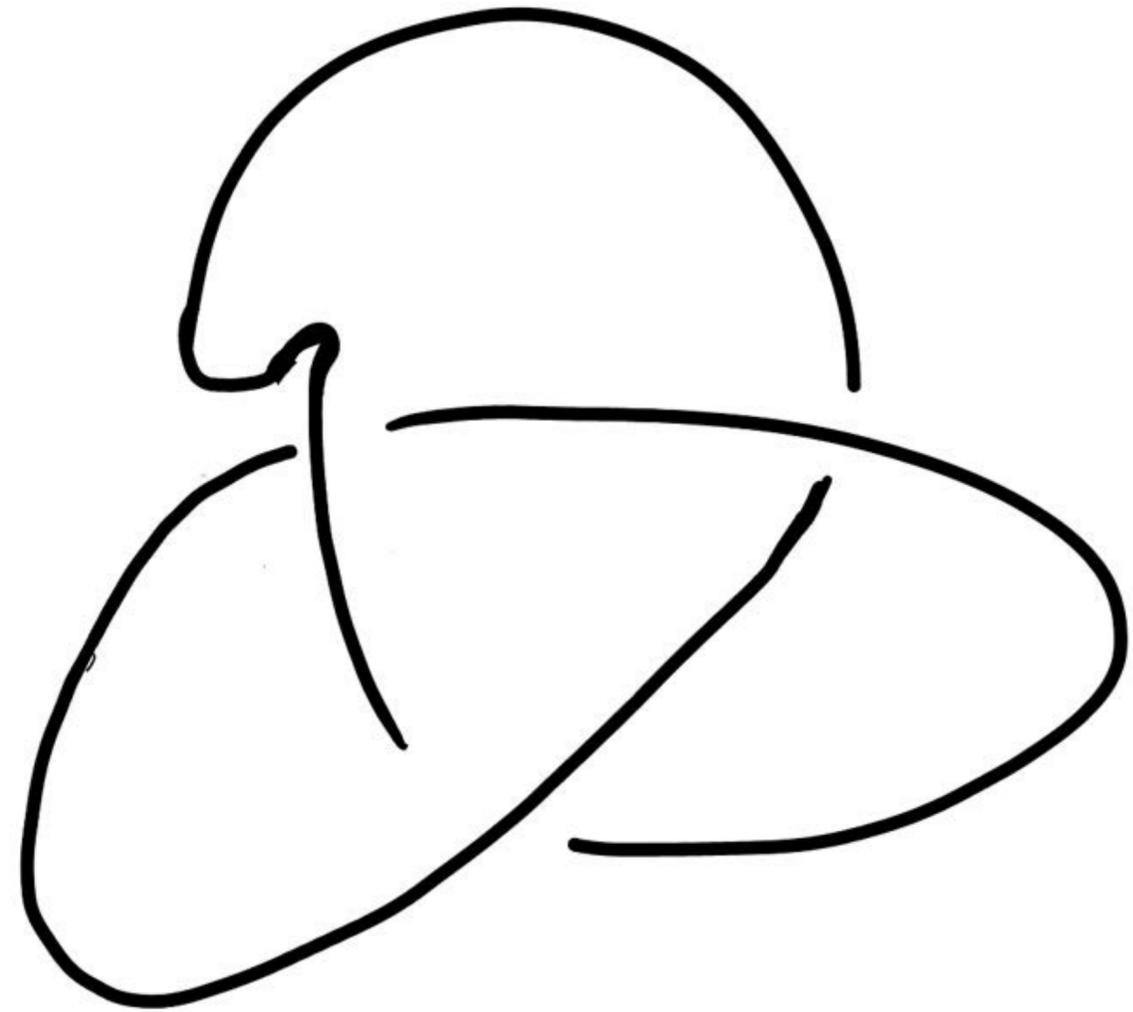
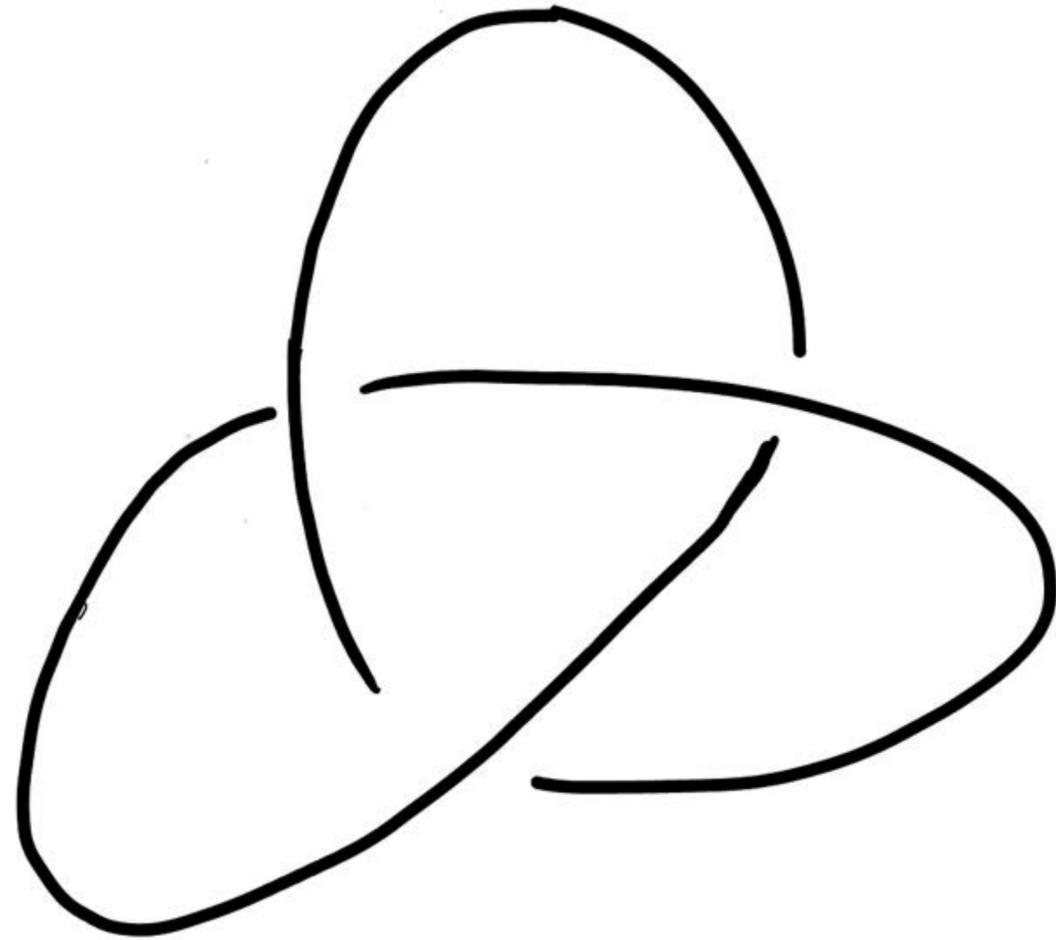
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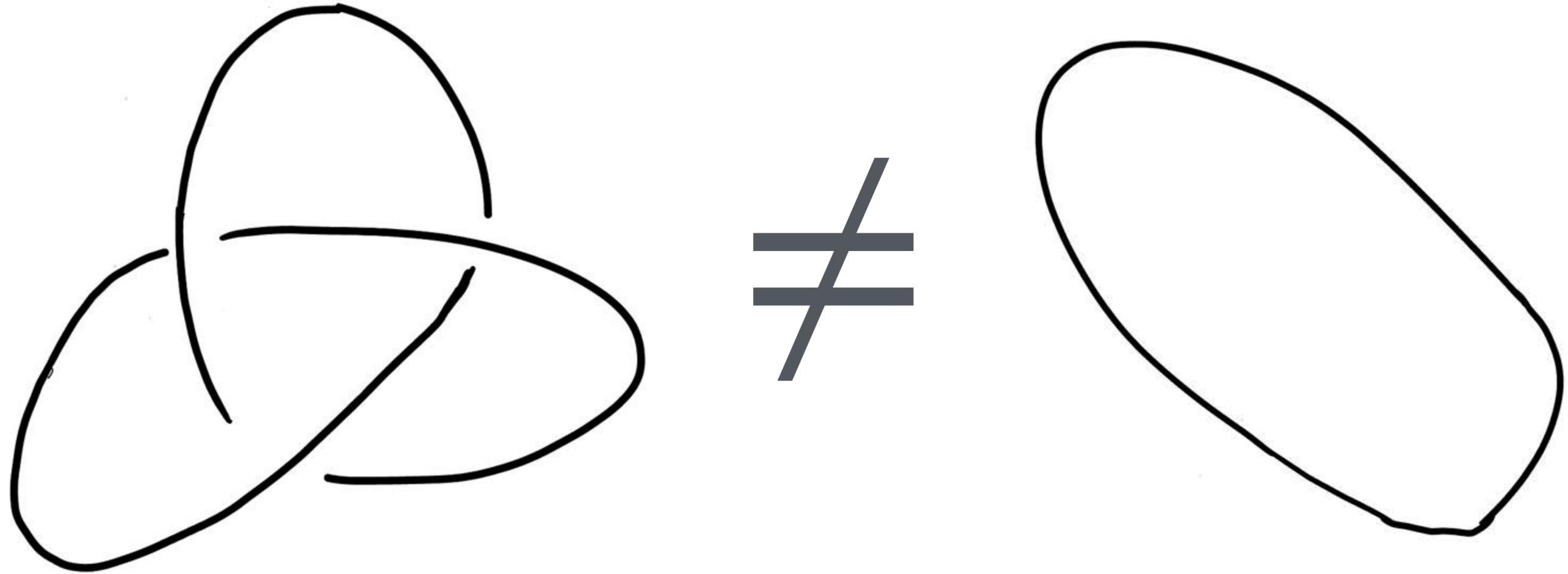
**Example:** These are both the trefoil



**Example:** These are both the trefoil



How do we show the trefoil and the circle are *different*?



**Idea:**

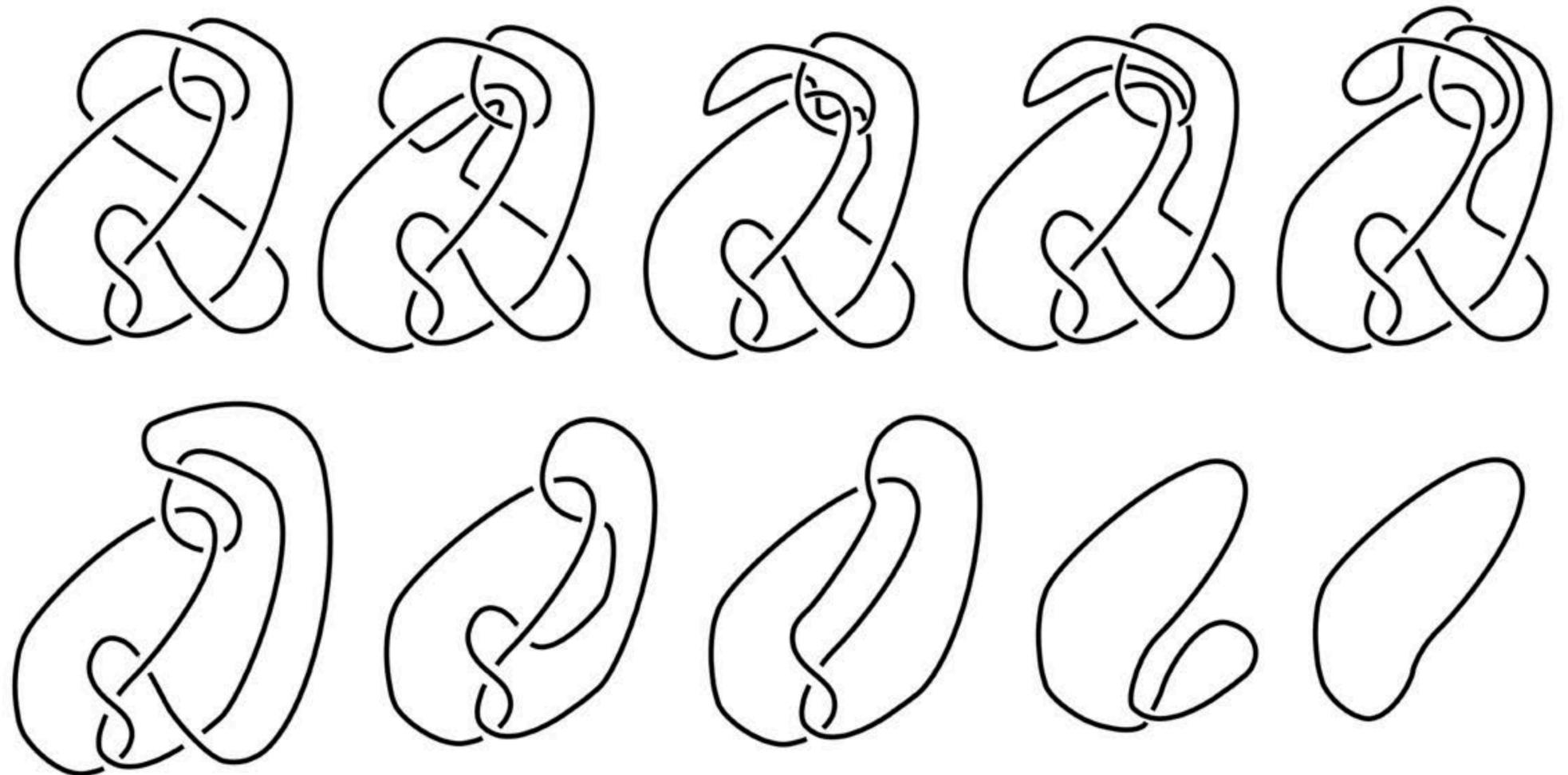
There is no Reidemeister move that can simplify the trefoil...

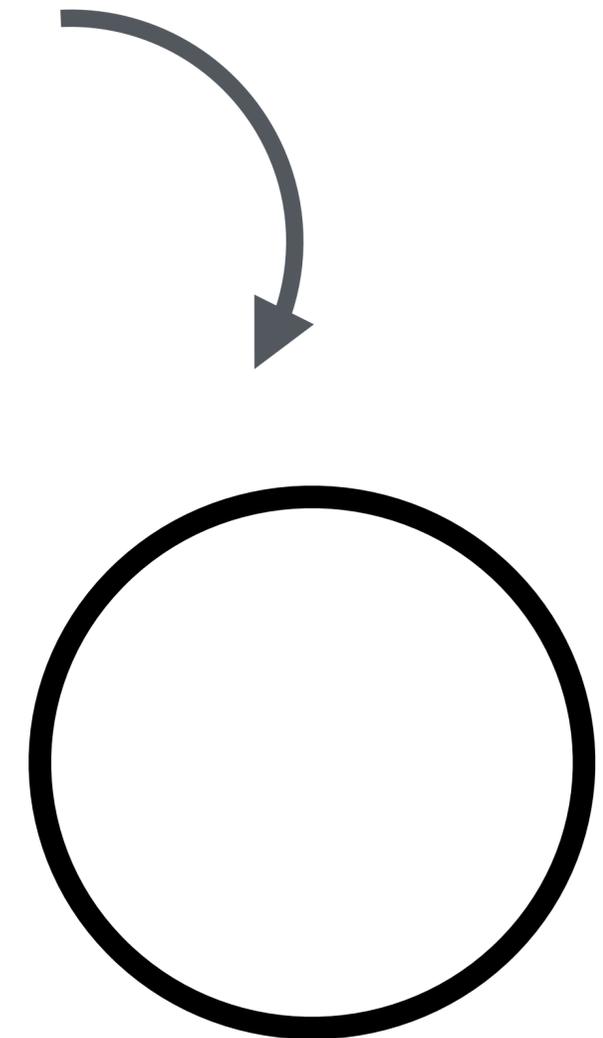
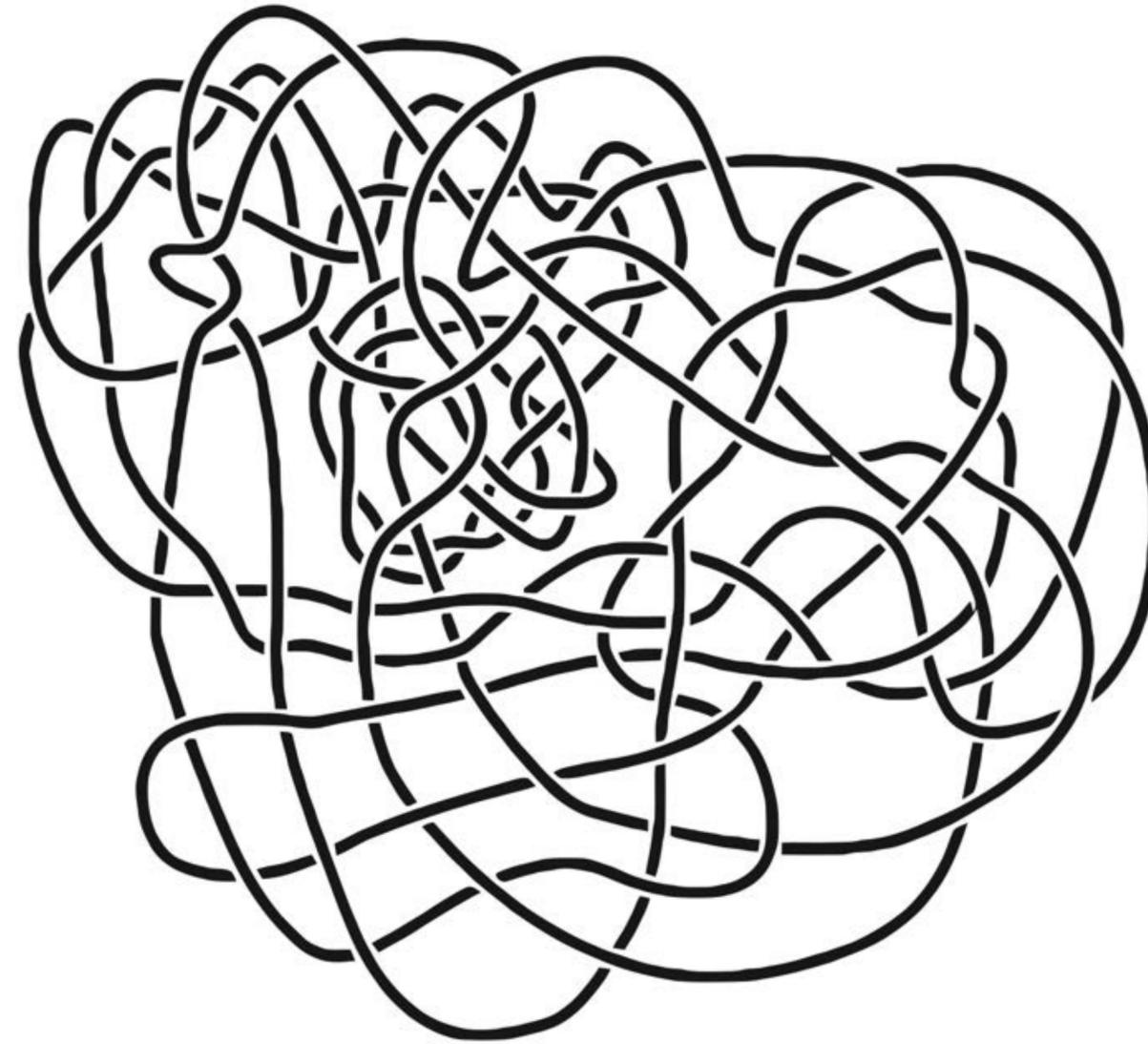
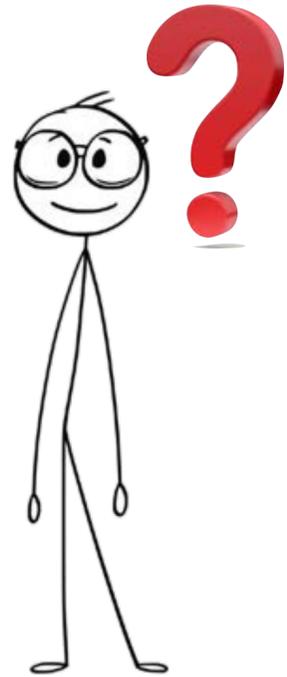


**Nope - that doesn't work**

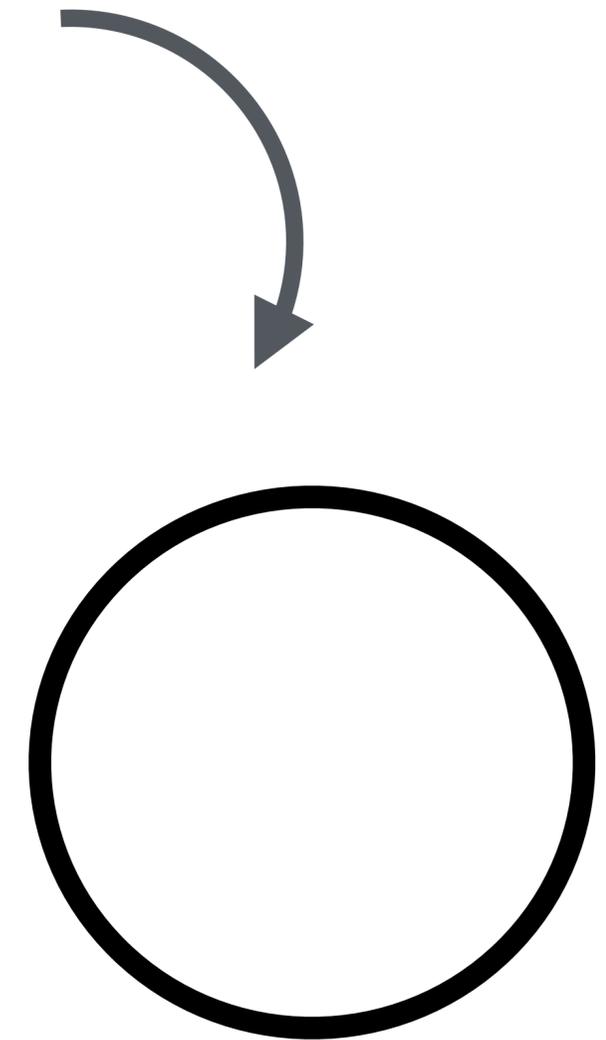
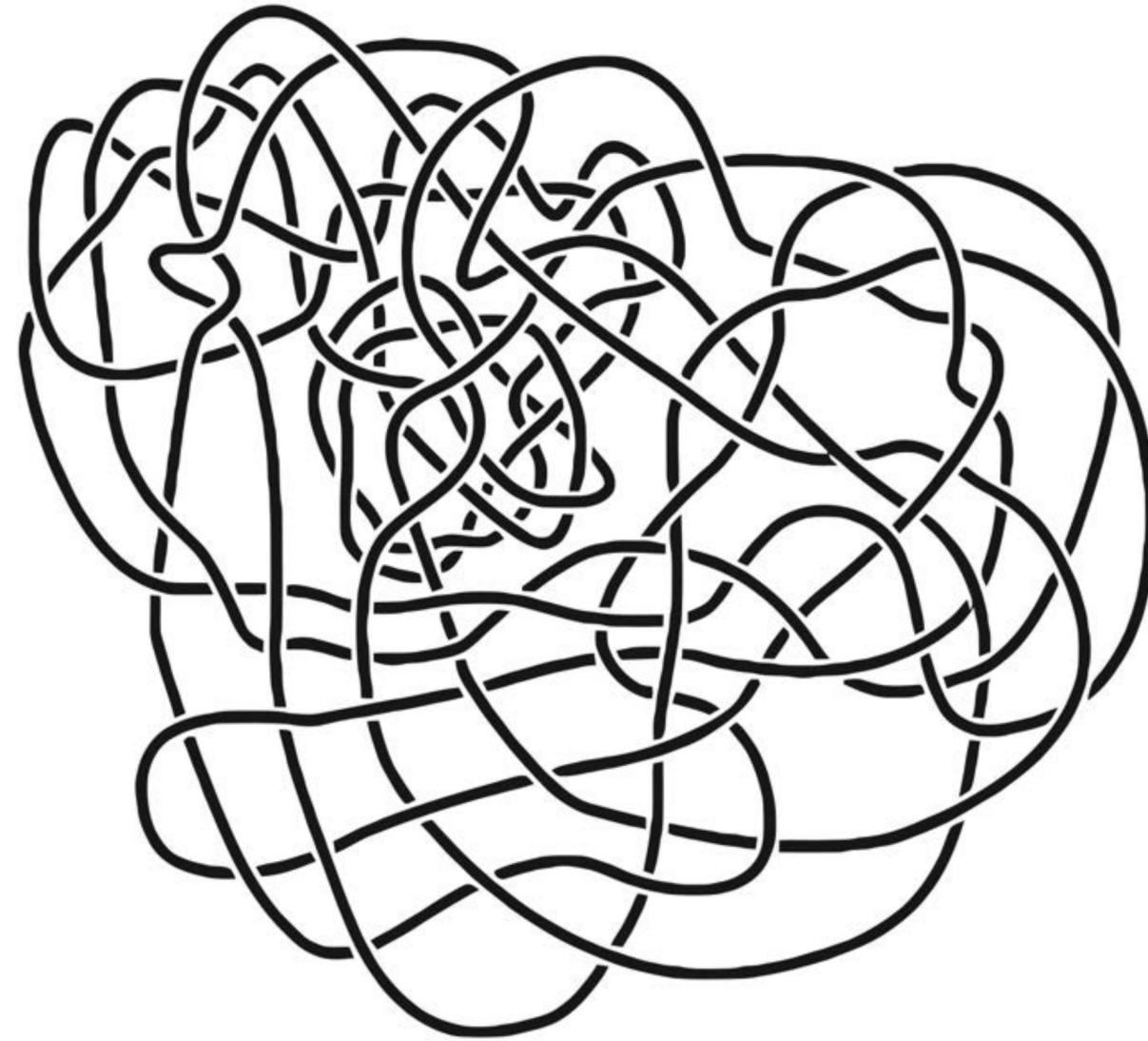
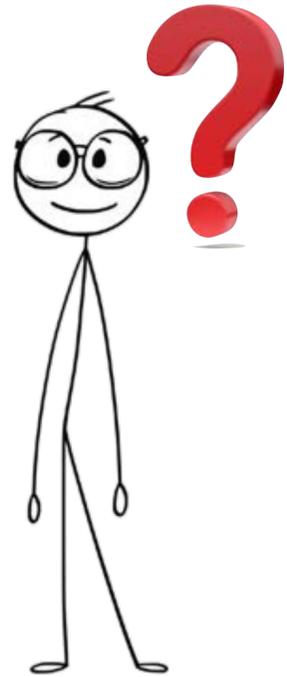
**Unknotting  
"The Culprit"**

*A minimal diagram,  
that needs to get  
more complicated  
before it simplifies*





Who said we can't  
unknot it by making it  
more complicated first?

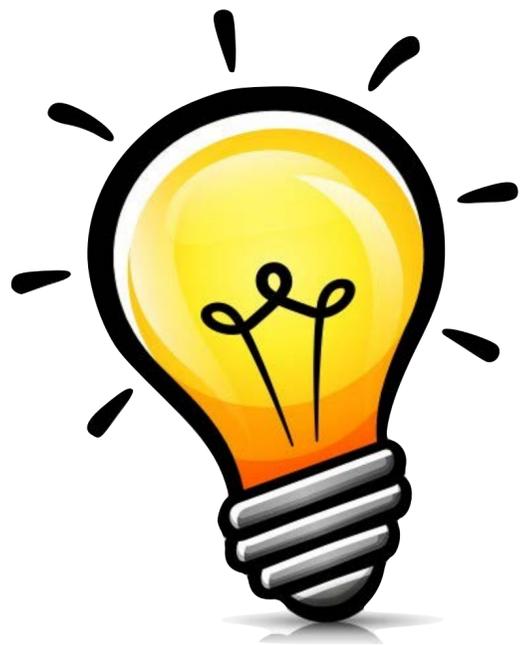


Proving the existence of a knot means proving the **nonexistence of any such complicated thing!**

# Knot Invariants

Assign a quantity to each knot (diagram) that is invariant under ambient isotopy.

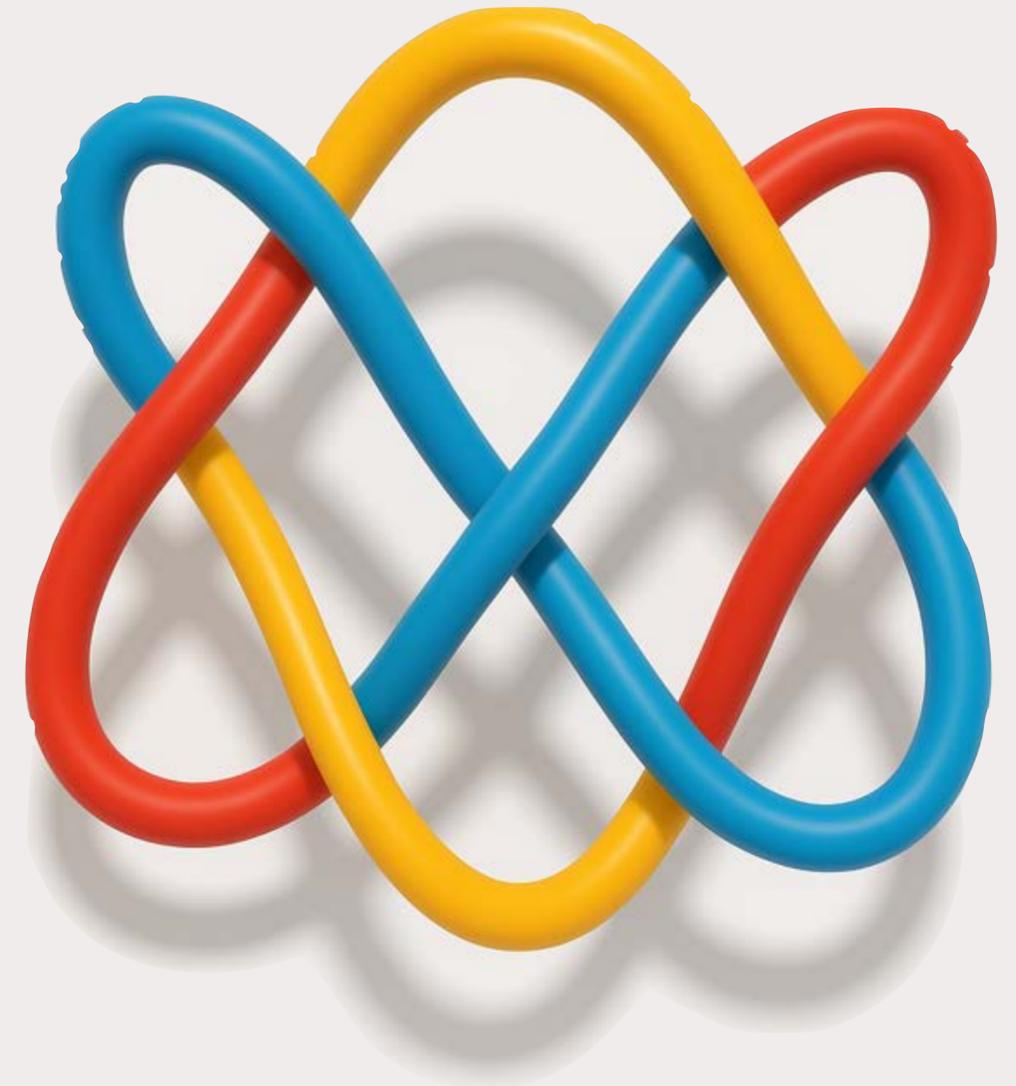
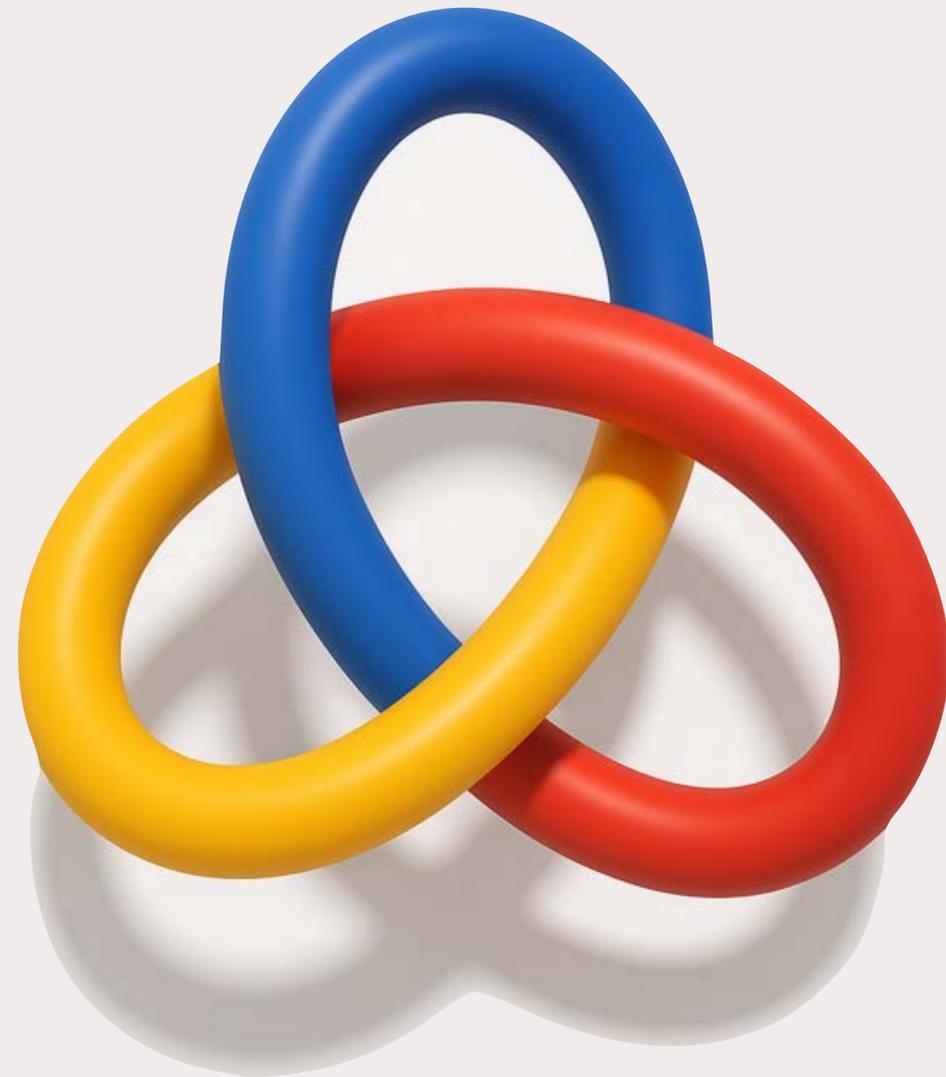
If two things are assigned different quantities, then there is *\*no\** isotopy connecting them: they are different!



Its enough to check **invariance under the Reidemeister moves!**

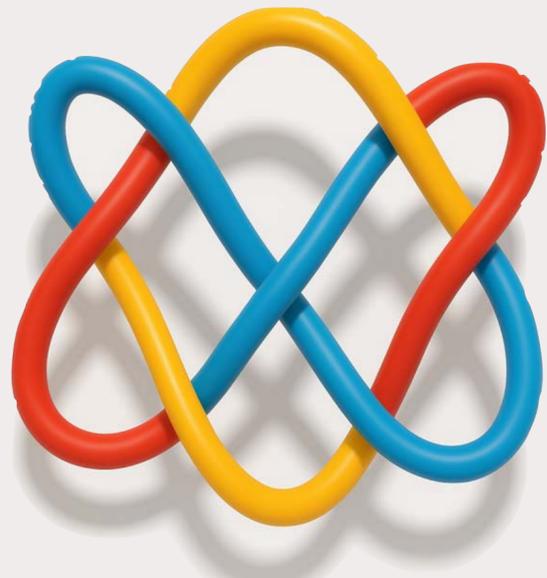
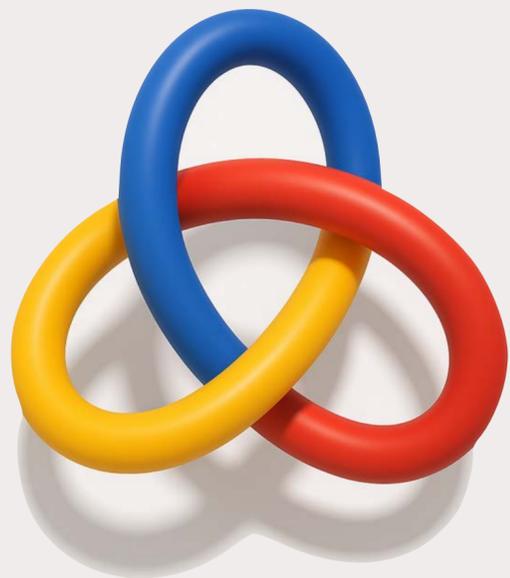
# Example: Tricolorability

Color a knot diagram using exactly 3 colors for strands .  
Each crossing has all equal, or all distinct

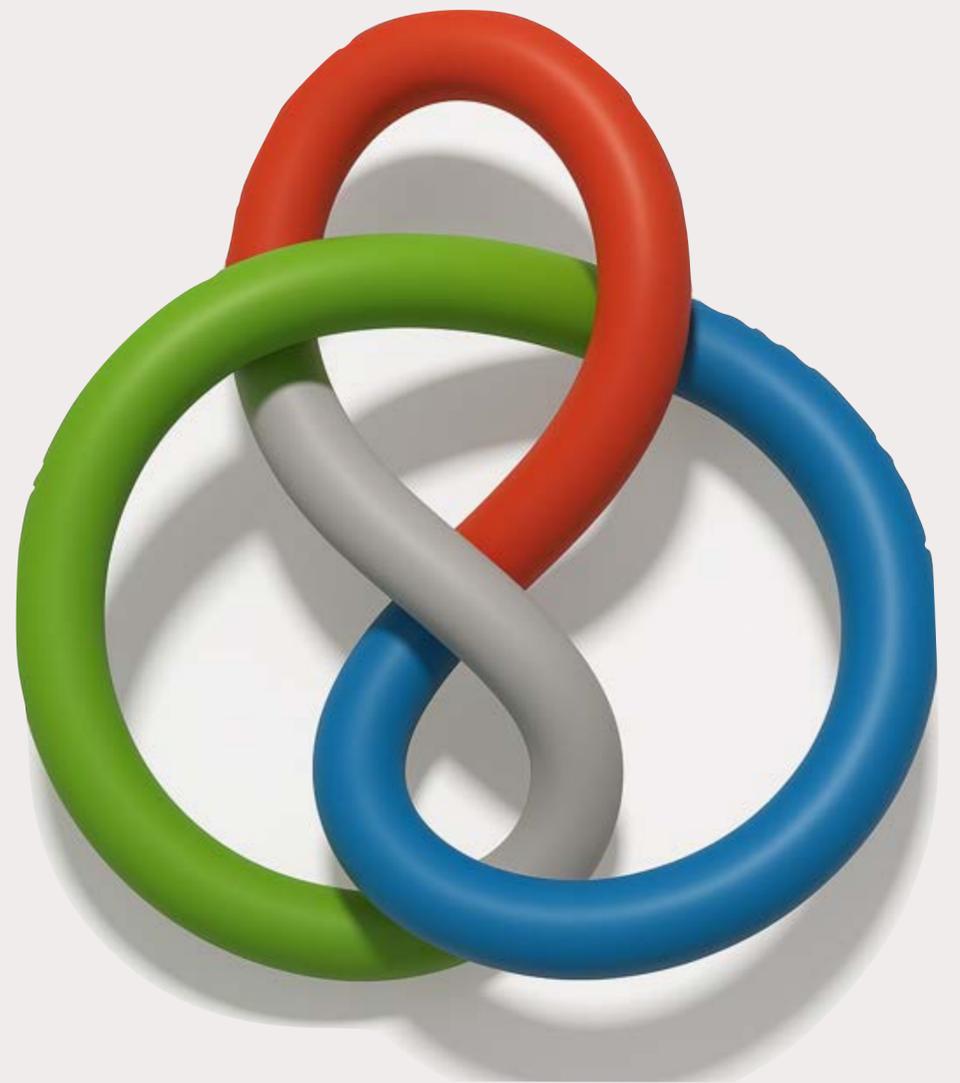


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**Nonexamples**

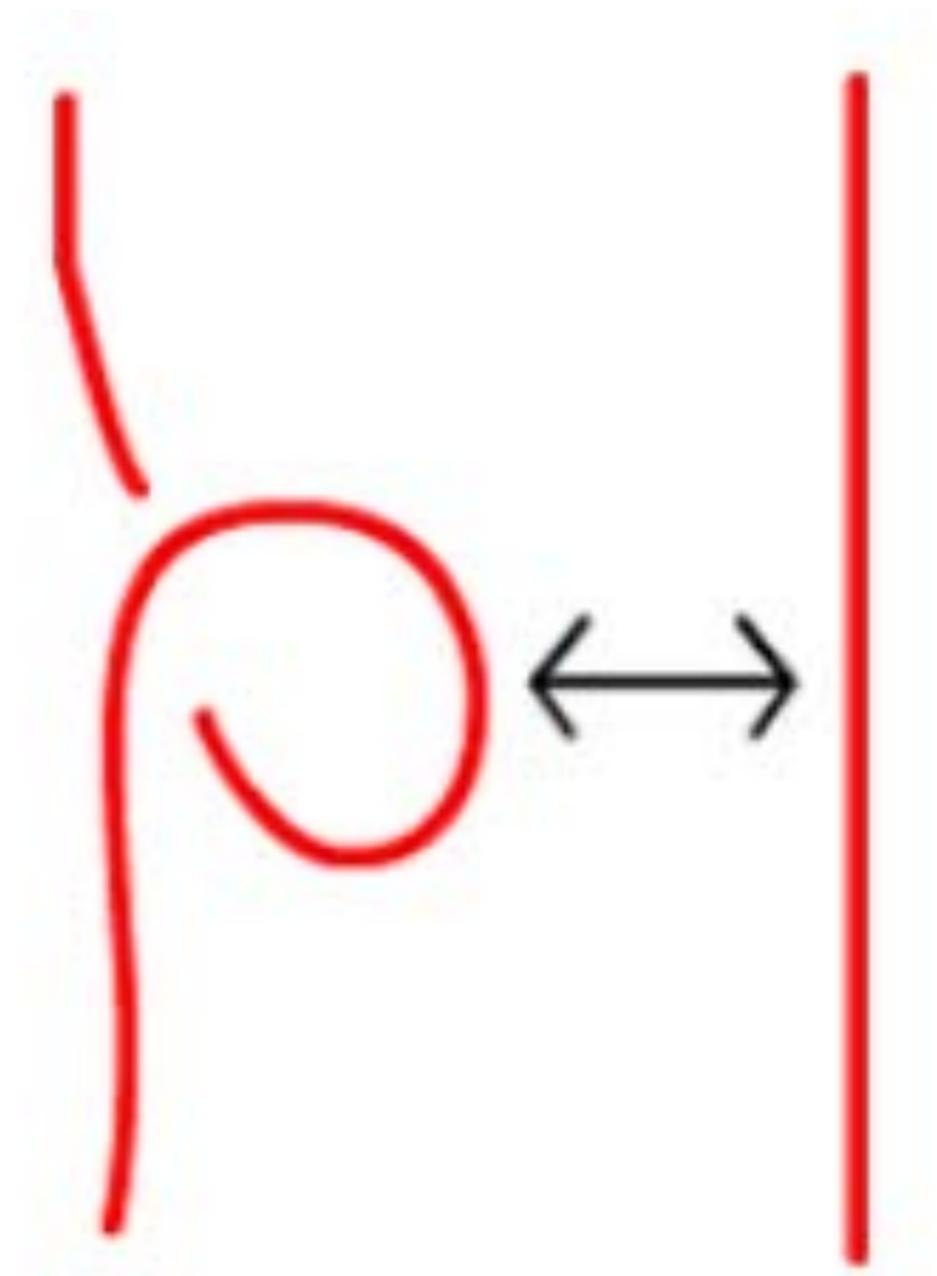


# Theorem: Tricolorability

Being tricolorable is an invariant of knots.

## Proof

Assume you have a 3 colored knot. Check it remains tricolored after doing a Reidemeister move locally, to any portion of it.

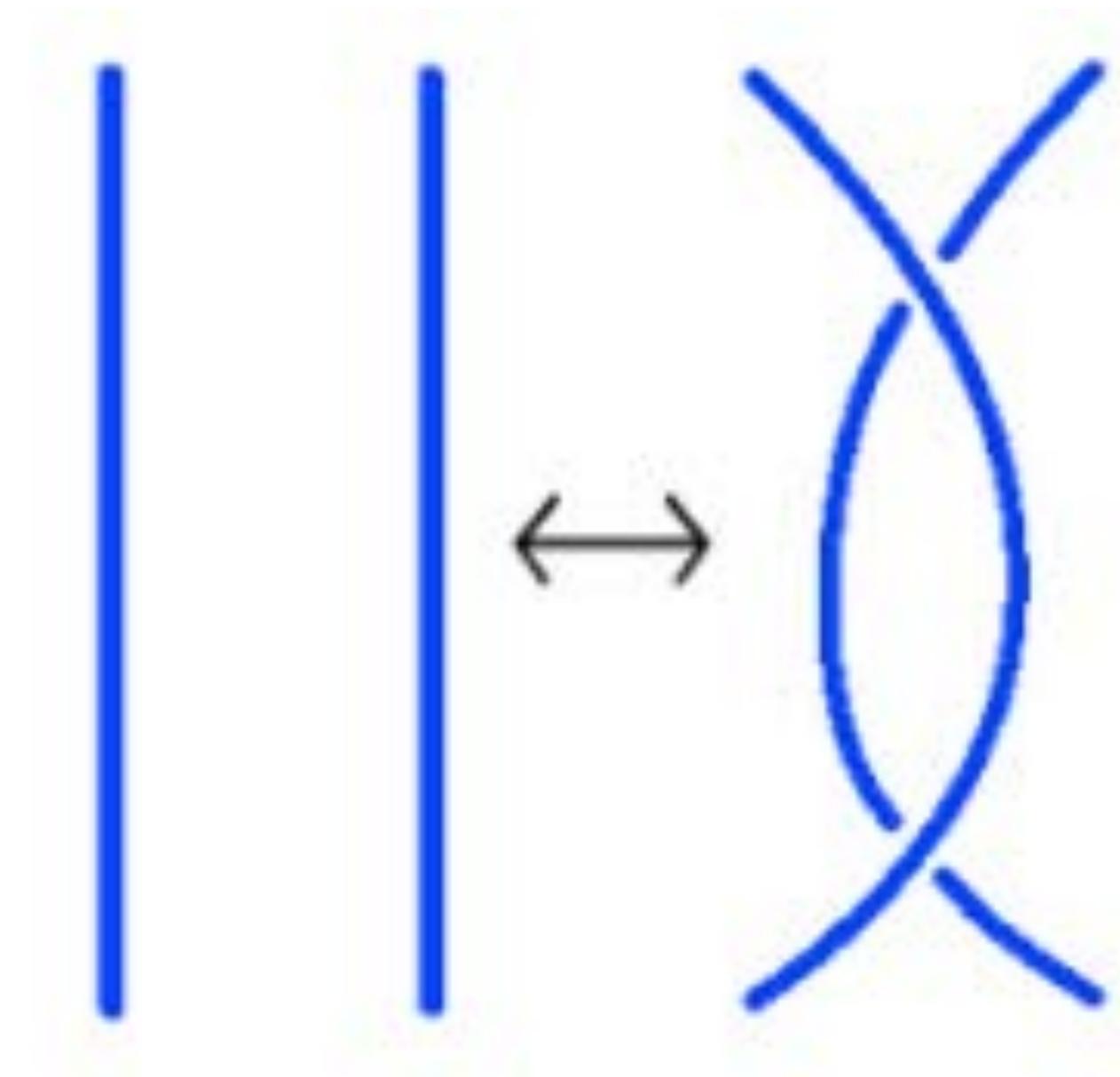


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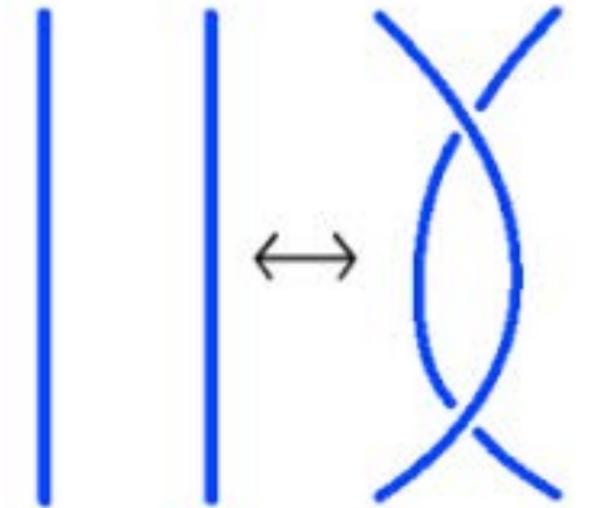
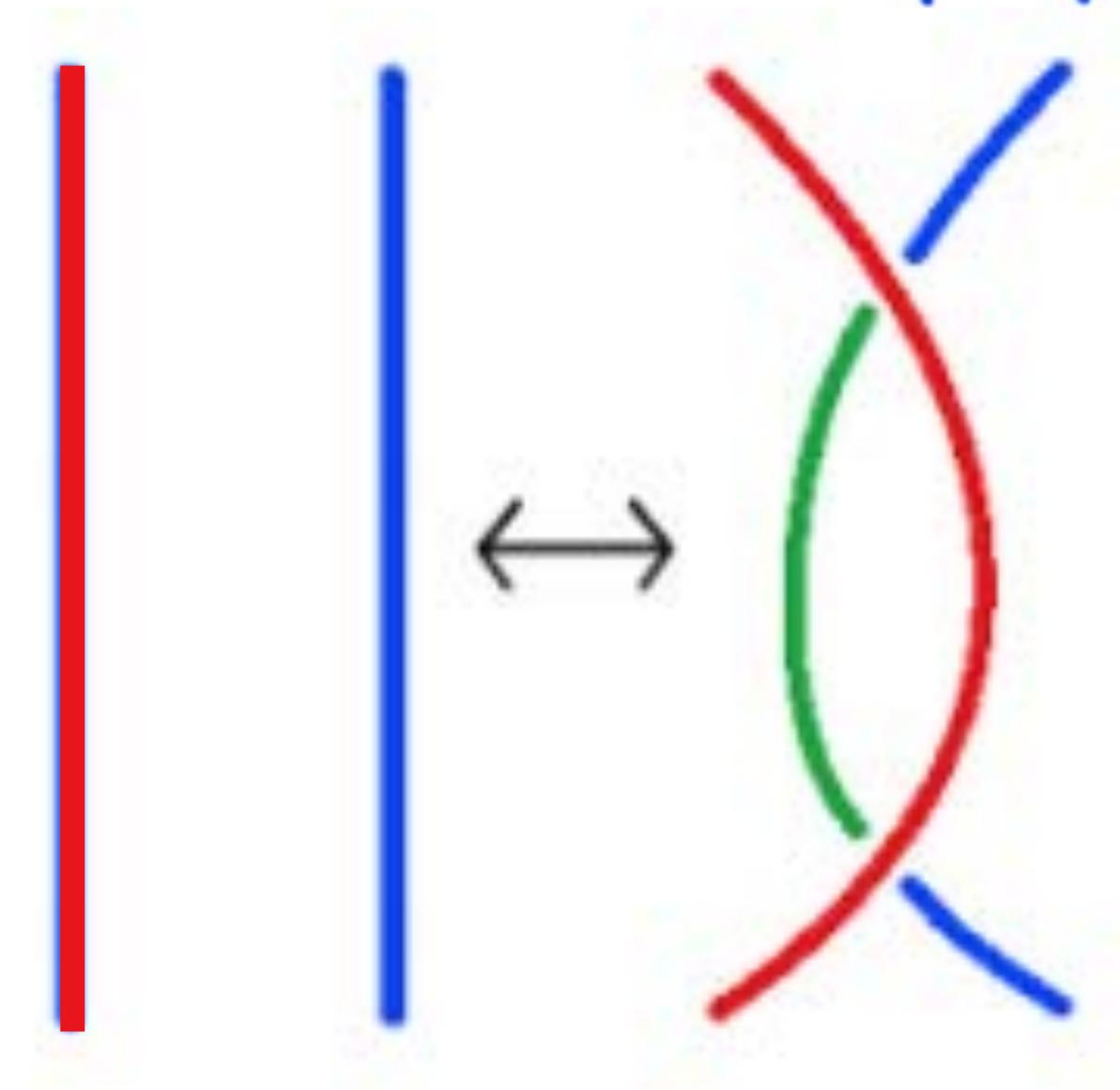


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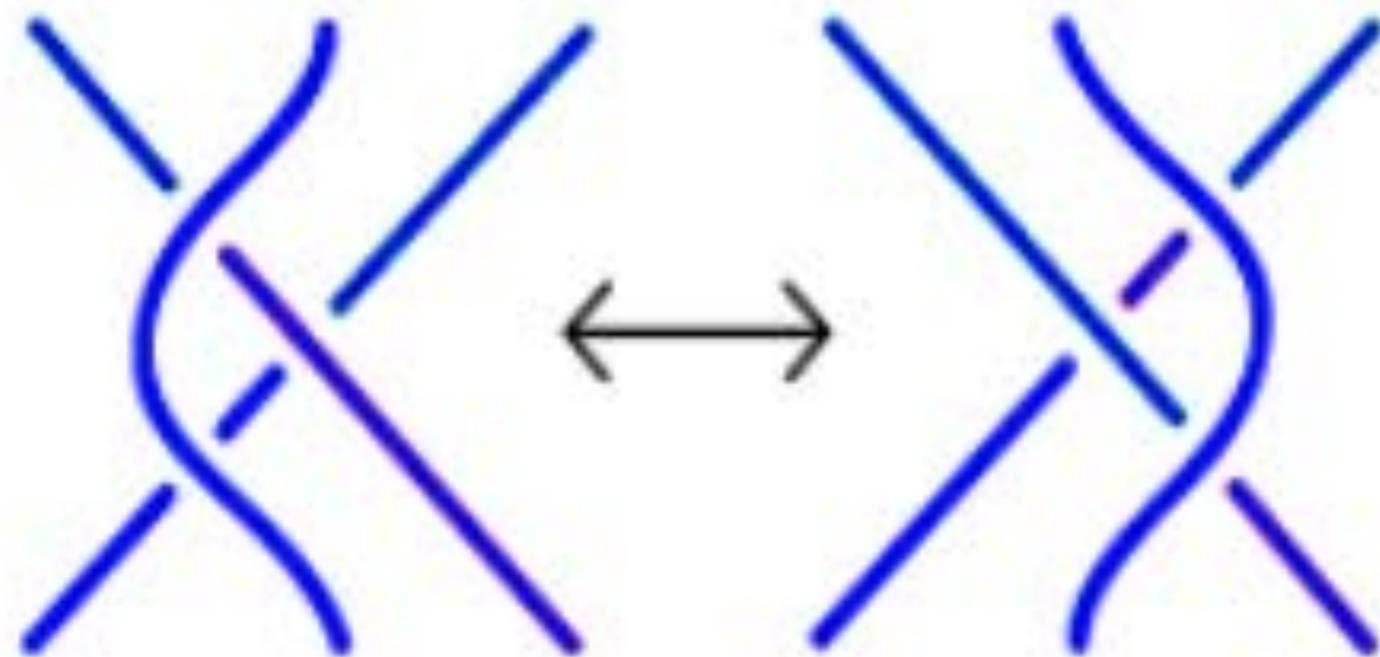


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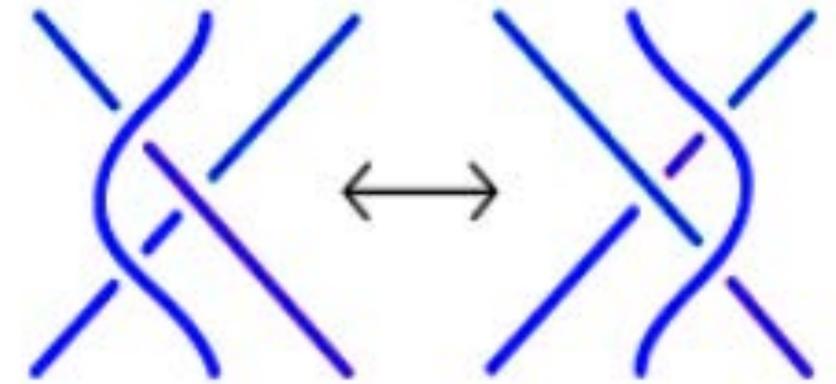
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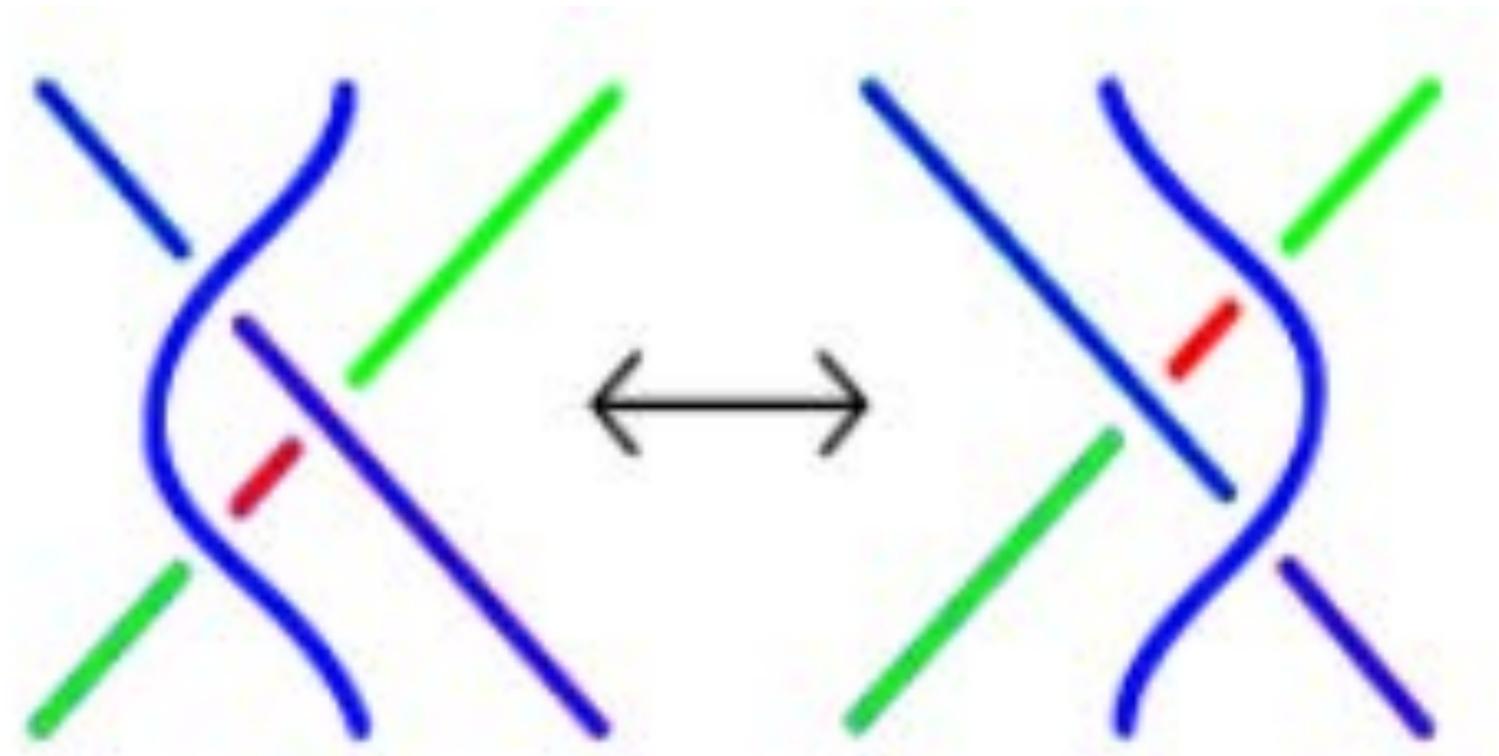
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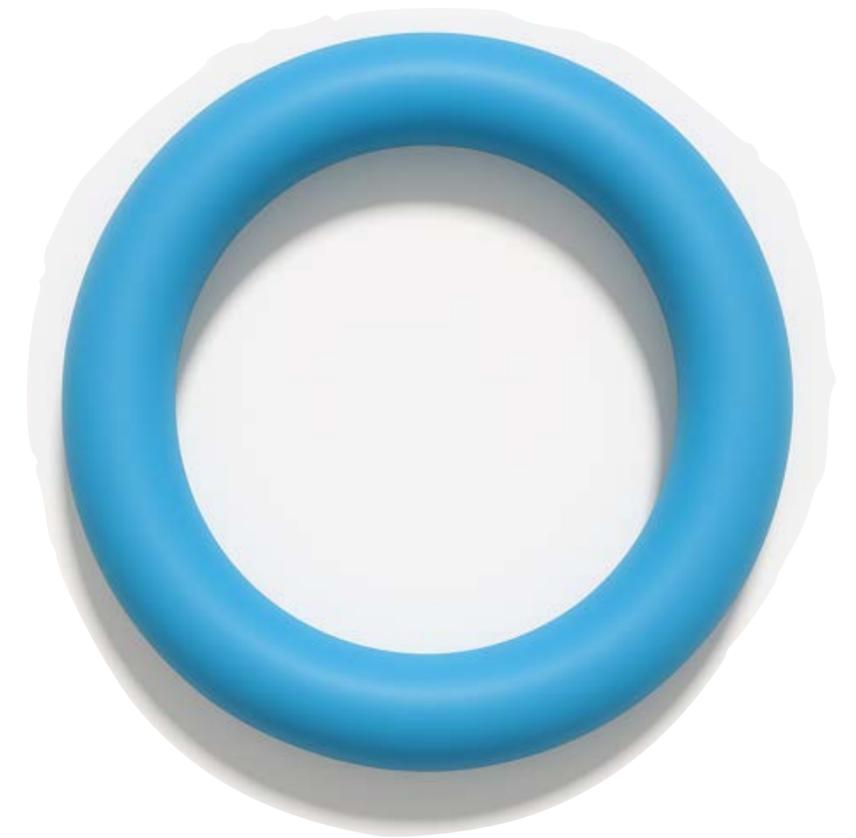
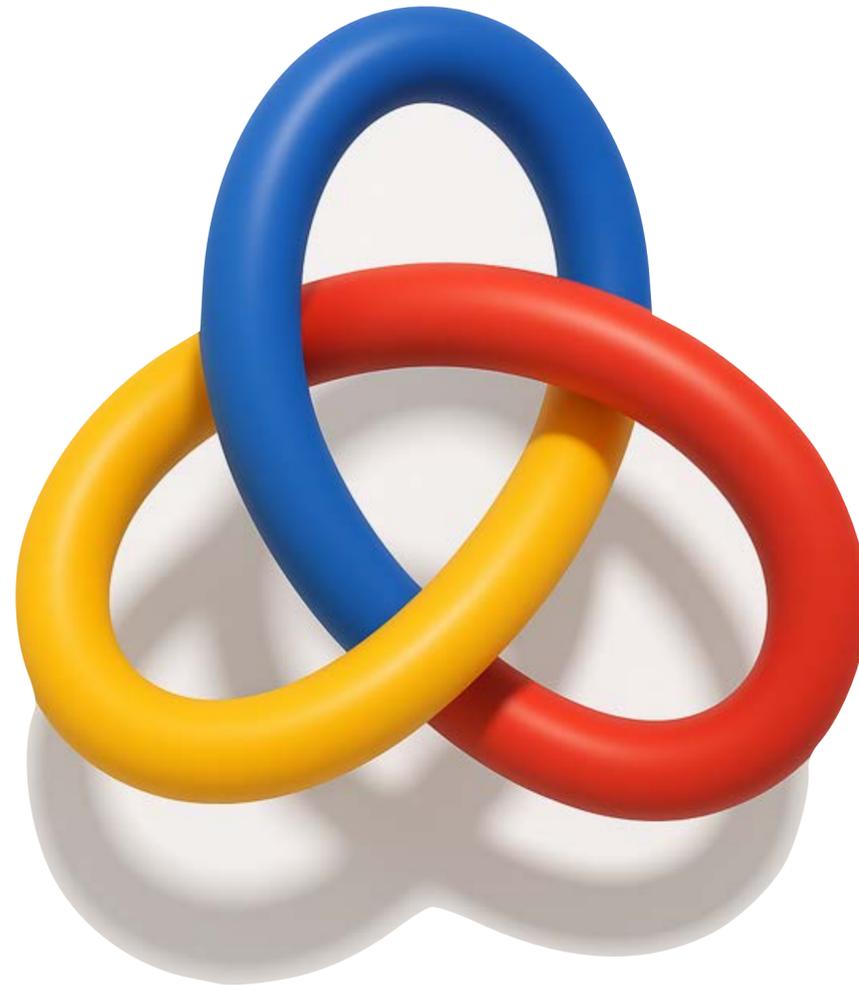
**Exercise: find all other cases**

# Theorem: Tricolorability

Being tricolorable is an invariant of knots.

## Corollary:

Knots exist! The trefoil is different from the unknot.

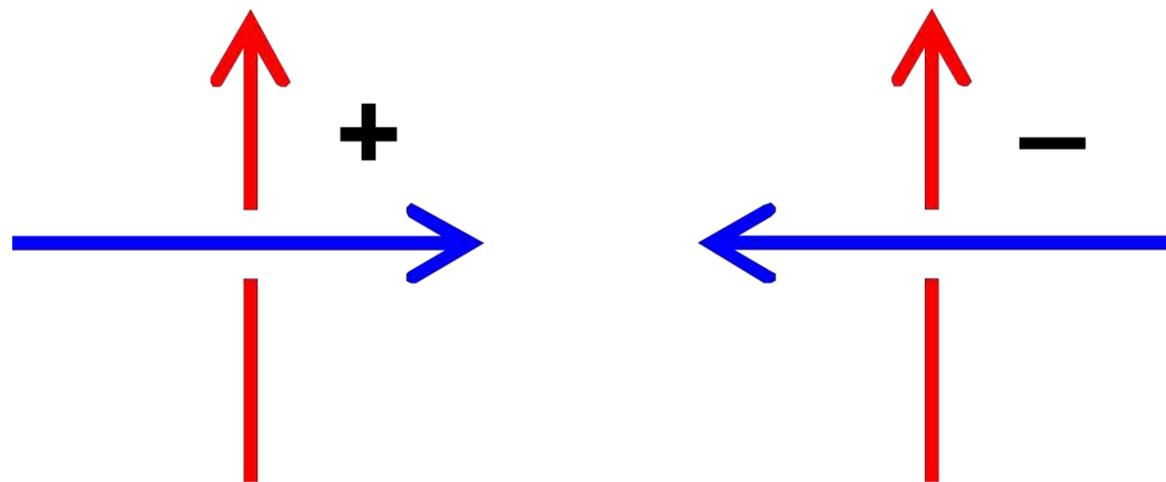


Building More Invariants:

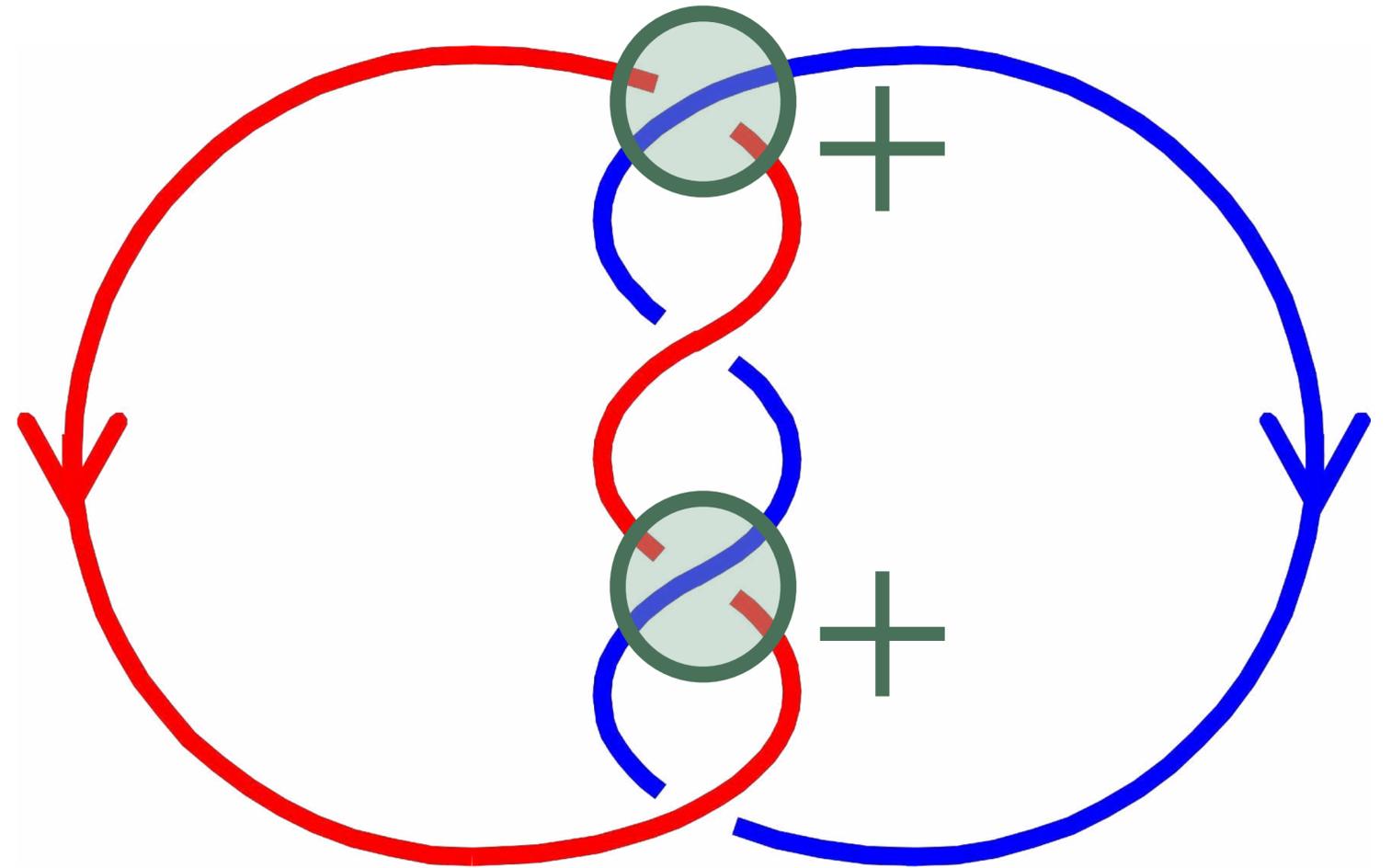
# Linking Number

Given two oriented curves:

(1) Assign  $\pm 1$  to each overcrossing of the first strand



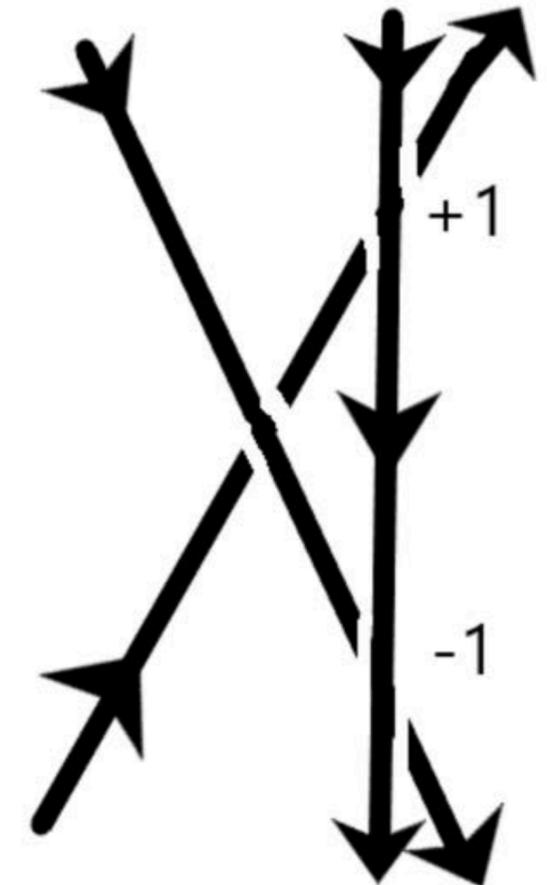
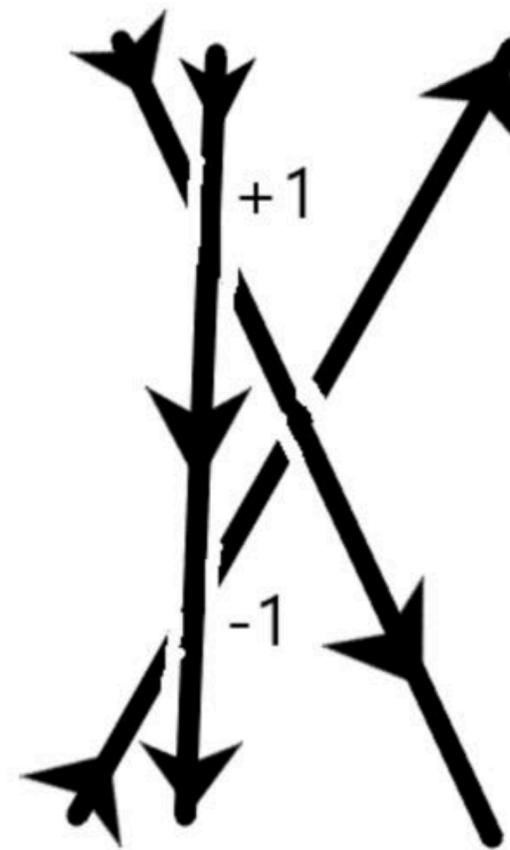
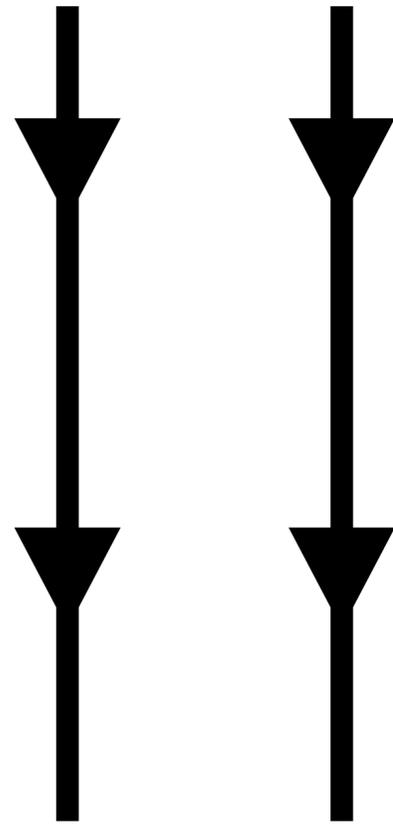
(2) Add up the net result



Building More Invariants:

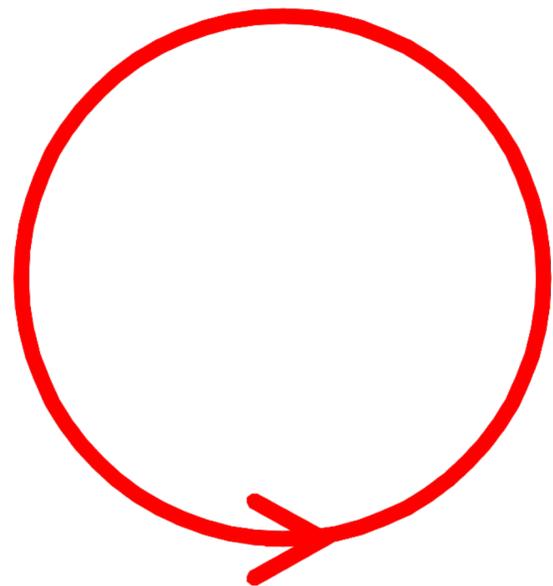
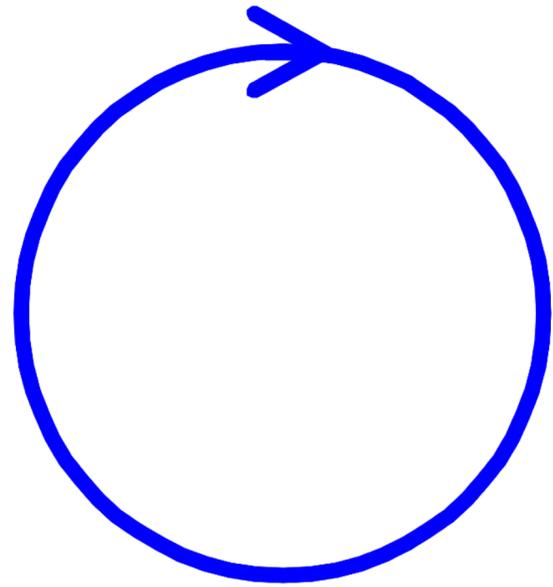
# Linking Number

Exercise: this is invariant under the Reidemeister moves

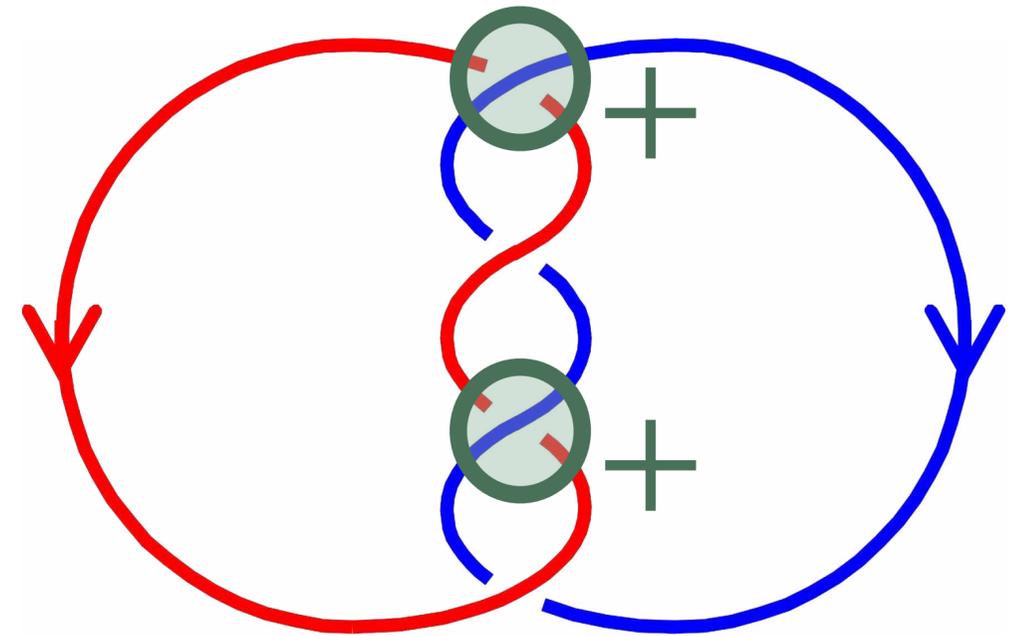
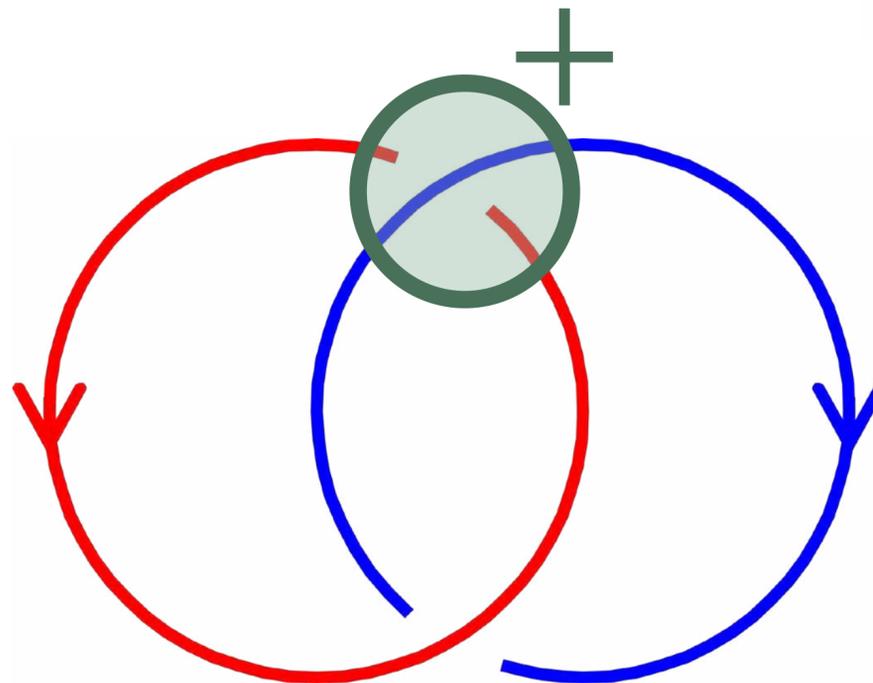


Building More Invariants:

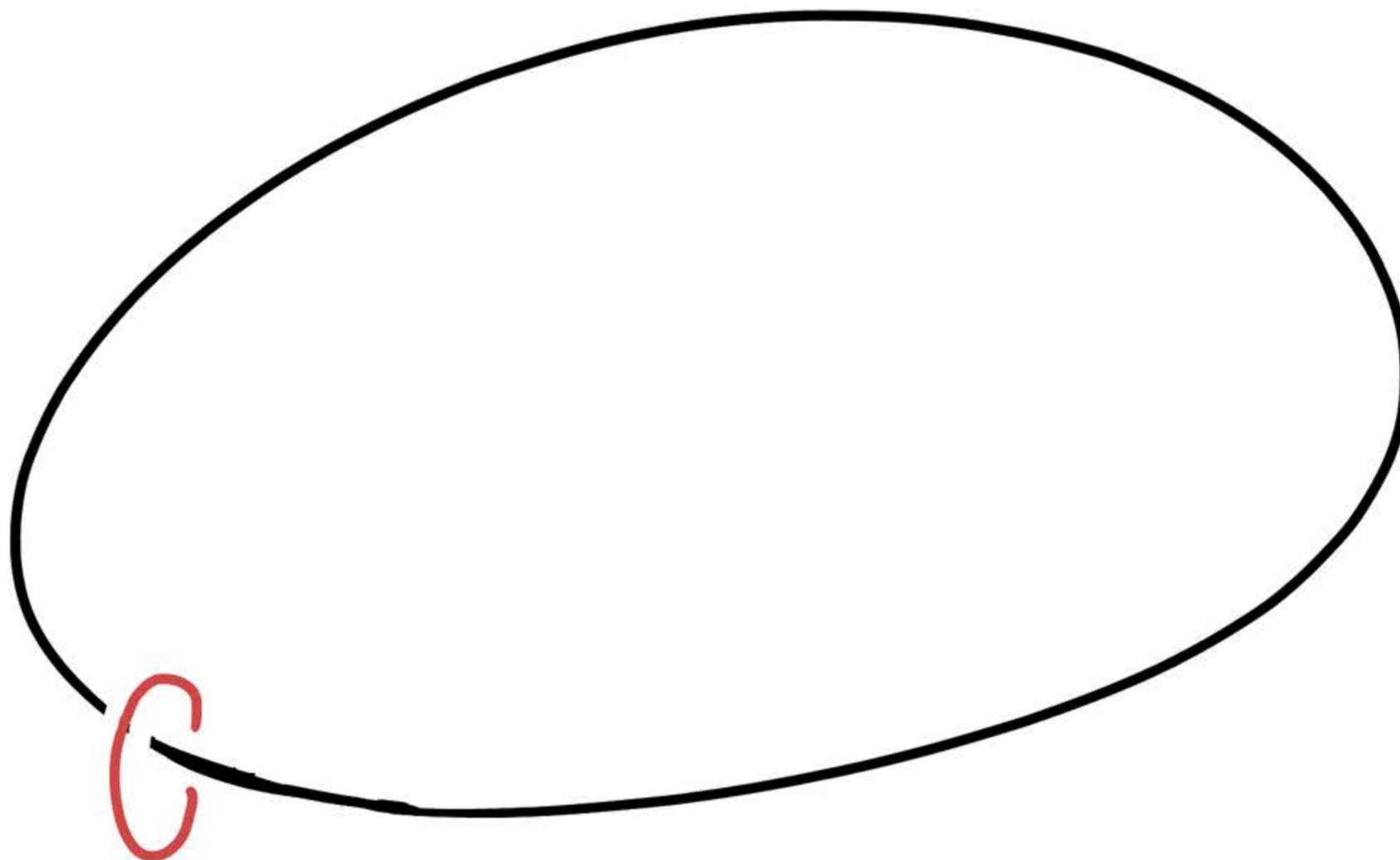
# Linking Number



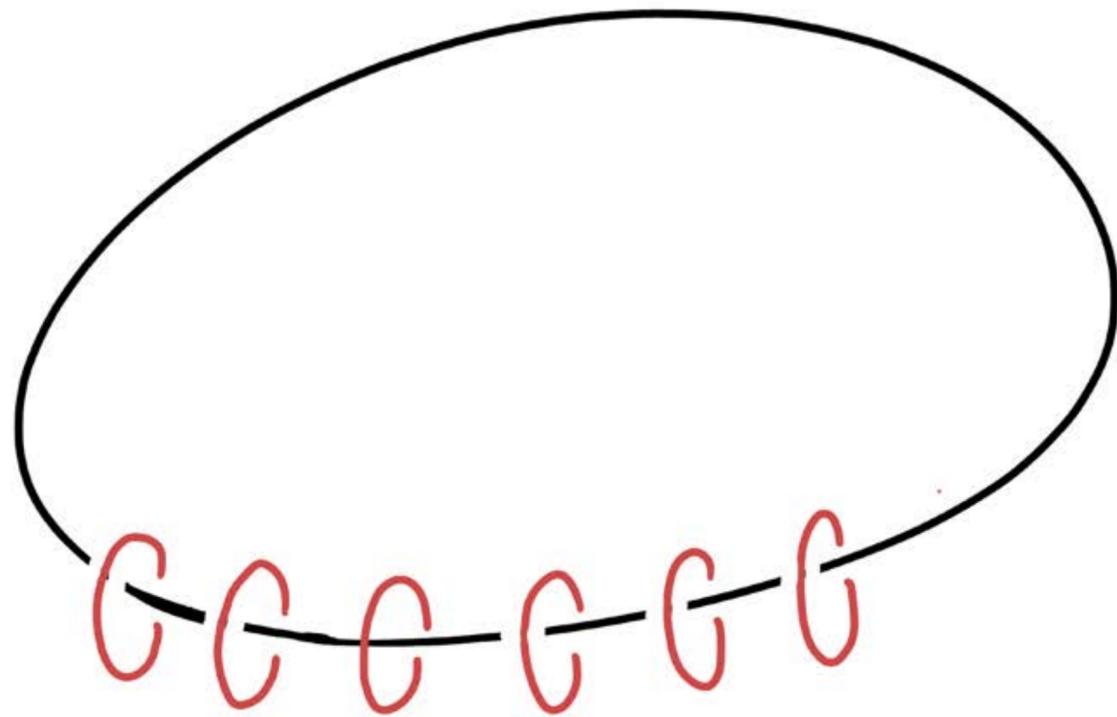
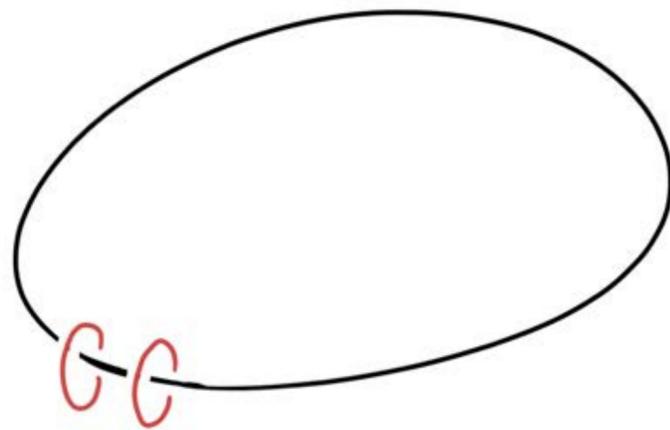
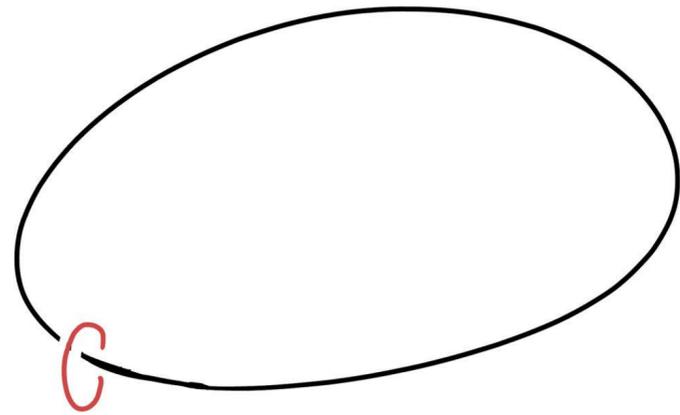
**Corollary**  
Links Exist!



Now that we know they're linked....



Now that we know they're linked....  
continue stringing along.

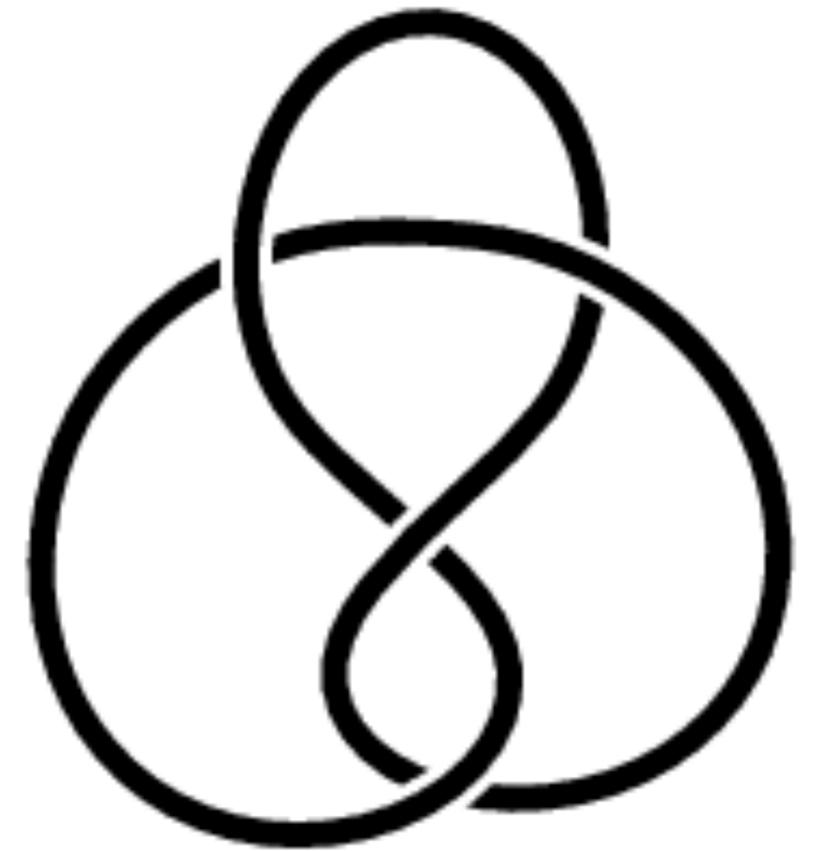
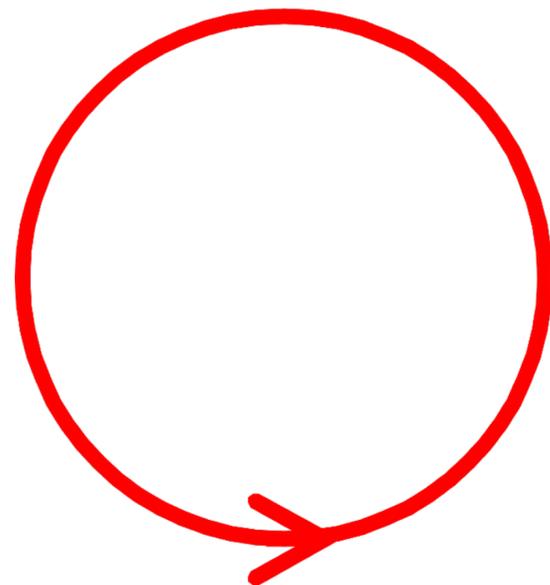
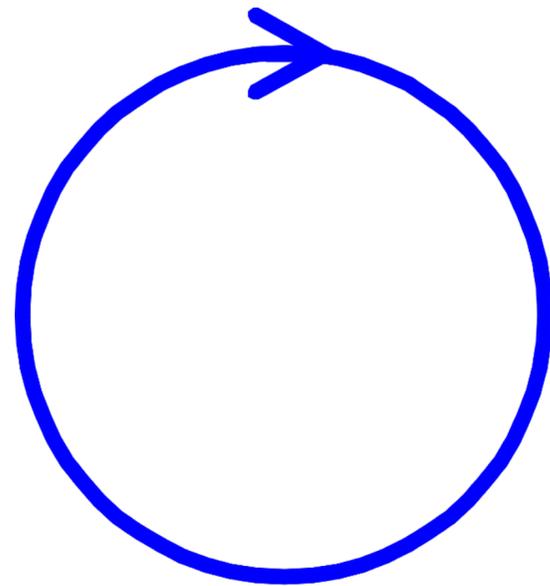
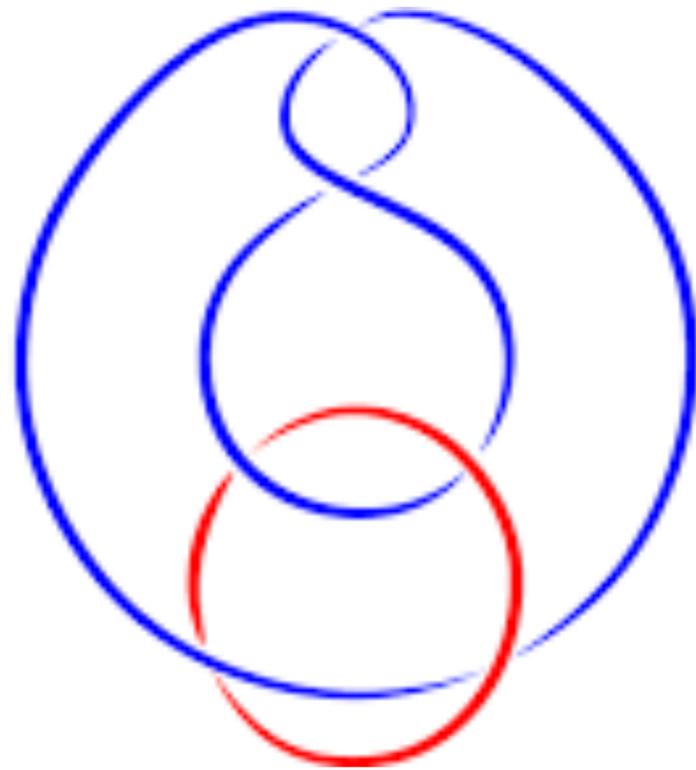


**Corollary**  
Keychains and Necklaces exist

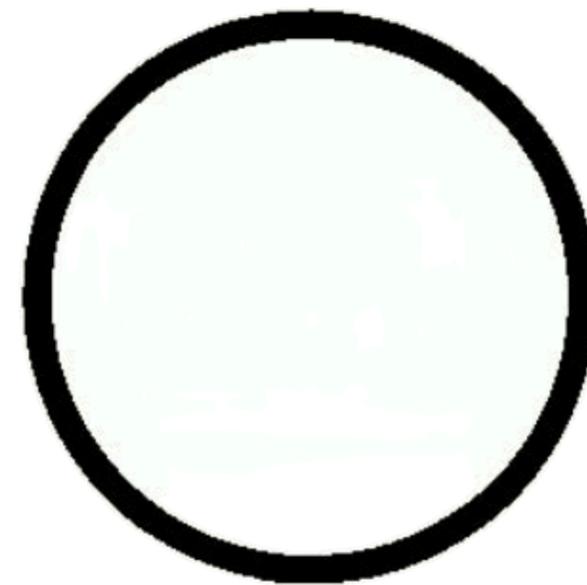
# Knot Classification

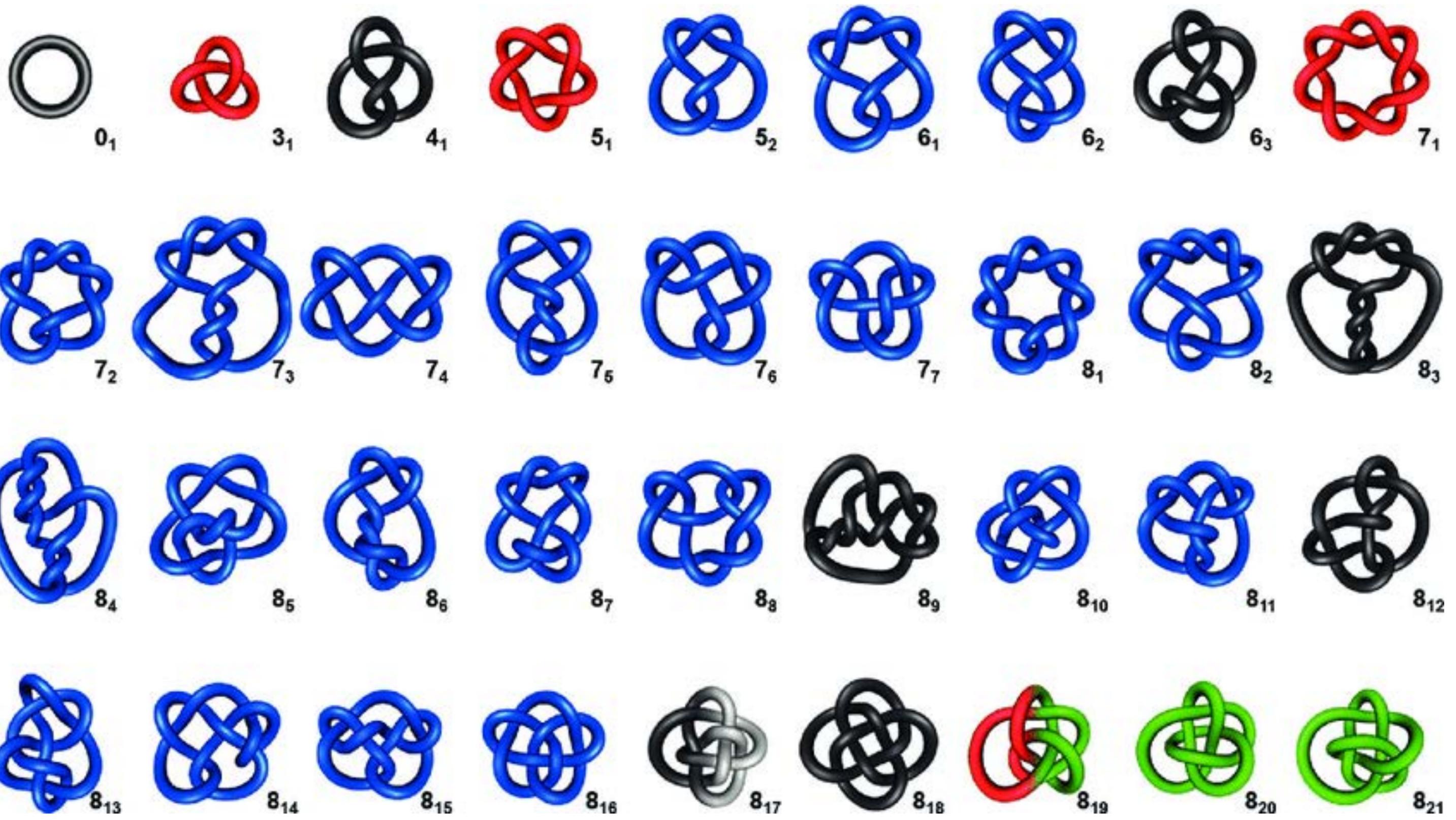
Requires many invariants!

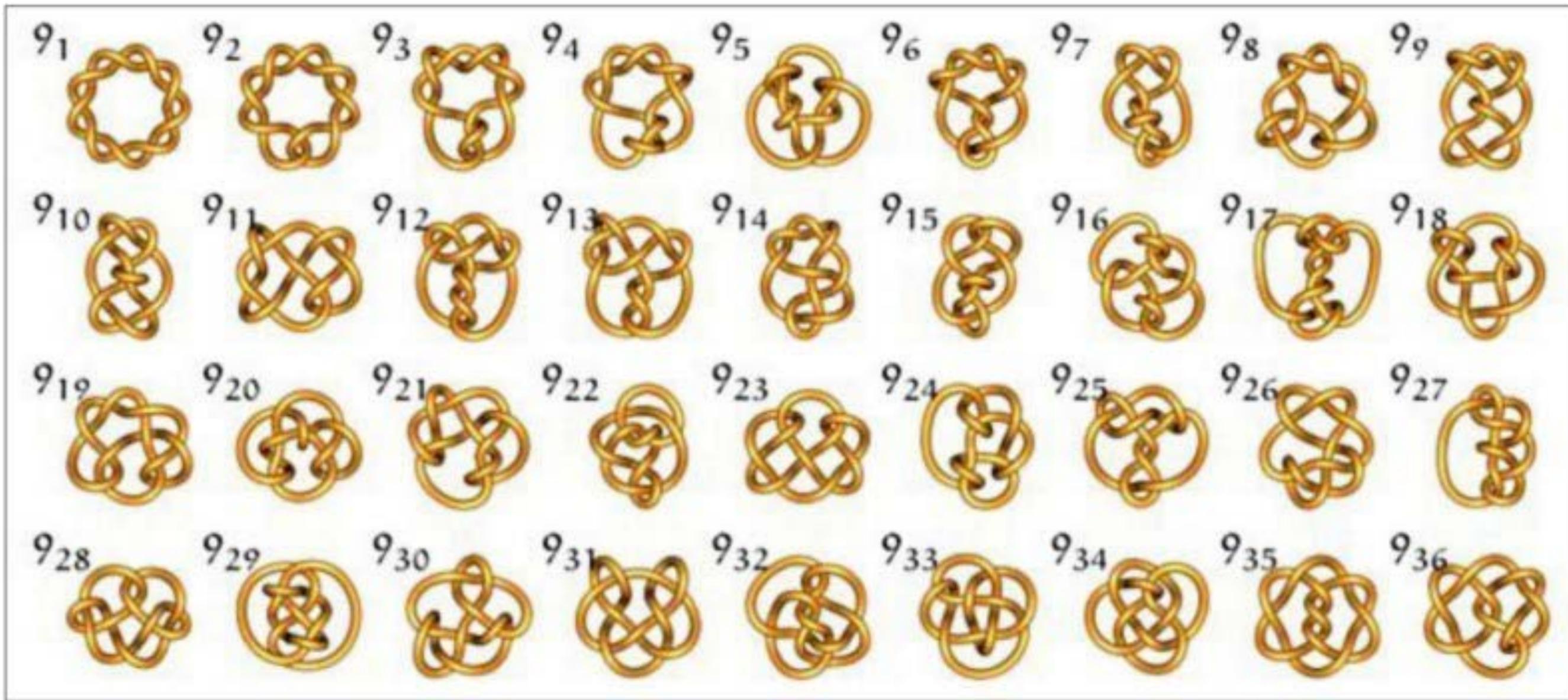
*The whitehead link has linking number zero...*

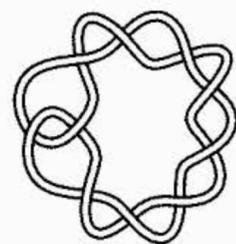


*The figure 8 knot is not tricolorable...*

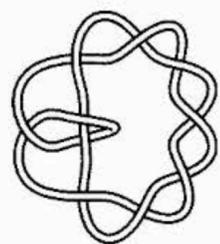




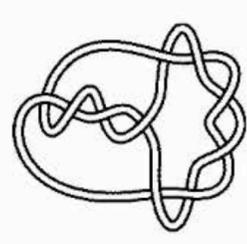




10\_1



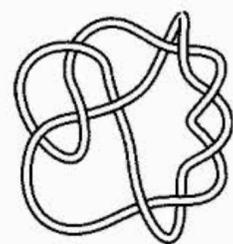
10\_2



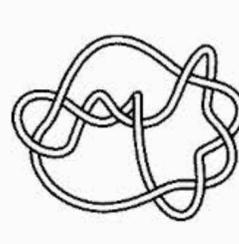
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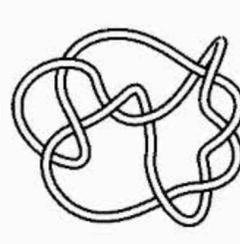
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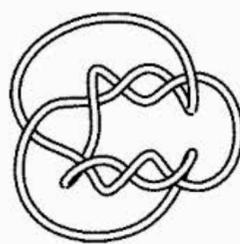
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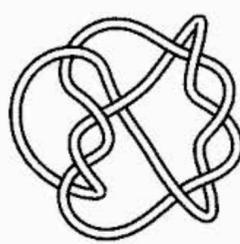
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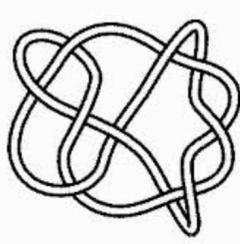
10\_7



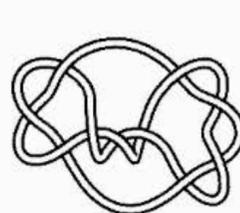
10\_8



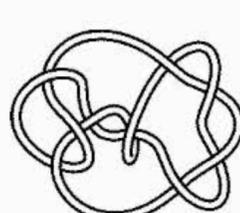
10\_9



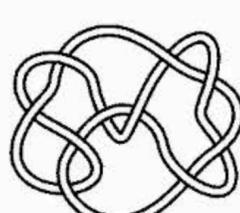
10\_10



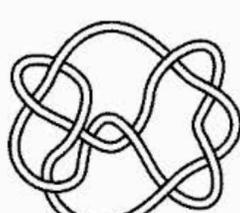
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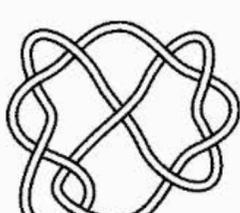
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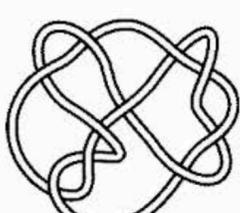
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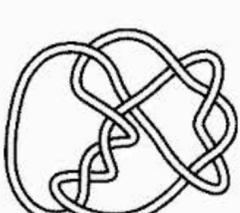
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10\_15



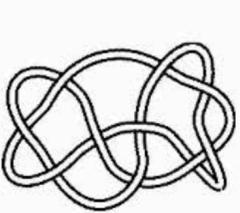
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10\_17



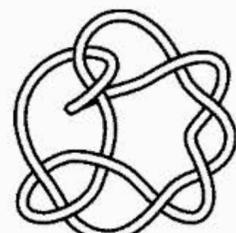
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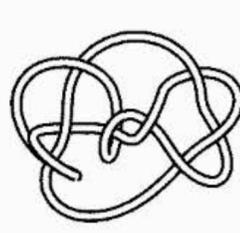
10\_19



10\_20



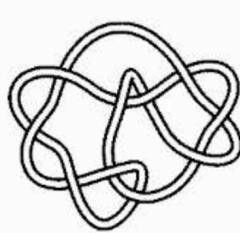
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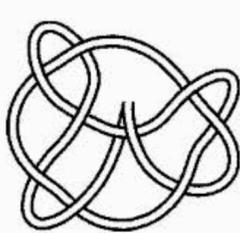
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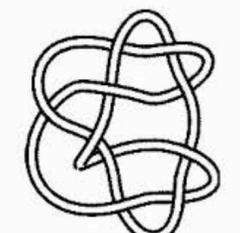
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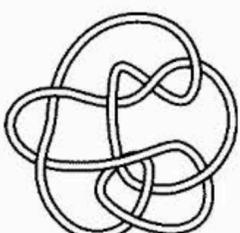
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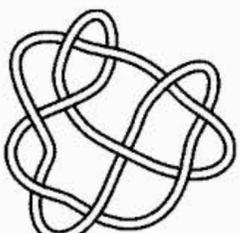
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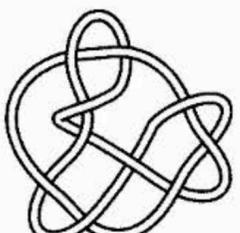
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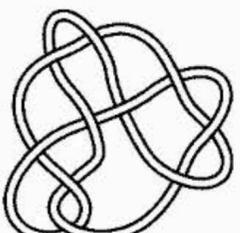
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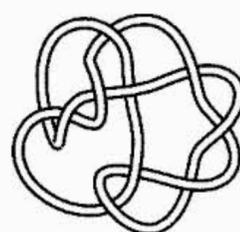
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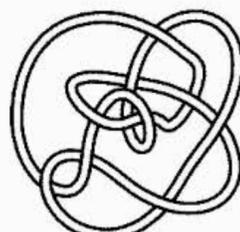
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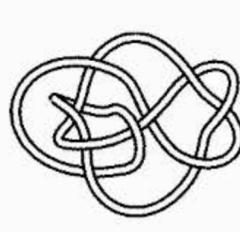
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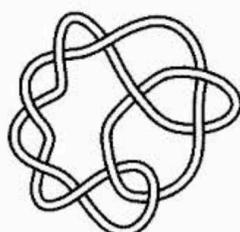
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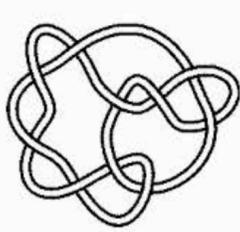
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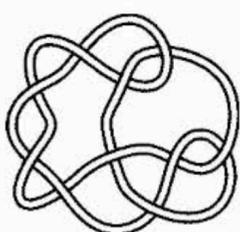
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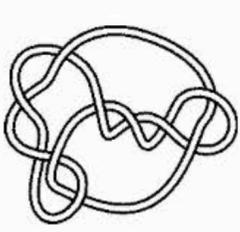
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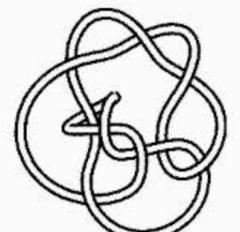
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10\_36



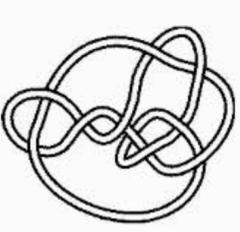
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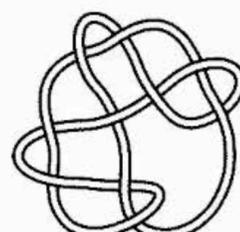
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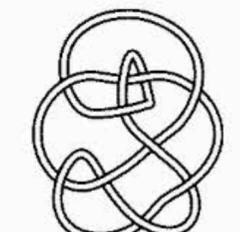
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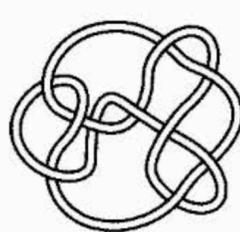
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10\_41



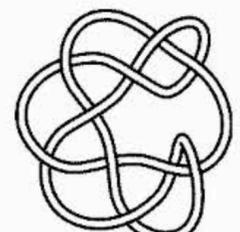
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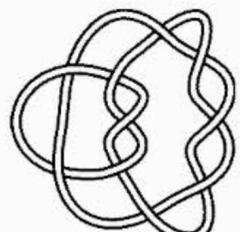
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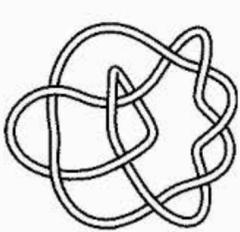
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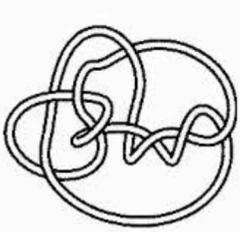
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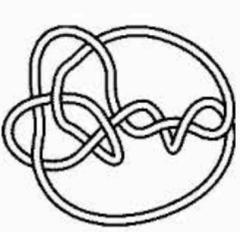
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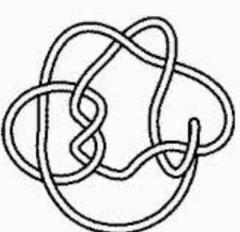
10\_47



10\_48



10\_49



10\_50

Why there are

# **No Knots in 4 Dimensions**

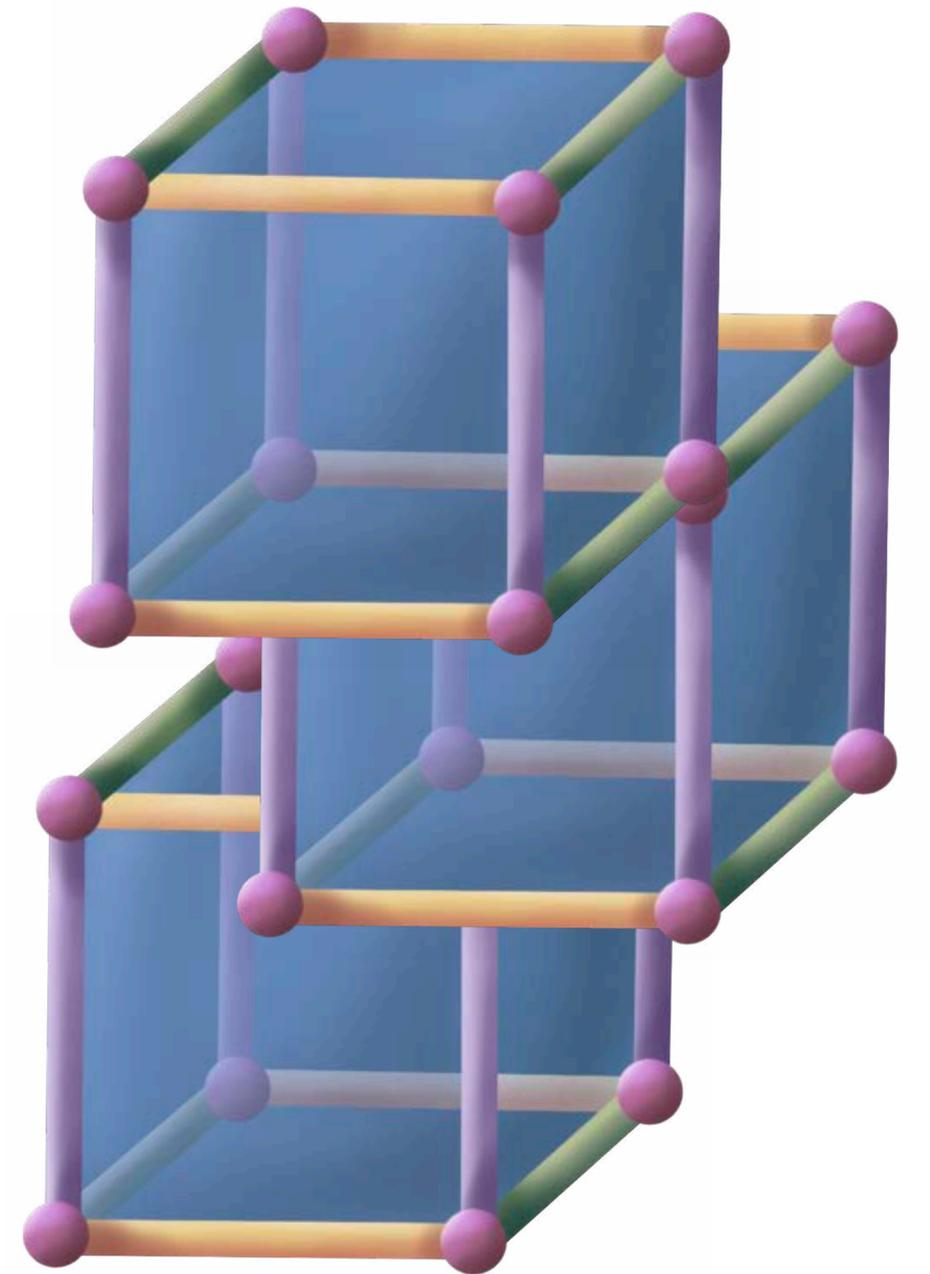
# The 4th Dimension



$(x, y)$

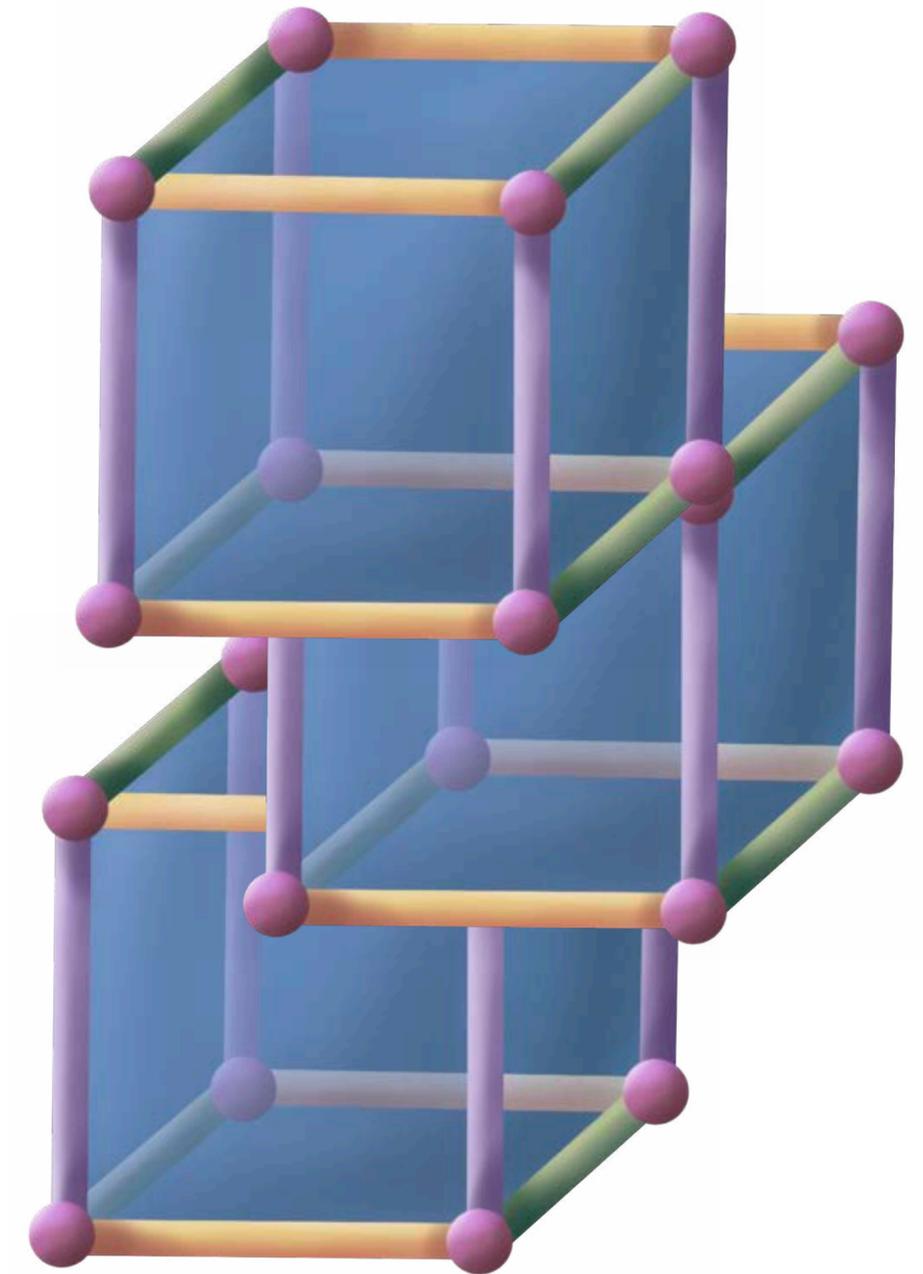
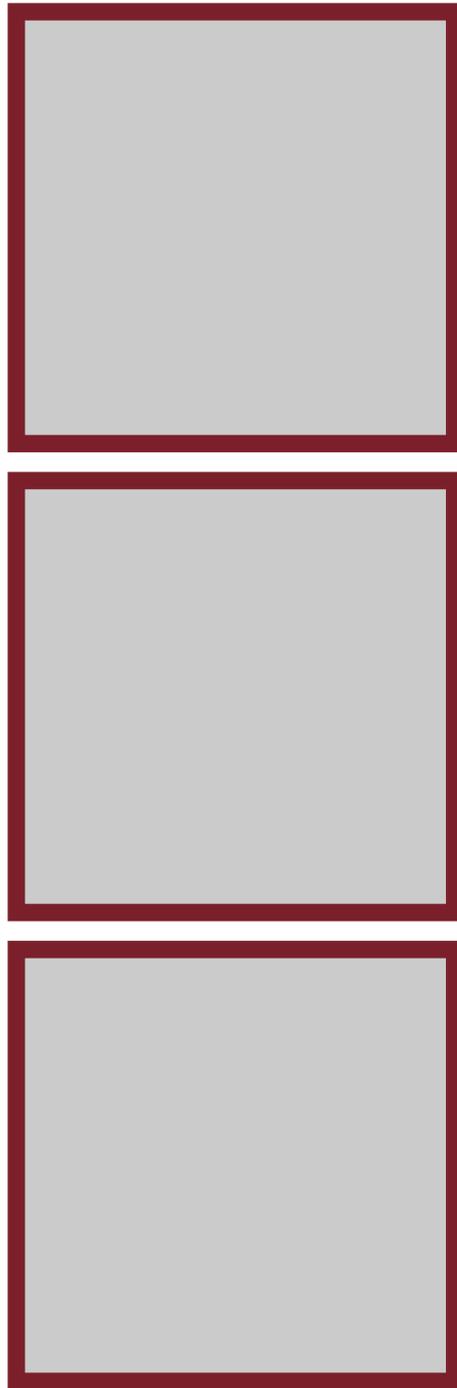


$(x, y, z)$



$(x, y, z, w)$

# The 4th Dimension

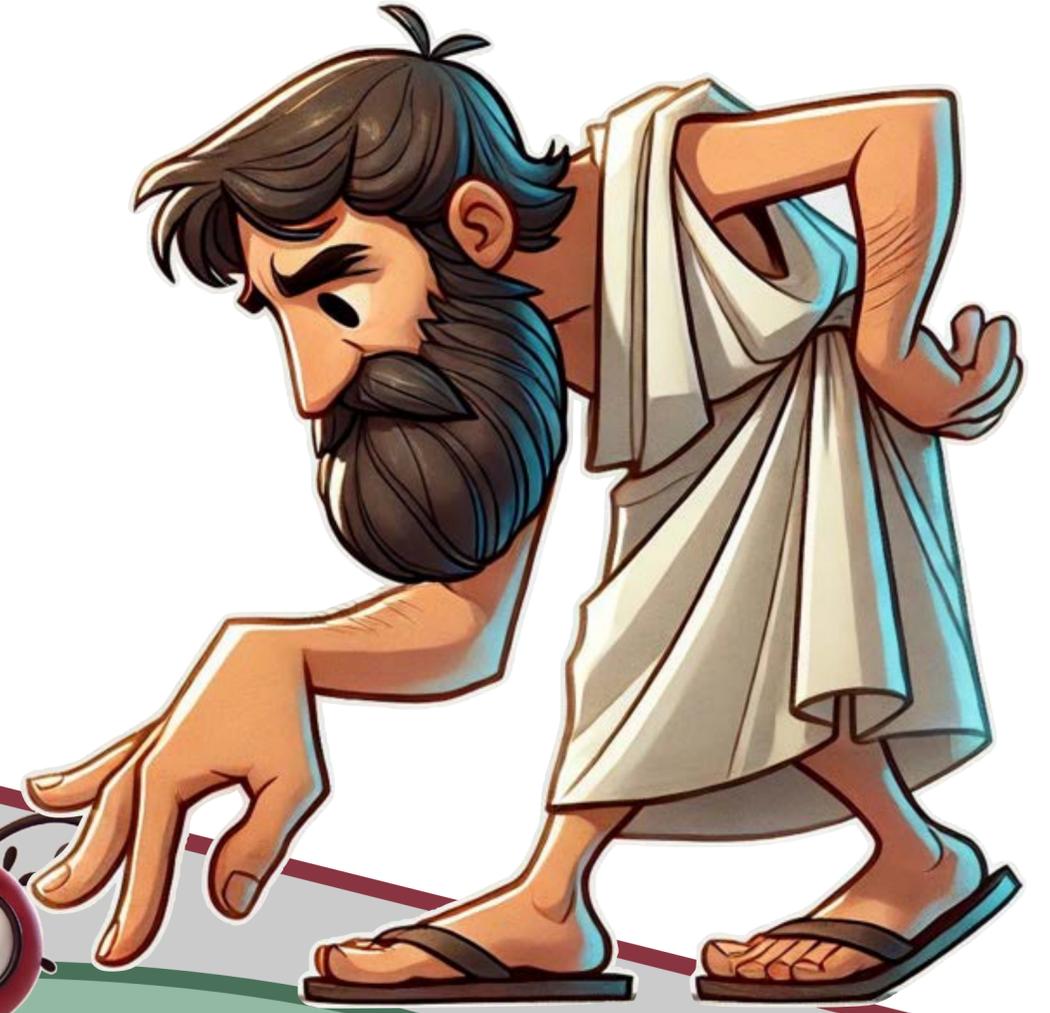


$(x, y, z, w)$

In two dimensions, a creature can be completely trapped by drawing a circle around them.

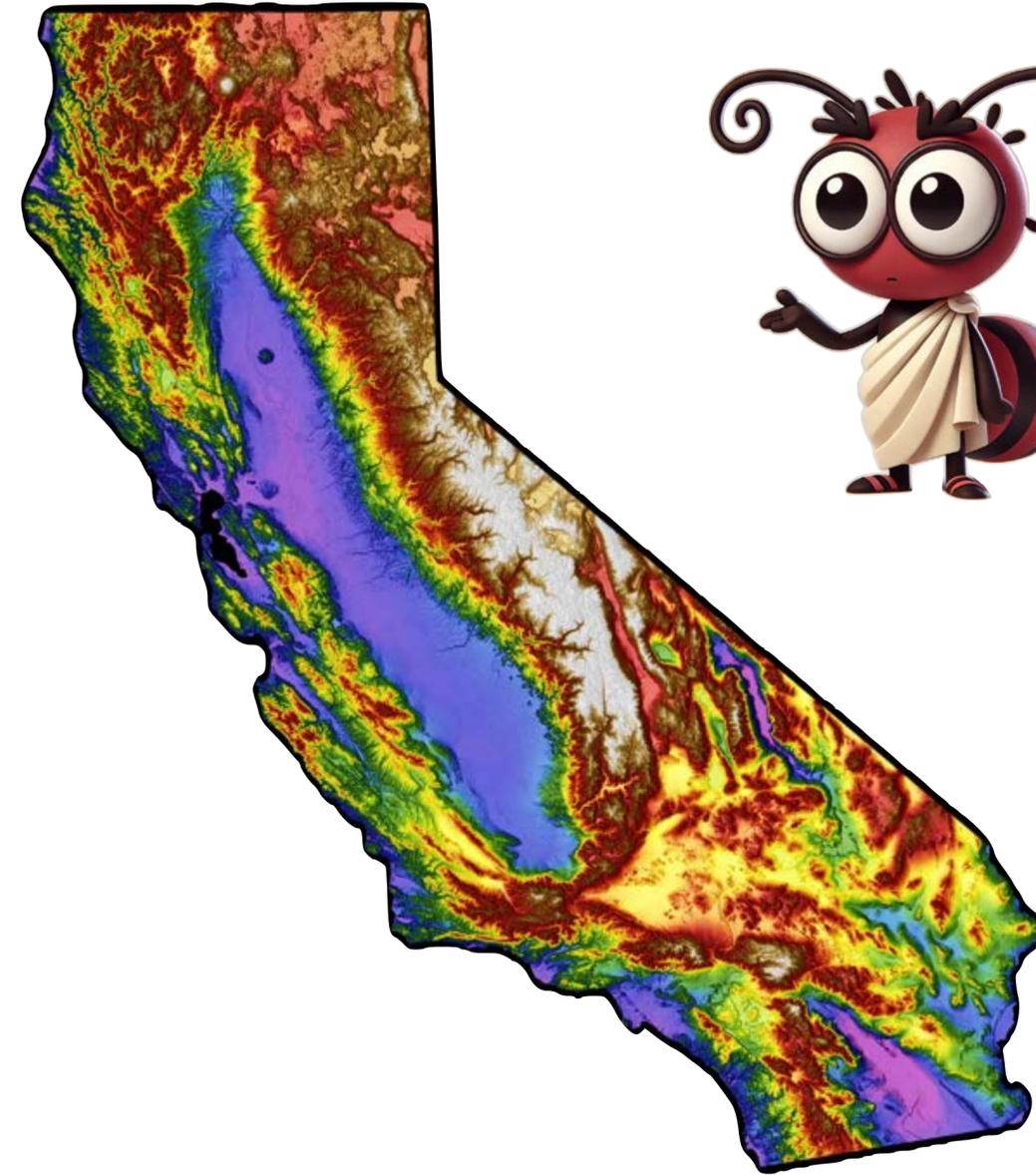


But access to the third dimension grants them an incomprehensible superpower: they can leave the circle without touching it.

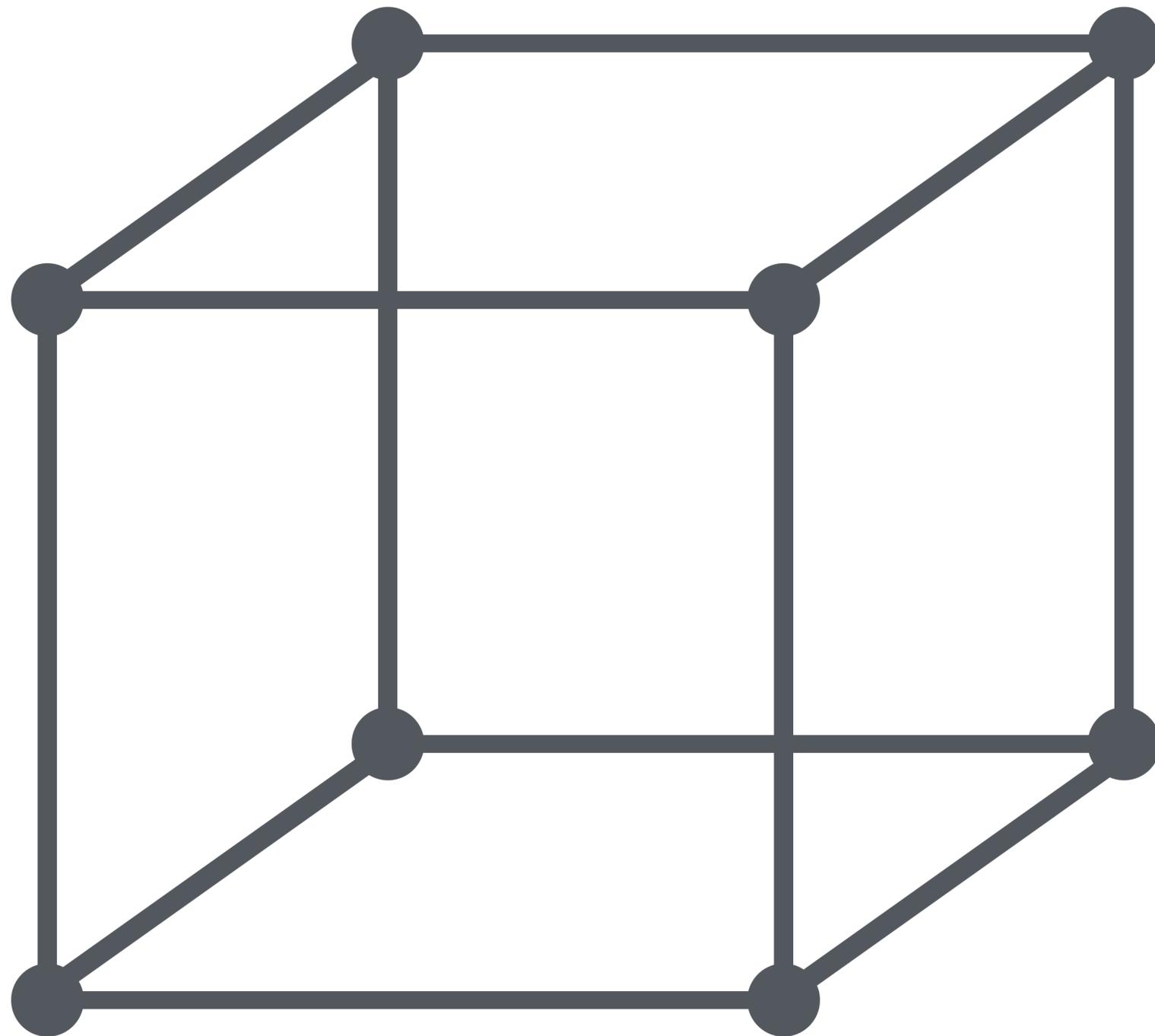
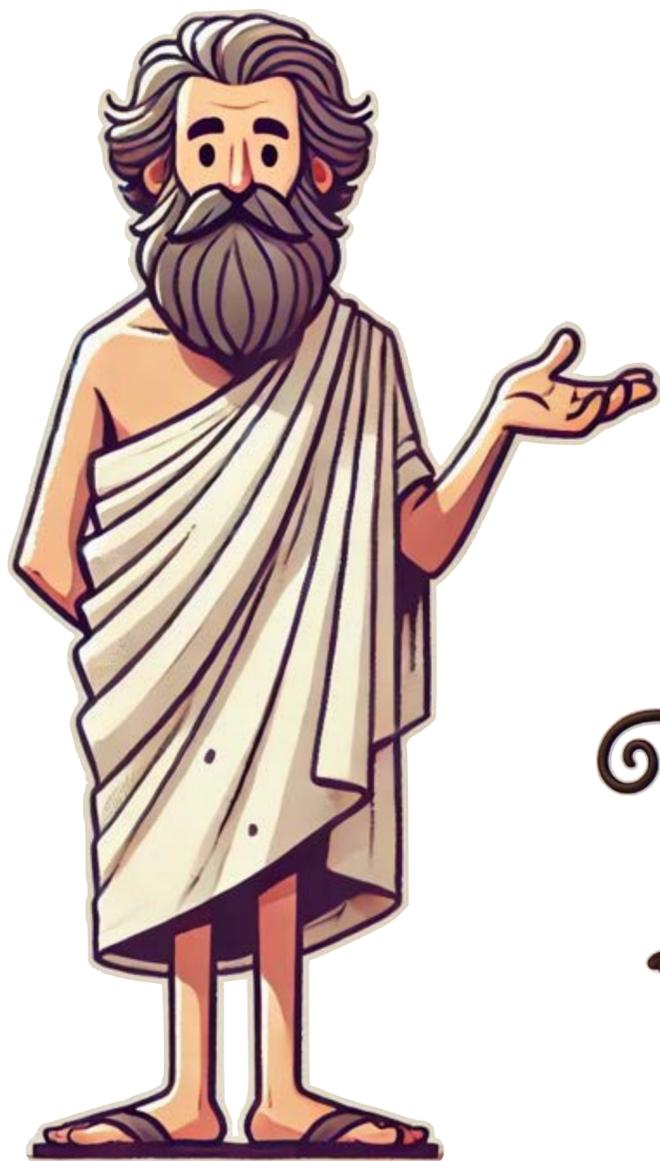


How do we explain this to our ant friend?

2D beings cannot visualize up and down: so they need to represent third dimension another way

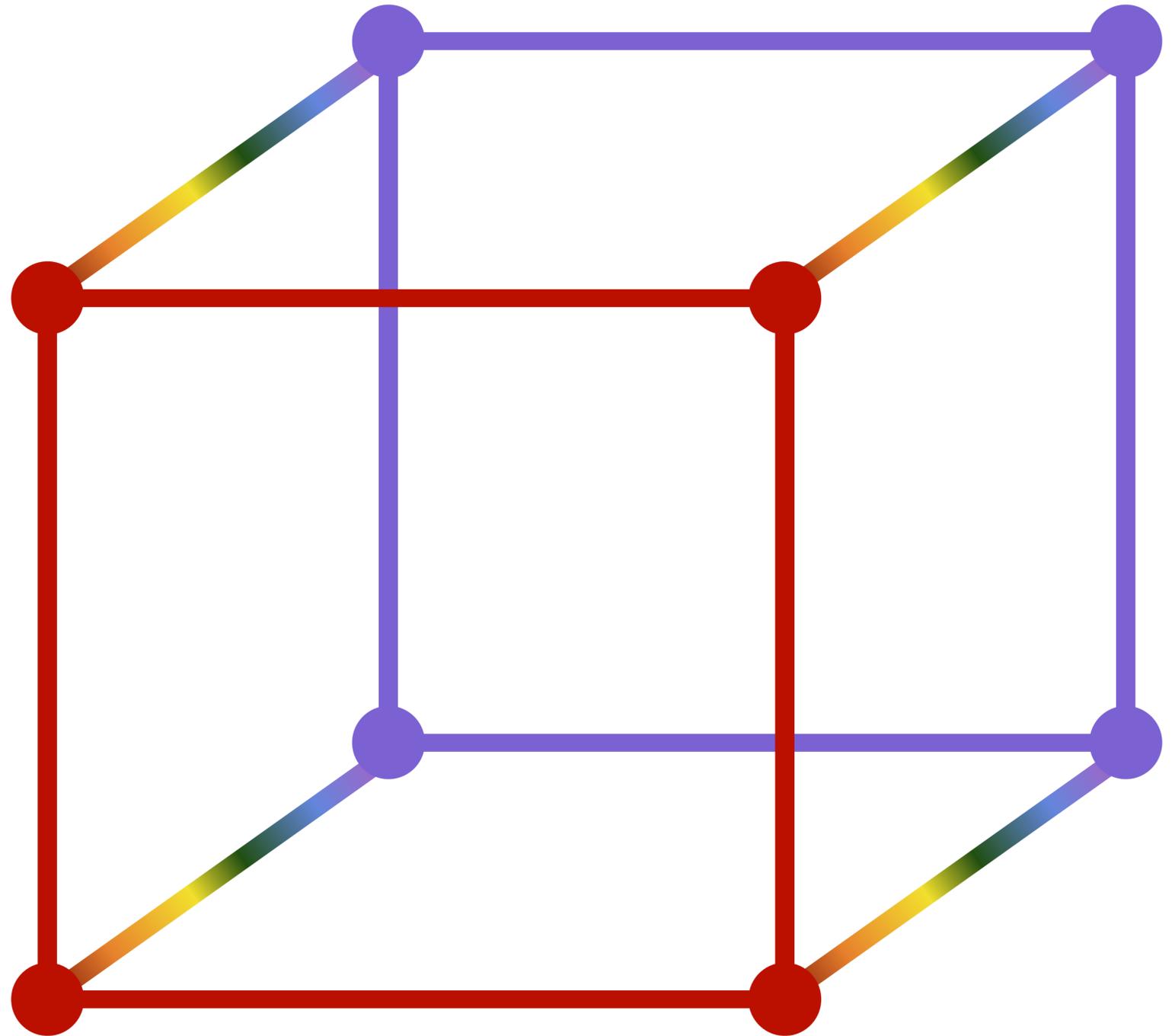
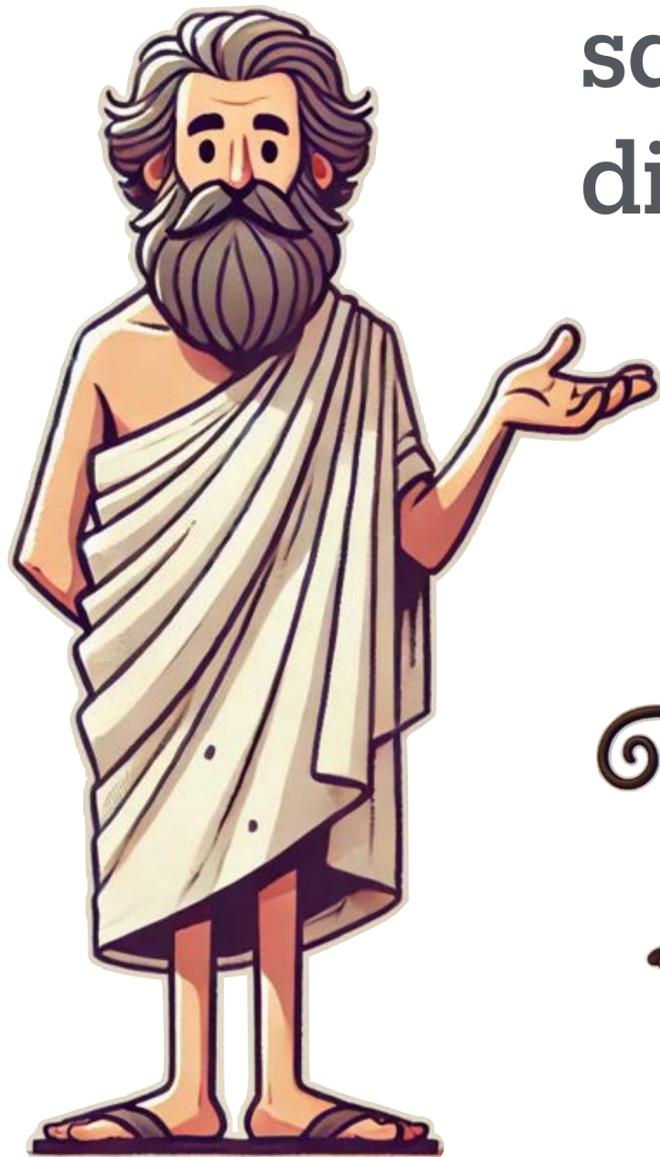


Rule: two objects of different colors are not touching

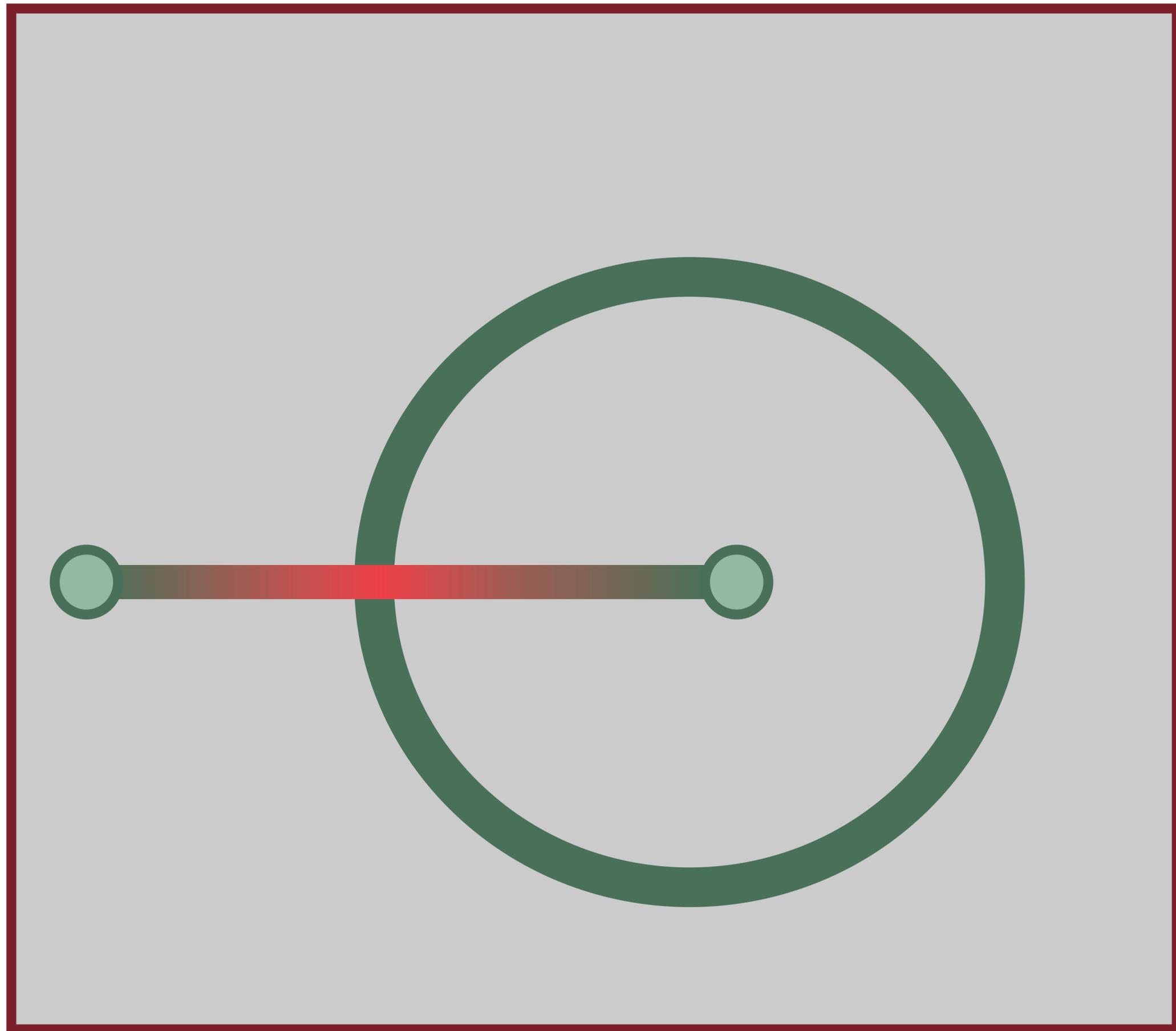


Rule: two objects of different colors are not touching

**They have the same (x,y), but different z**



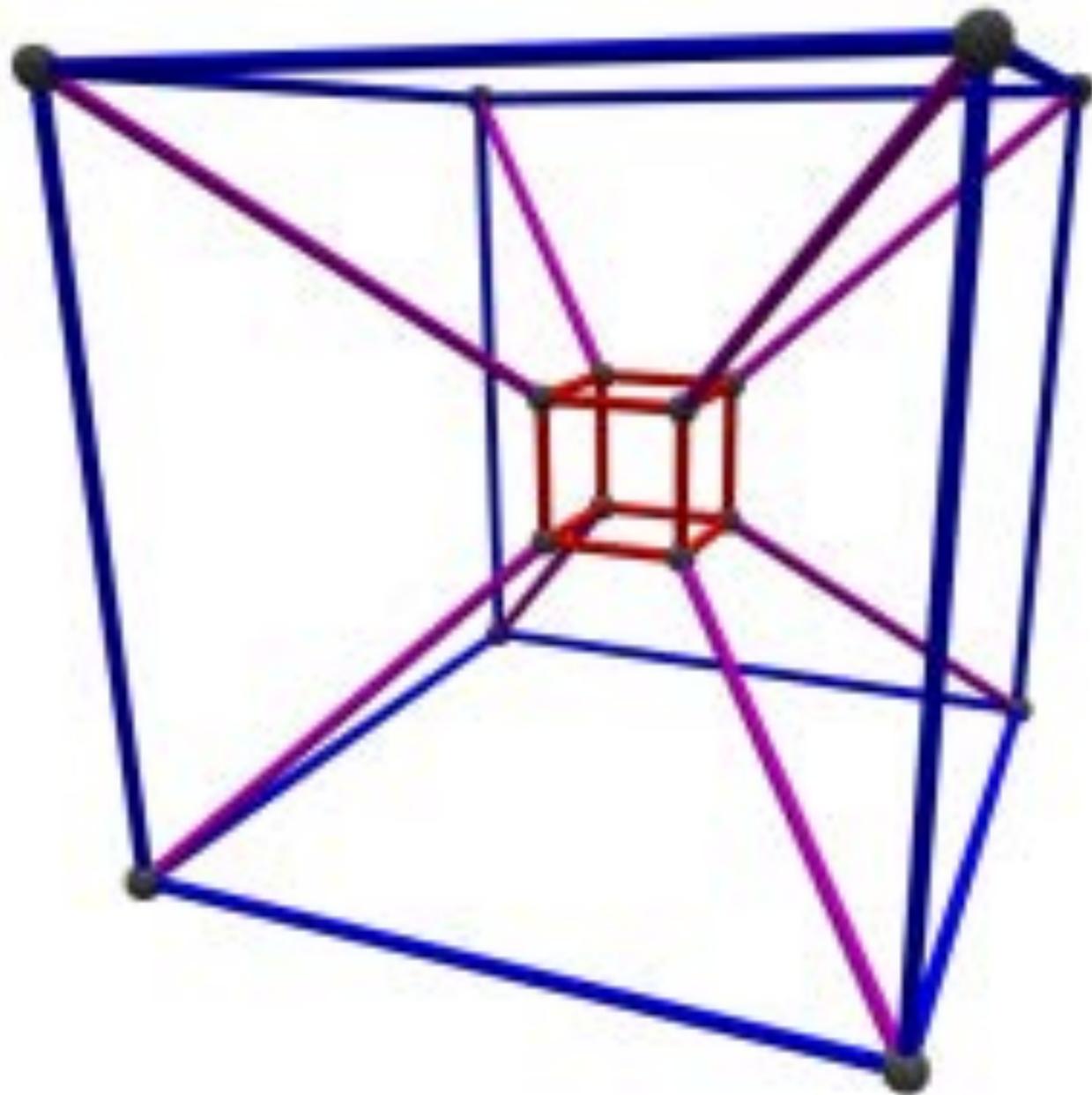
Ah! I See! Changing color, I can pass “through” the circle without touching it, because I am really “over the top” of it.



The four dimension  
**via color**

Objects in 4D can be drawn as an “elevation map”: a 3D object with color at each point.

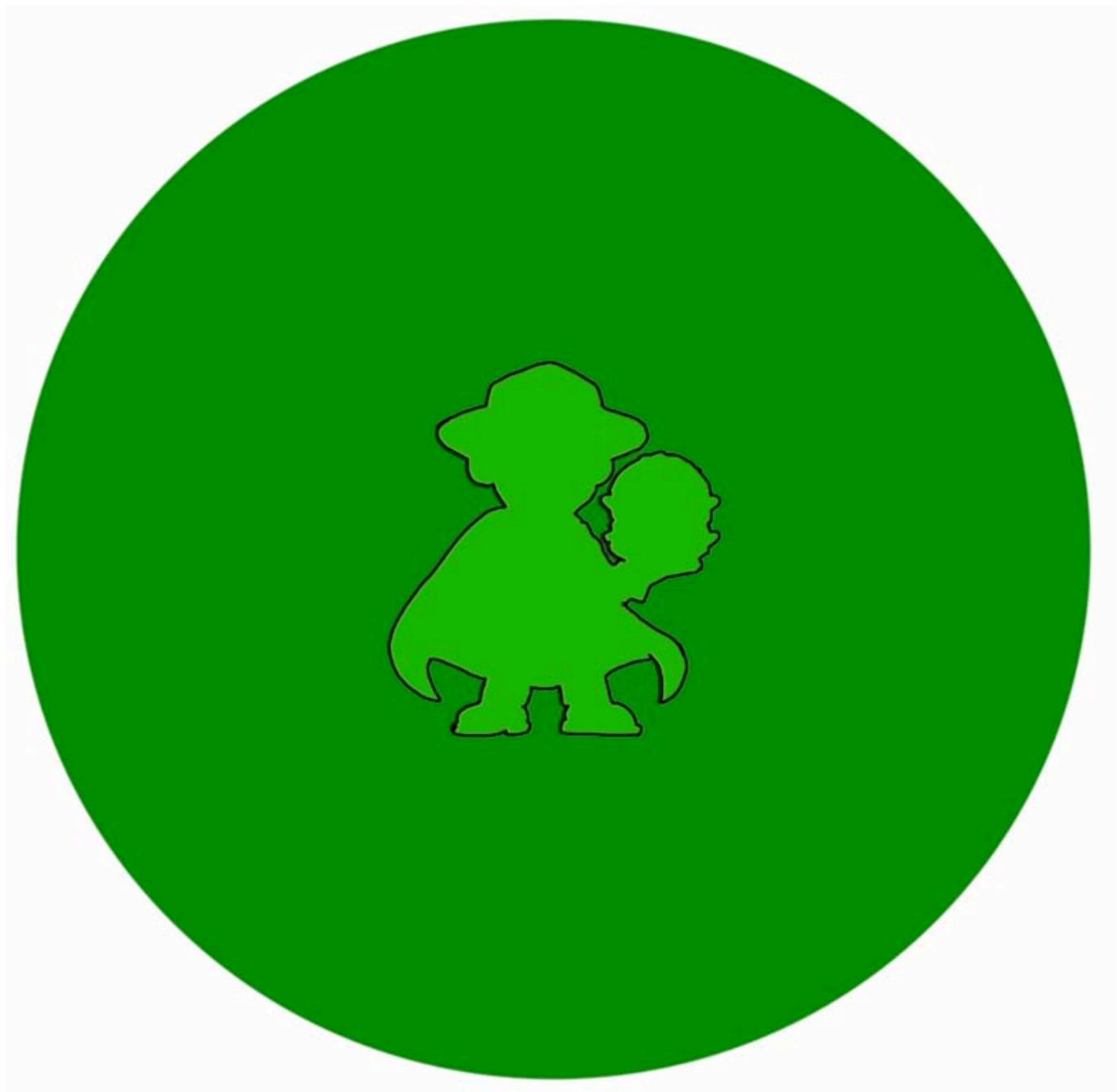
Two things occupying same space but different colors are not intersecting, but rather passing “over” one another.



# Getting out of jail

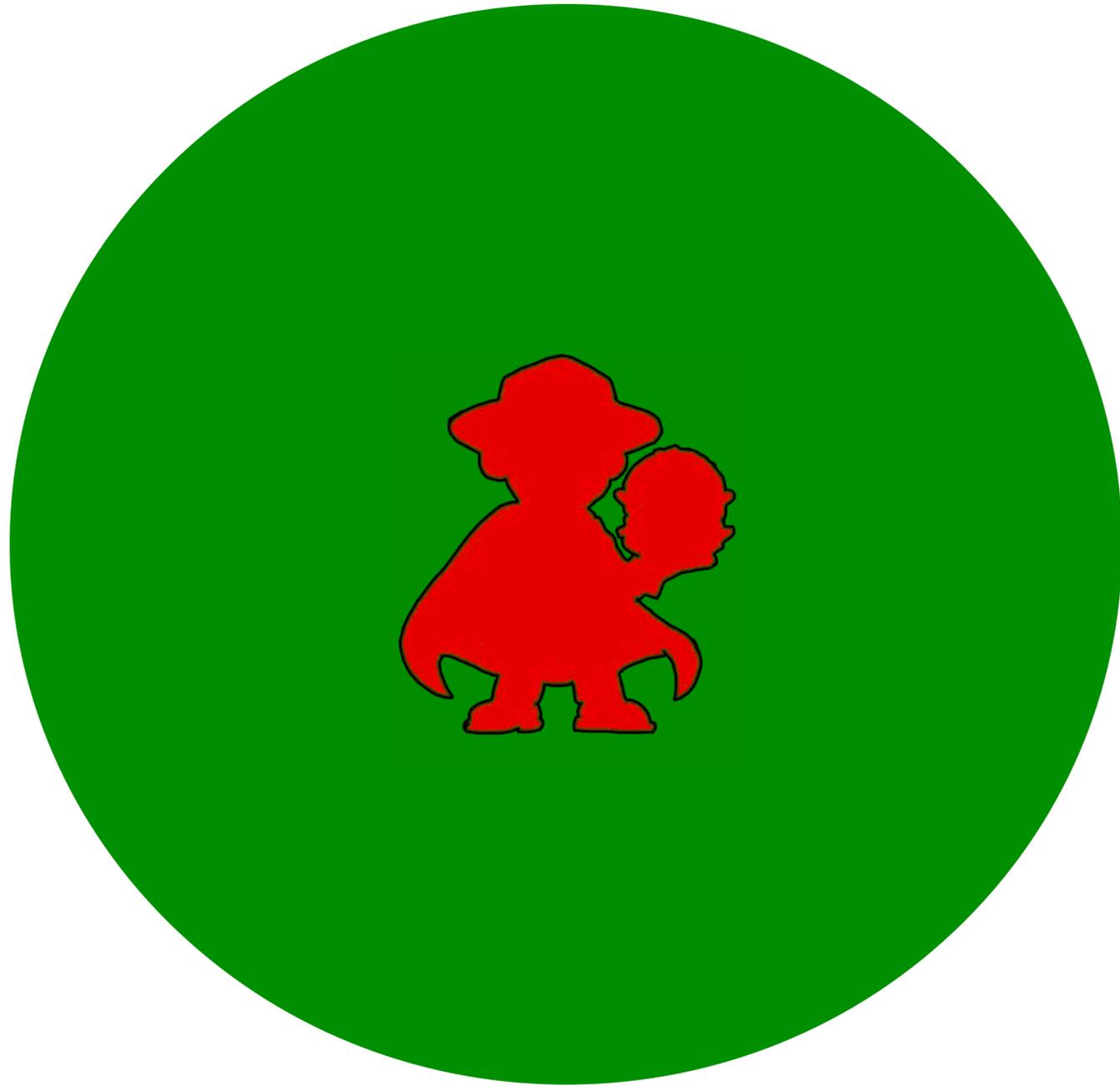


# Getting out of jail



Starting in a 3D slice, both are the same color

## Getting out of jail

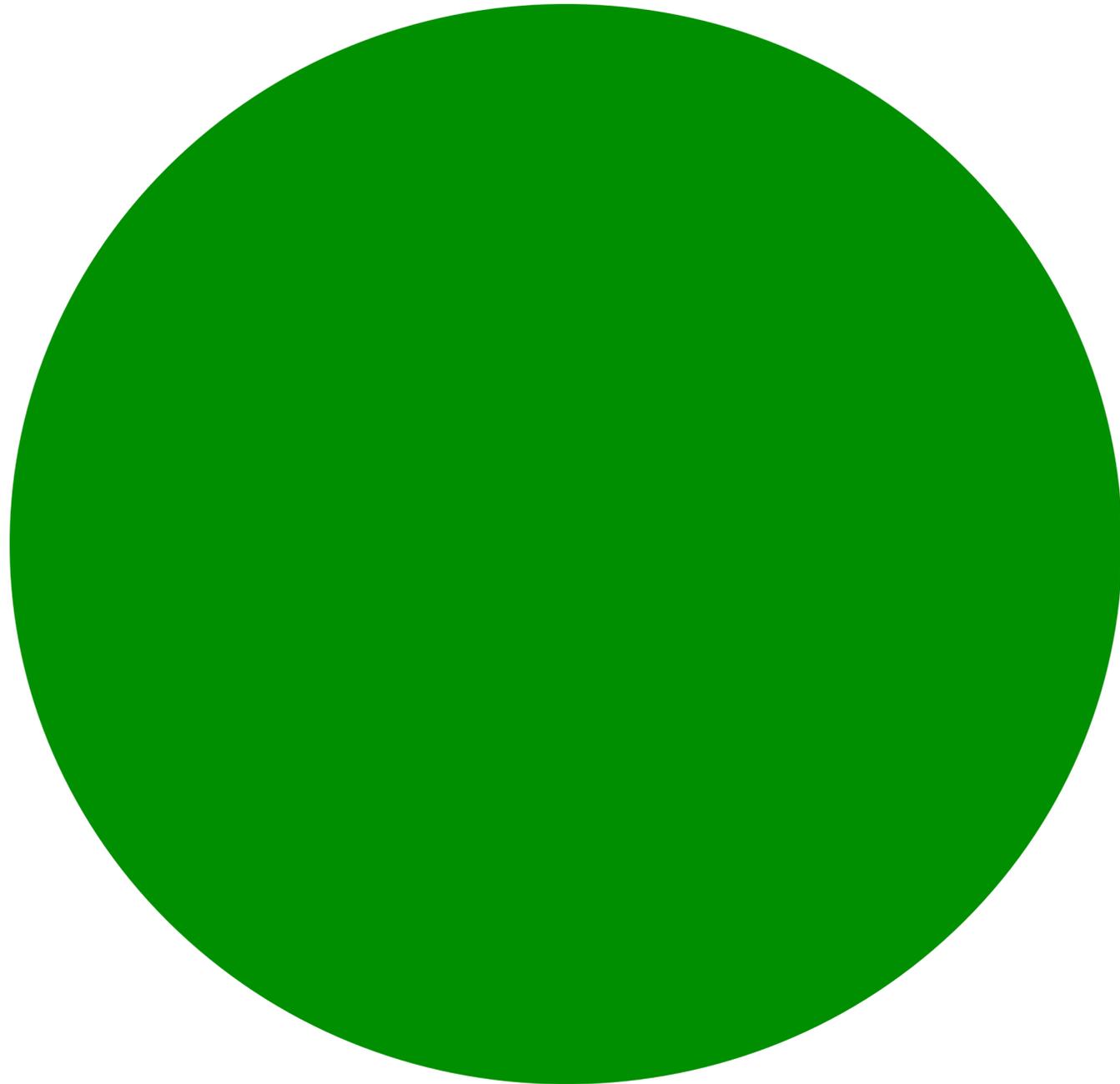


Starting in a 3D slice, both are the same color

The thief changes color, signifying movement up in the 4th direction.

This allows him to easily pass over the sphere

## Getting out of jail



Starting in a 3D slice, both are the same color

The thief changes color, signifying movement up in the 4th direction.



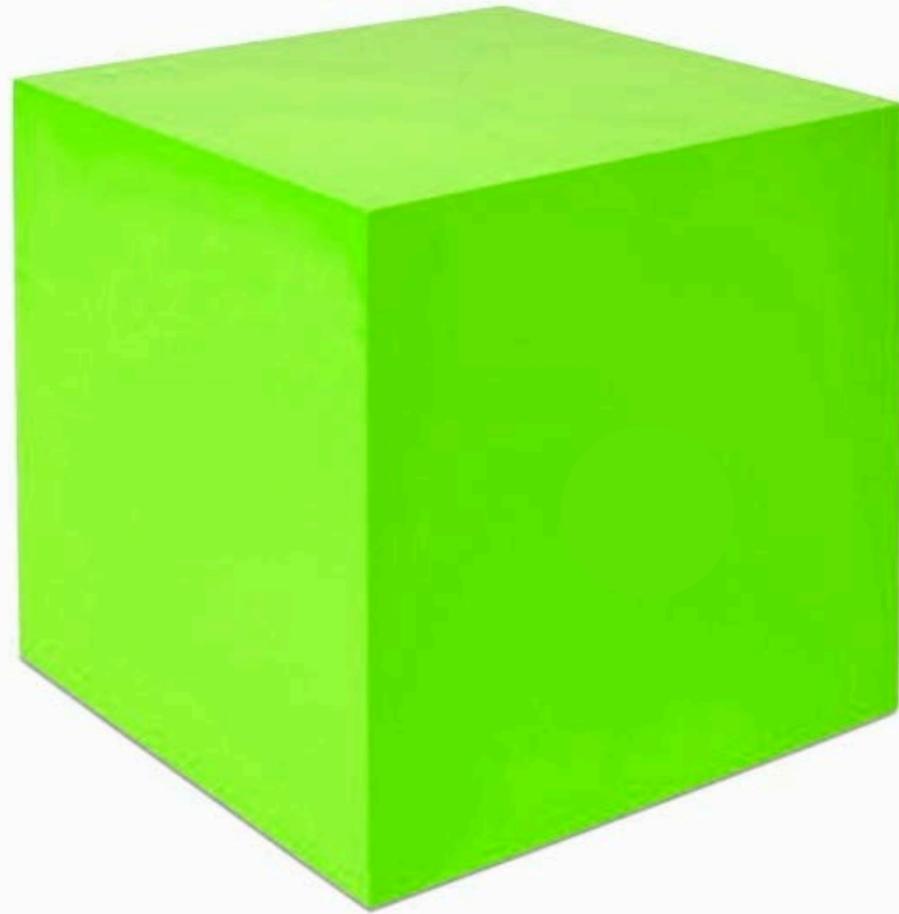
This allows him to easily pass over the sphere

And then return to his original height.

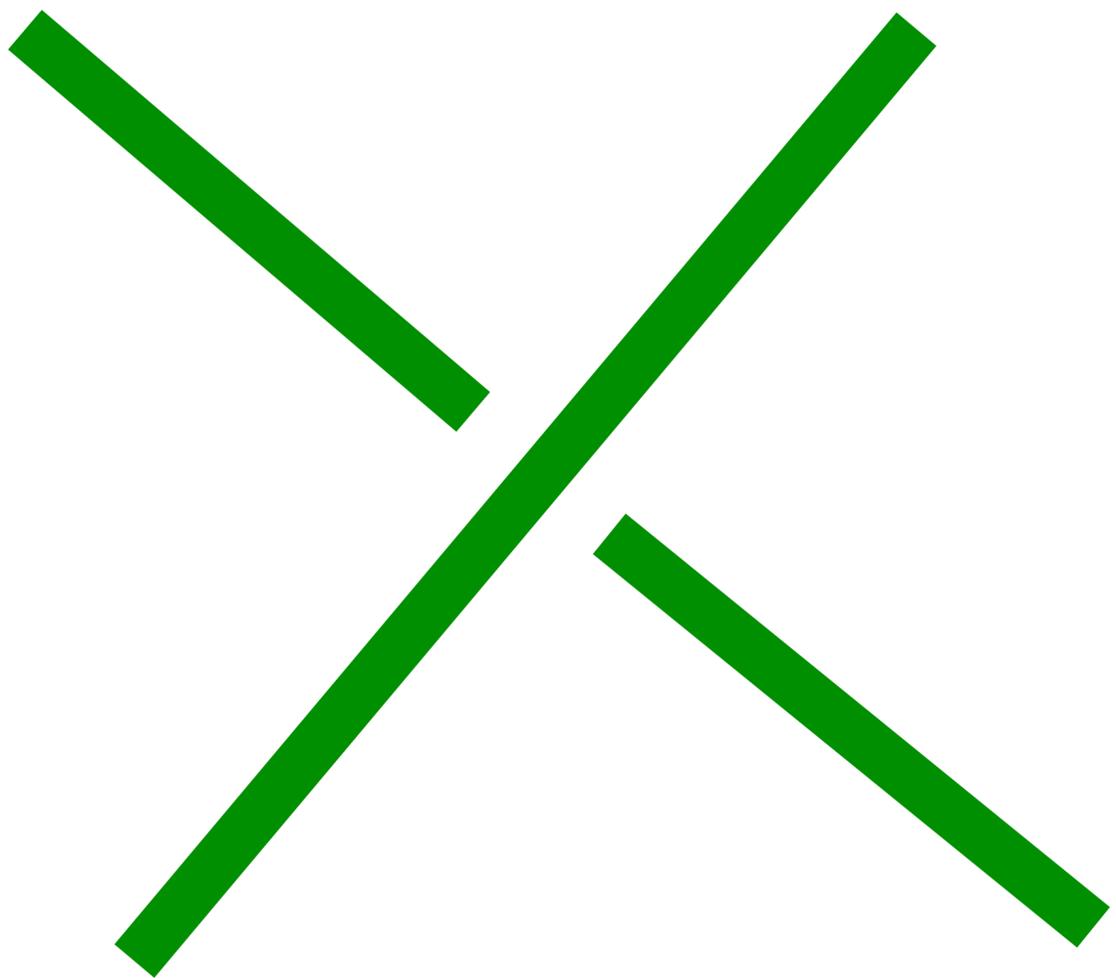
Its easy to steal the contents  
of a box: just shake it!



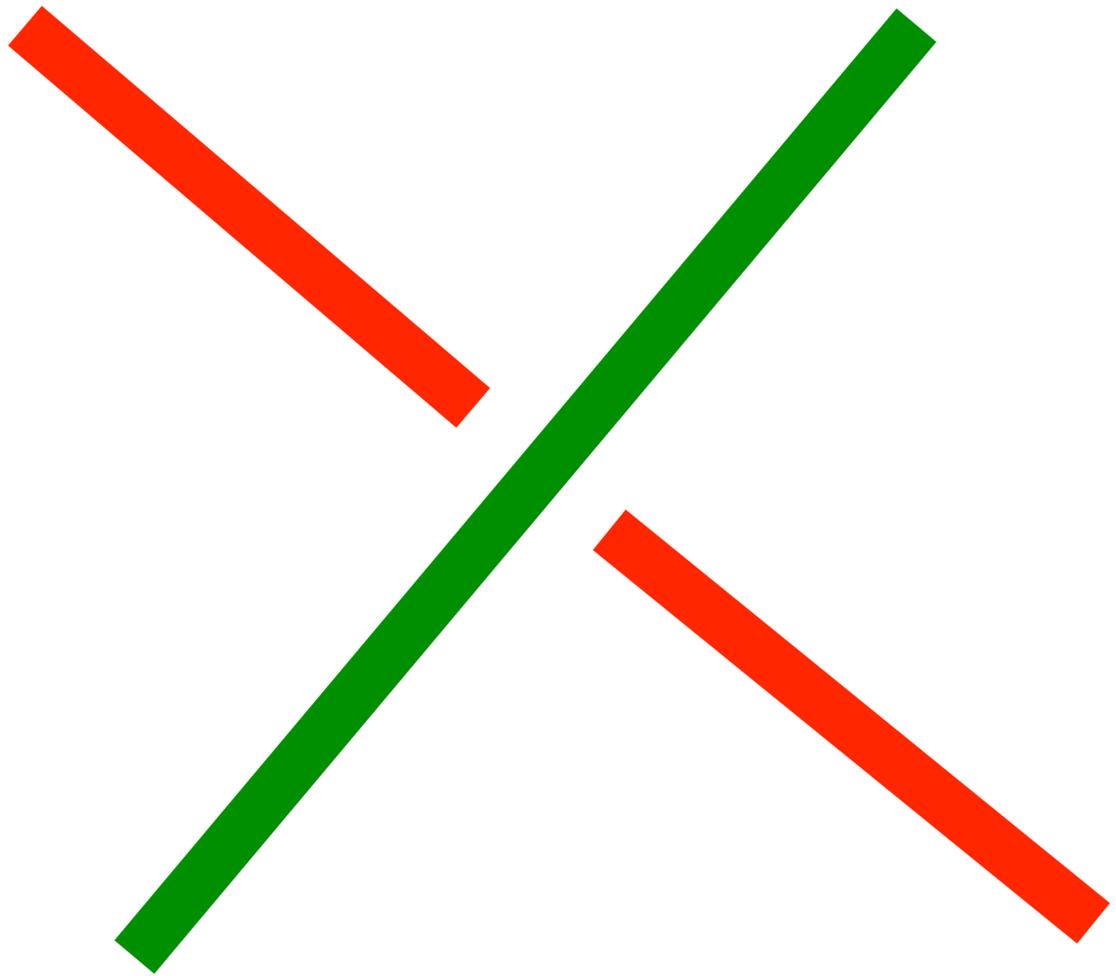
Its easy to steal the contents  
of a box: just shake it!



# Untying Knots

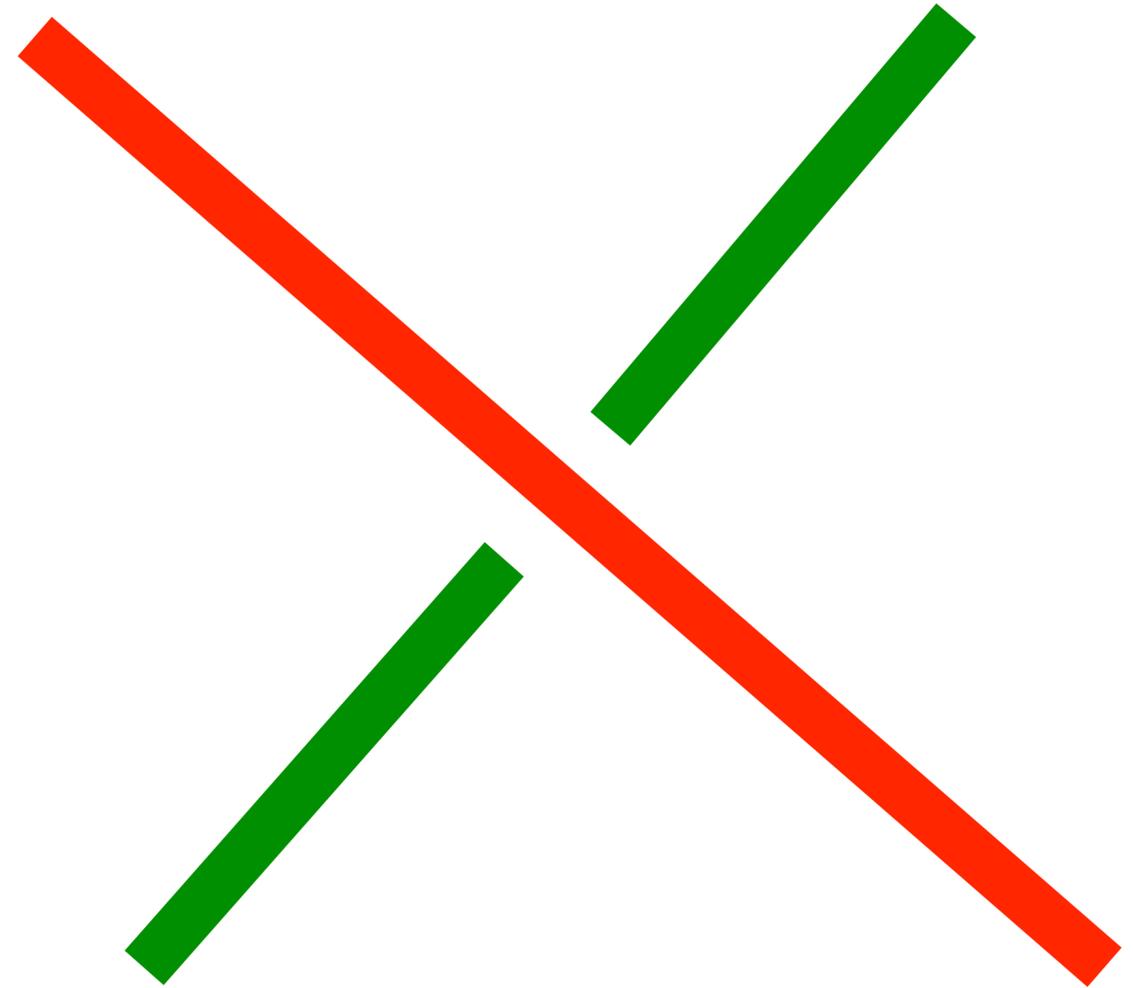
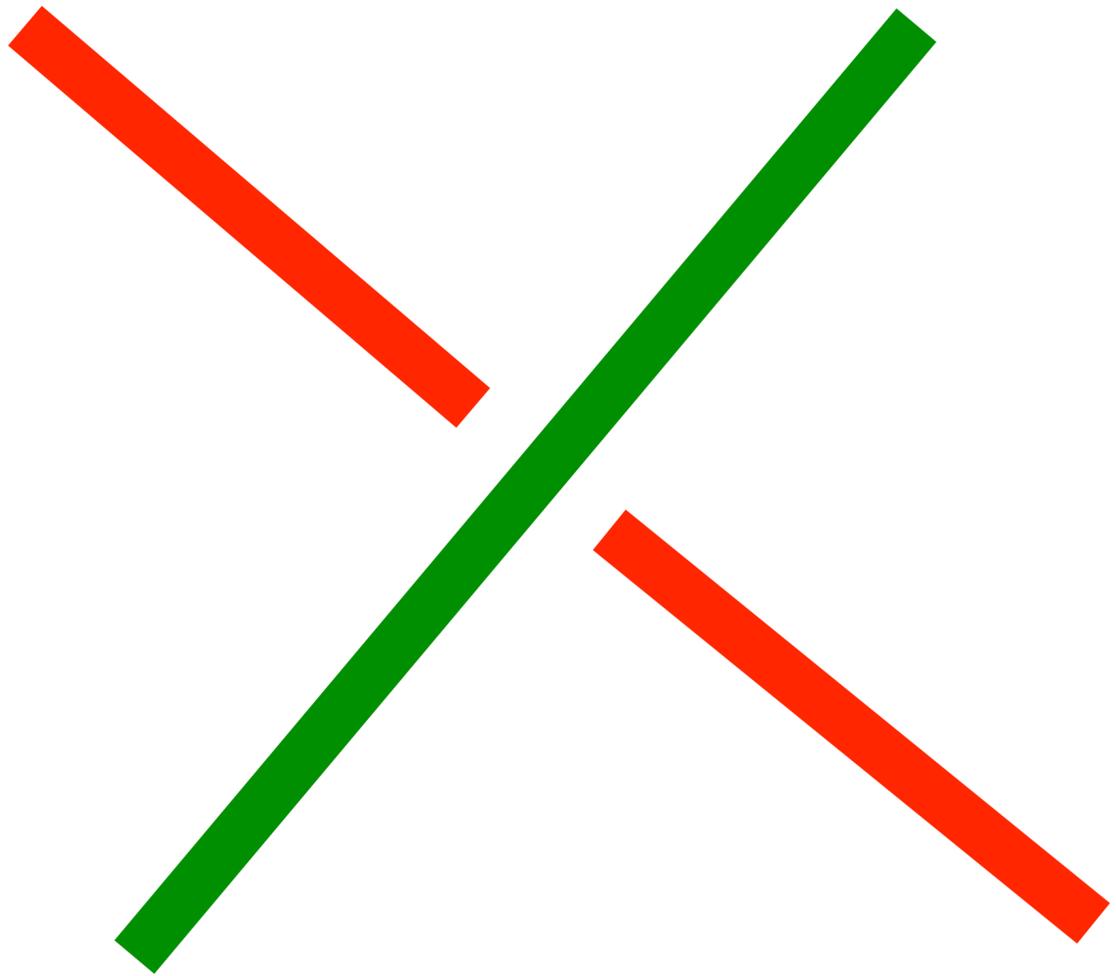


# Untying Knots



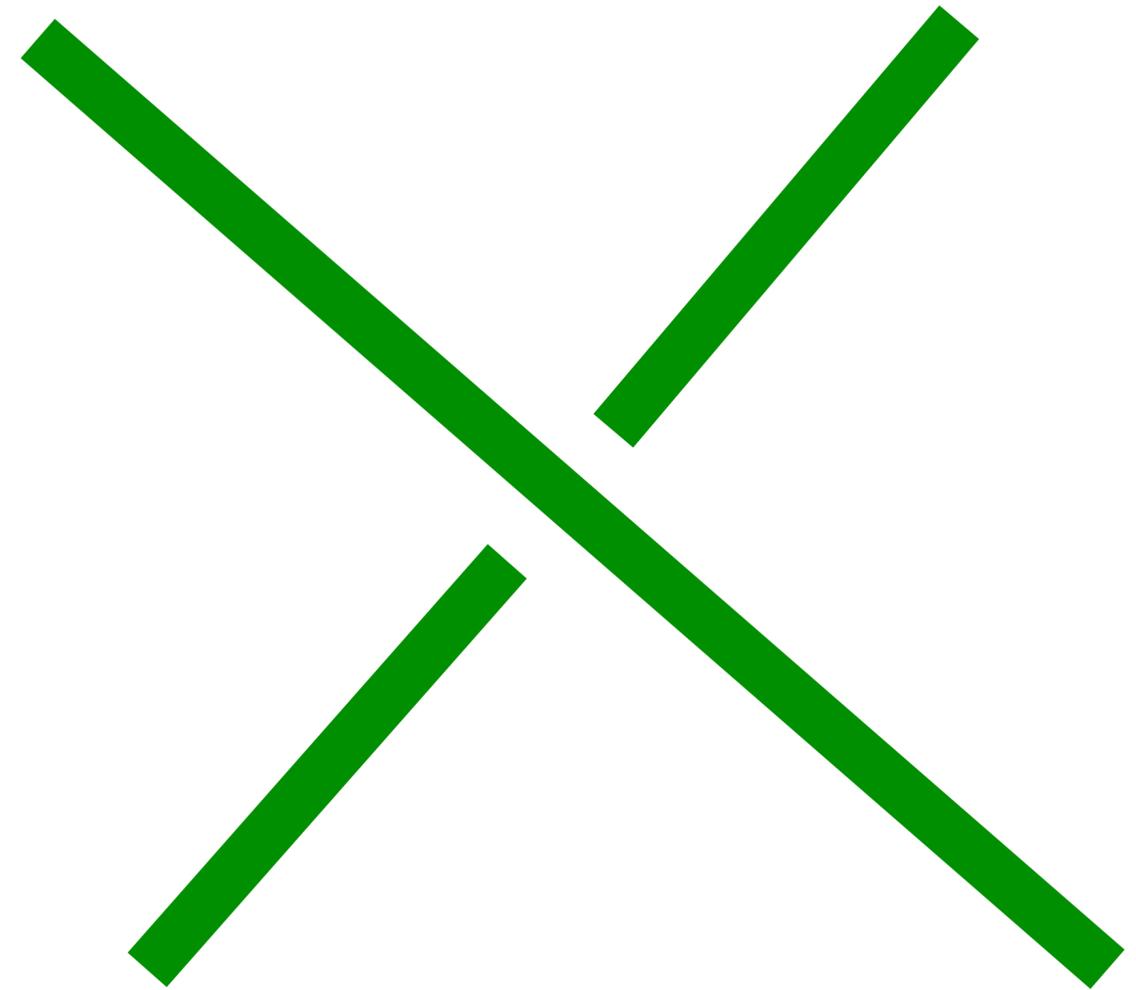
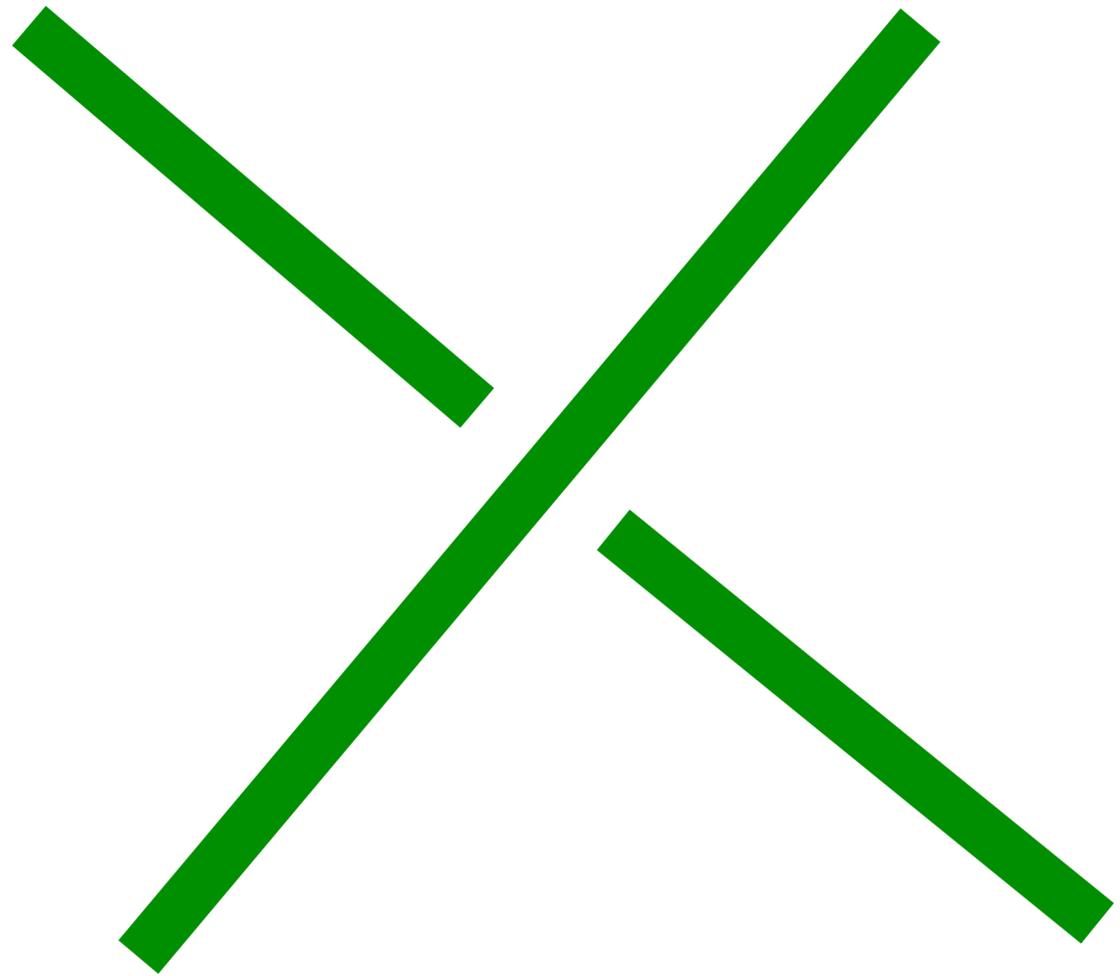
Move one of the strands in the 4th direction, leaving 3D fixed.

# Untying Knots



Now pull it over the other strand in 3D

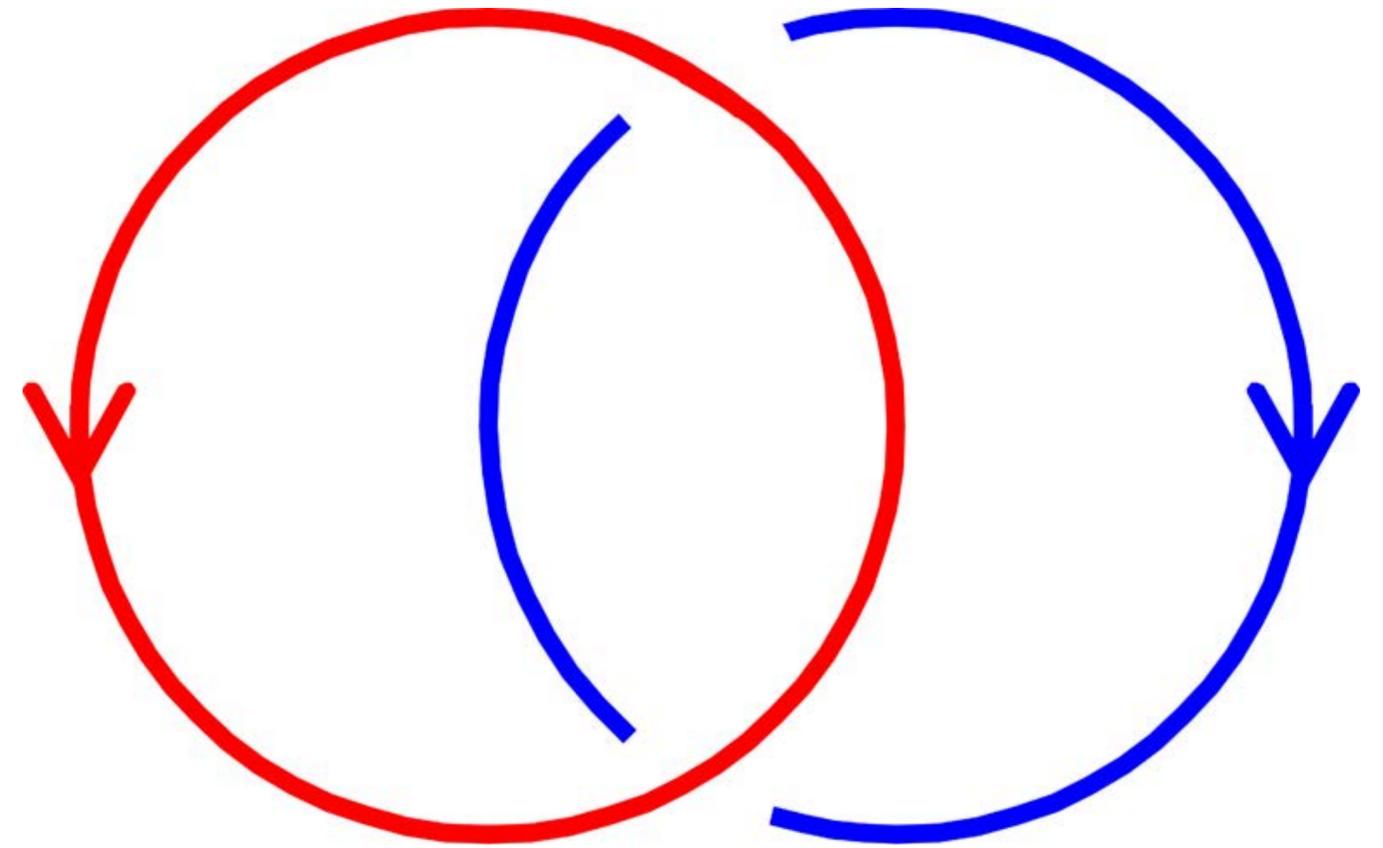
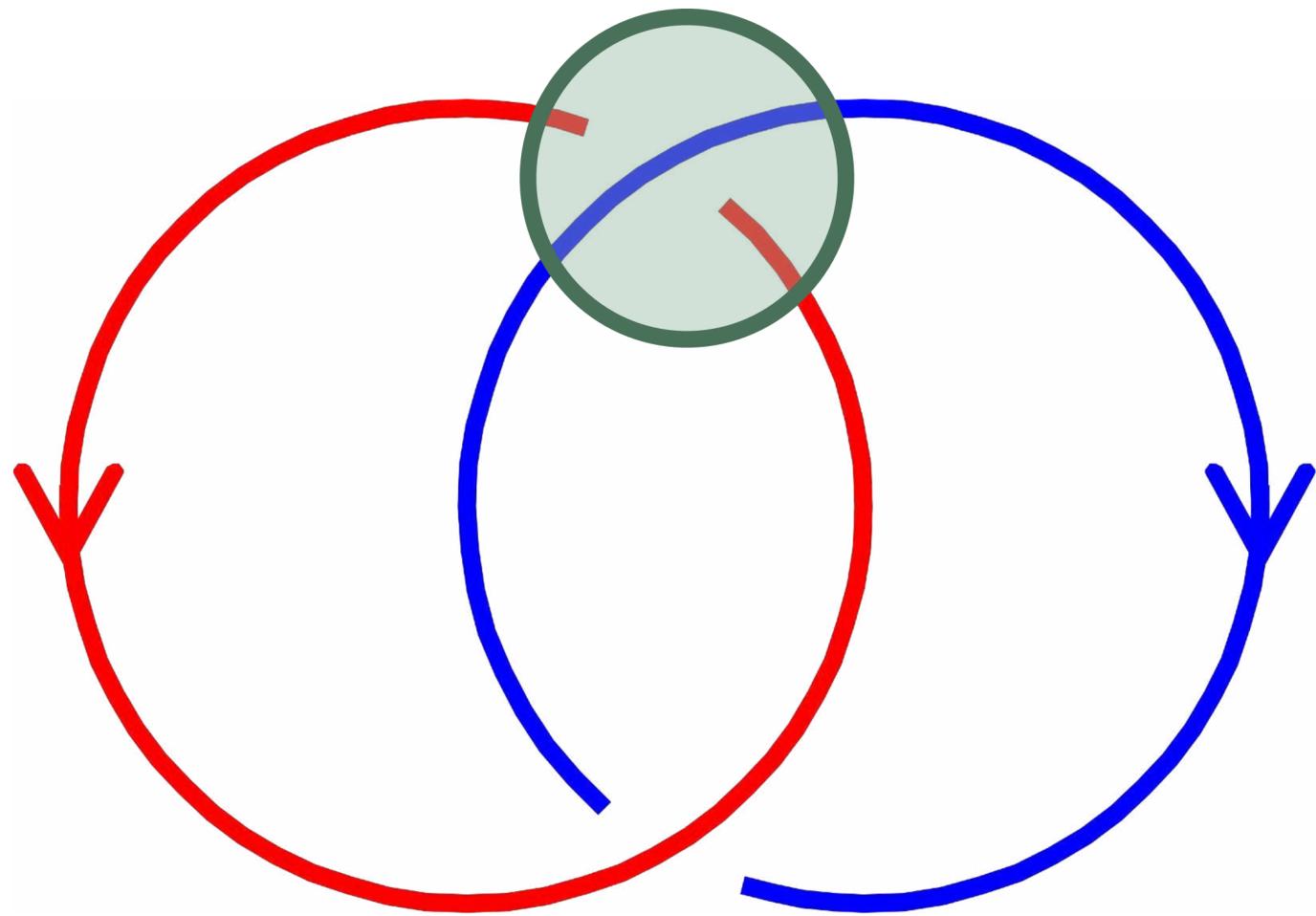
# Untying Knots



Isotopies in 4D can flip crossings!

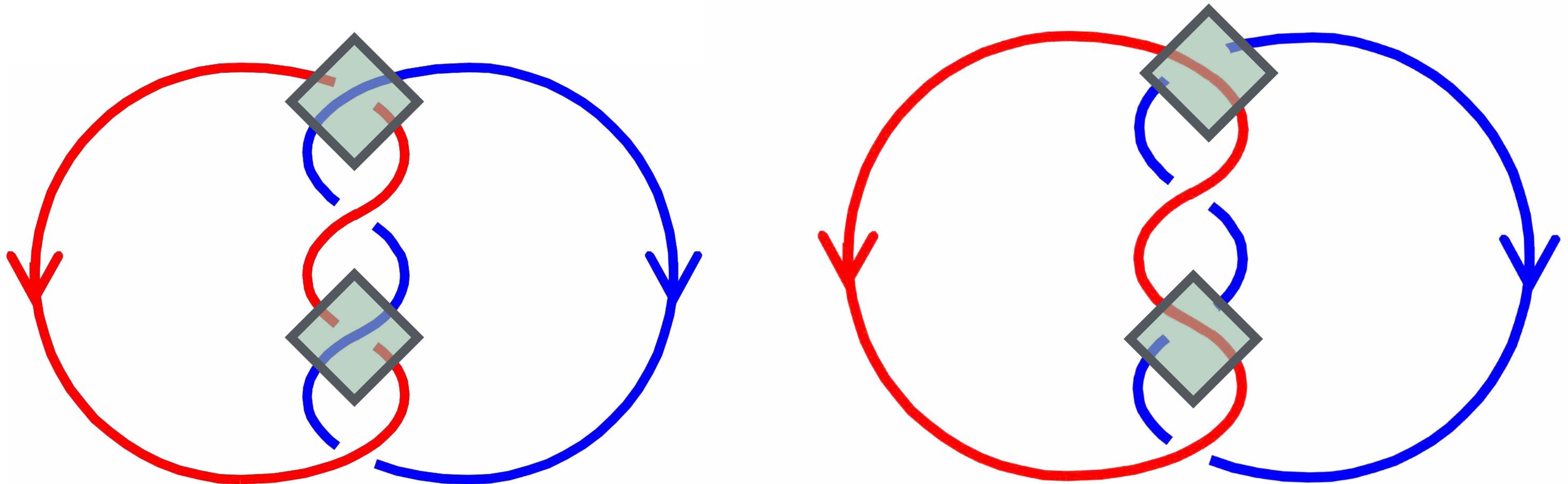
# Untying Knots

This makes the Hopf link trivial!



# Untying Knots

Every link can be undone by changing some of its crossings.

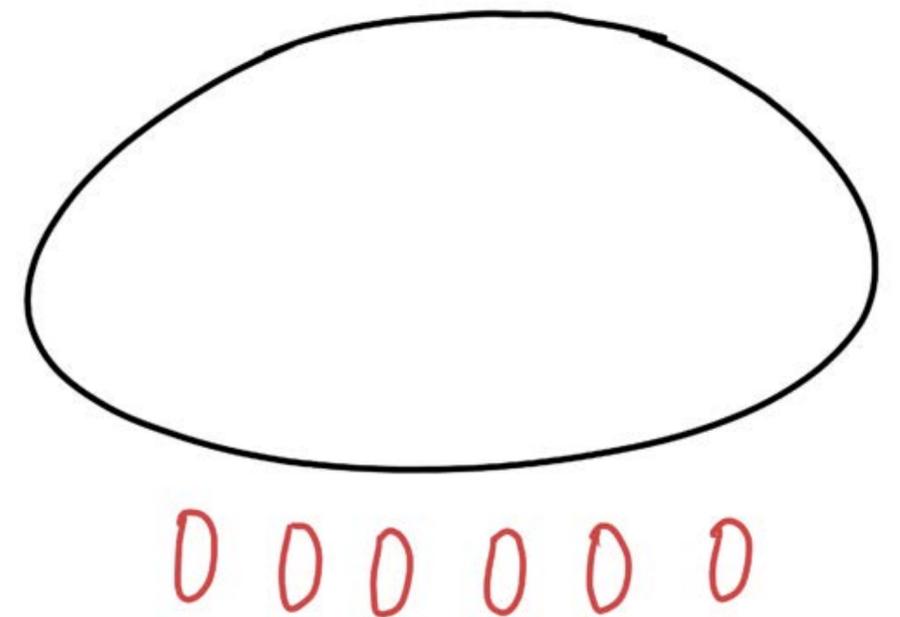
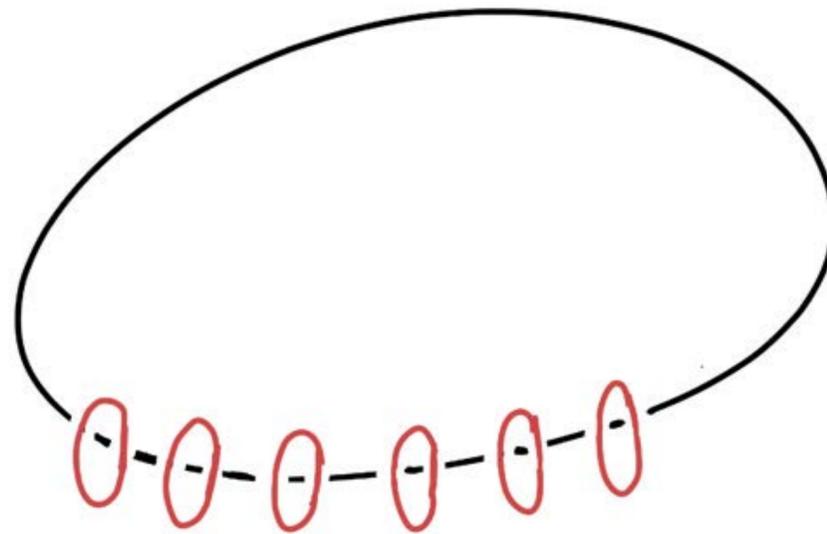
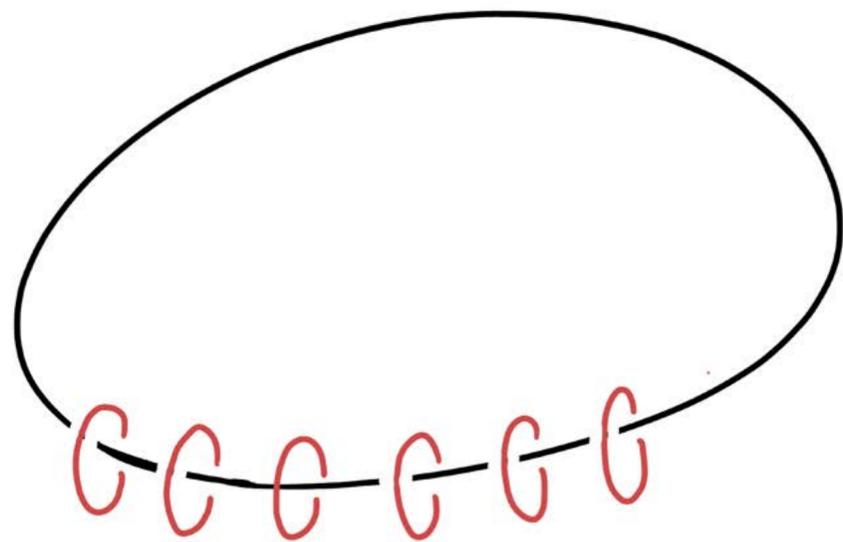


# Untying Knots

Every link can be undone by changing some of its crossings.

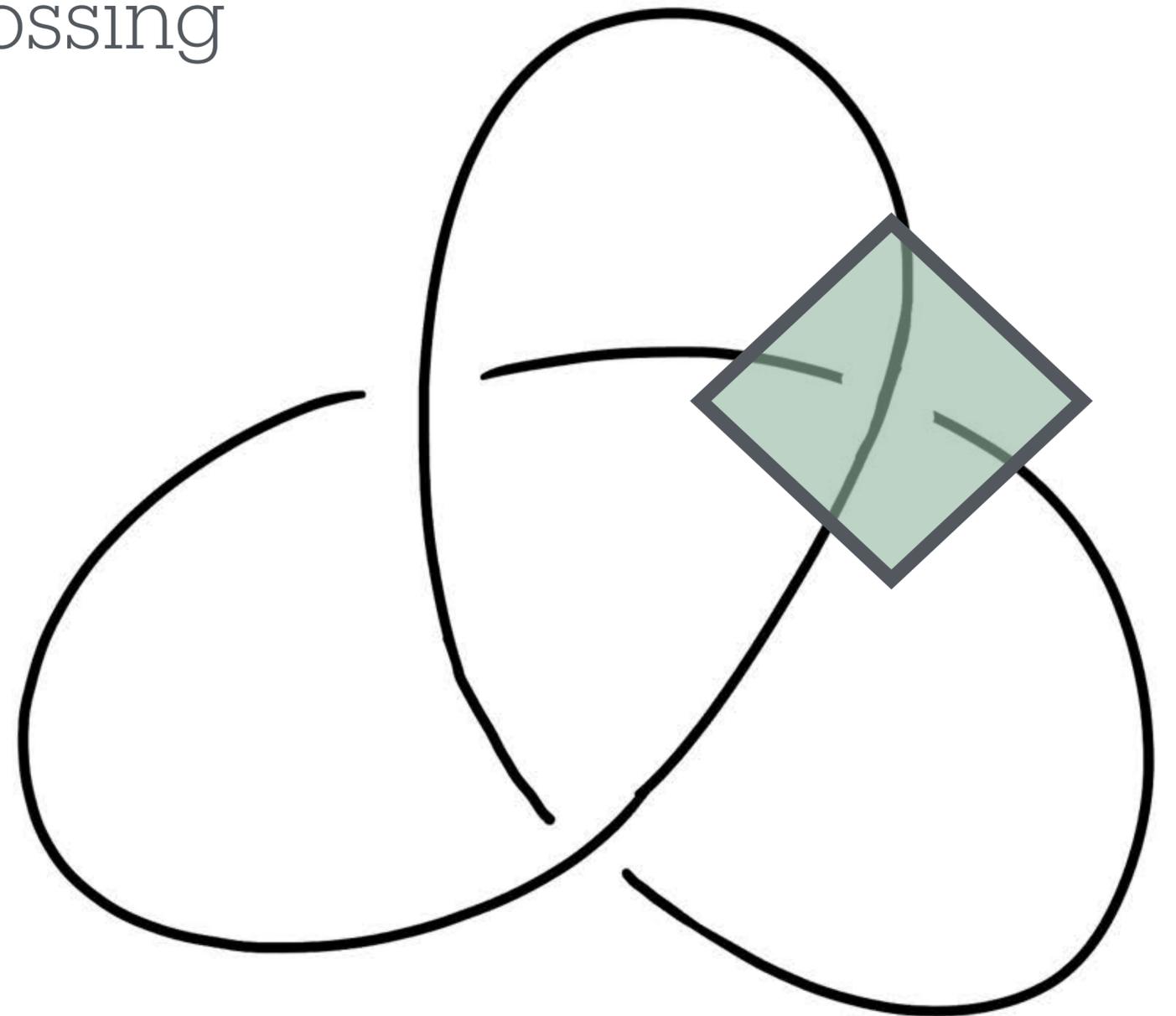
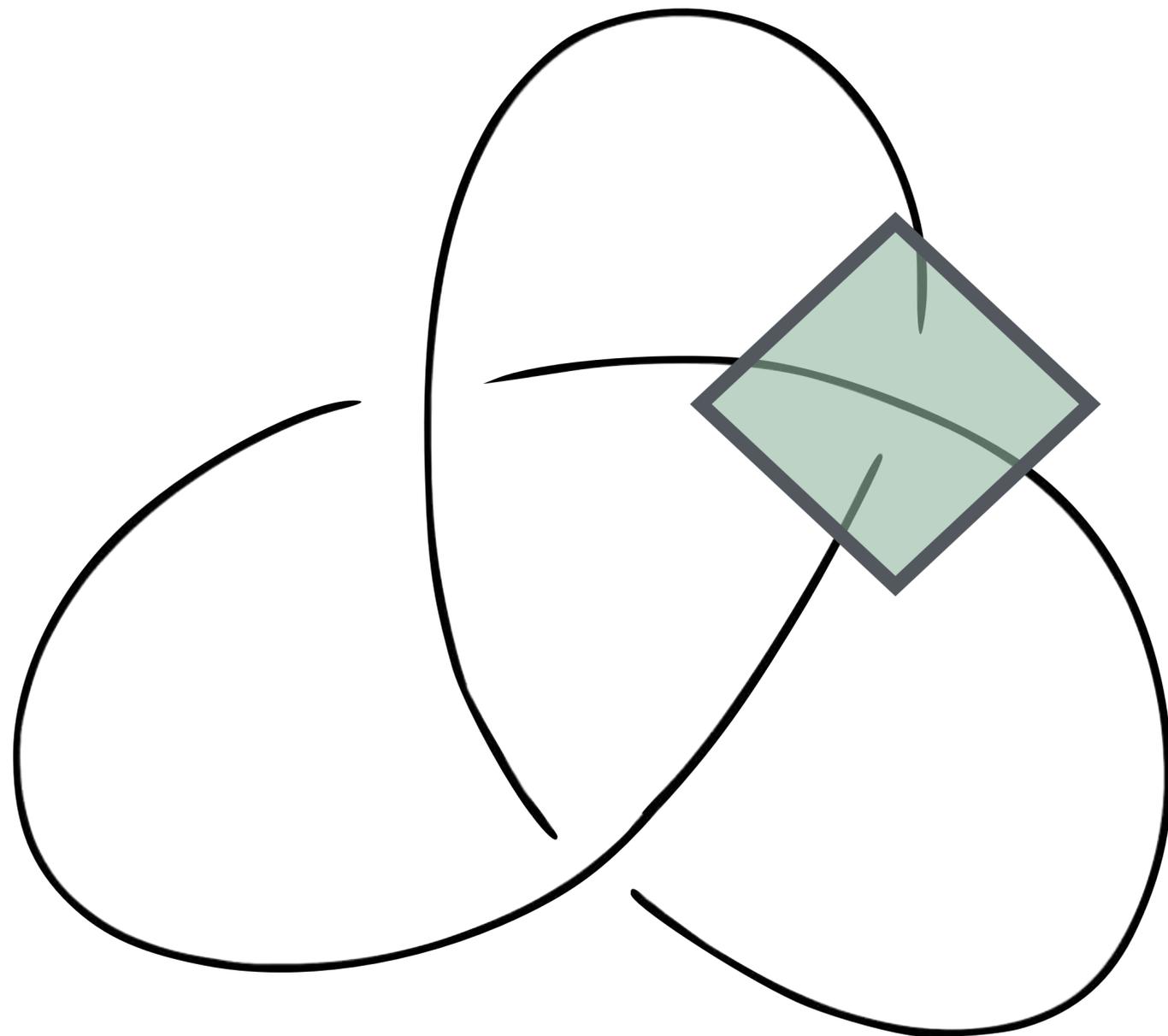
## Corollary

*Necklaces, chains, and keyrings come apart in the 4th dimension!*



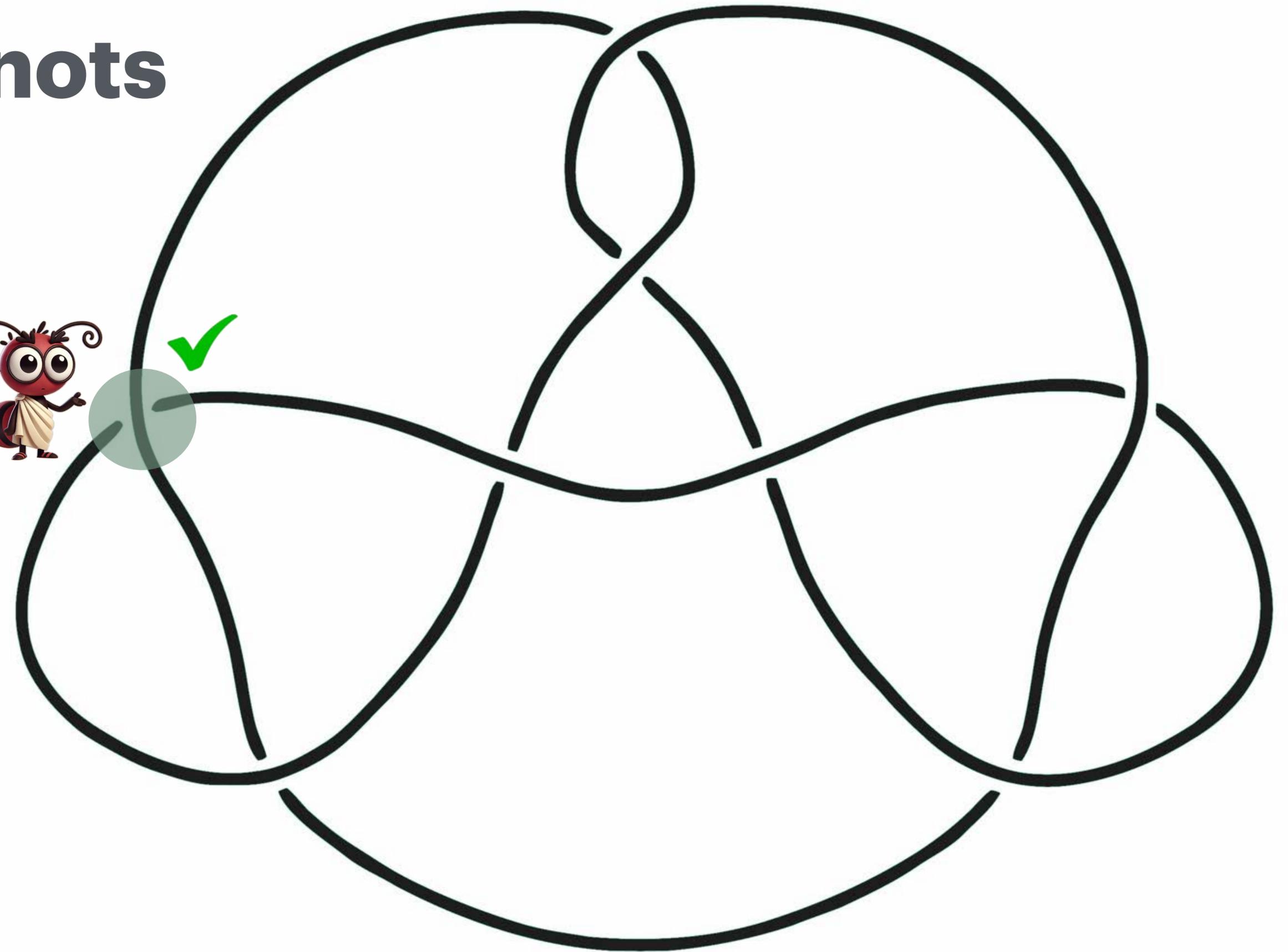
# Untying Knots

The trefoil is undone by a single crossing



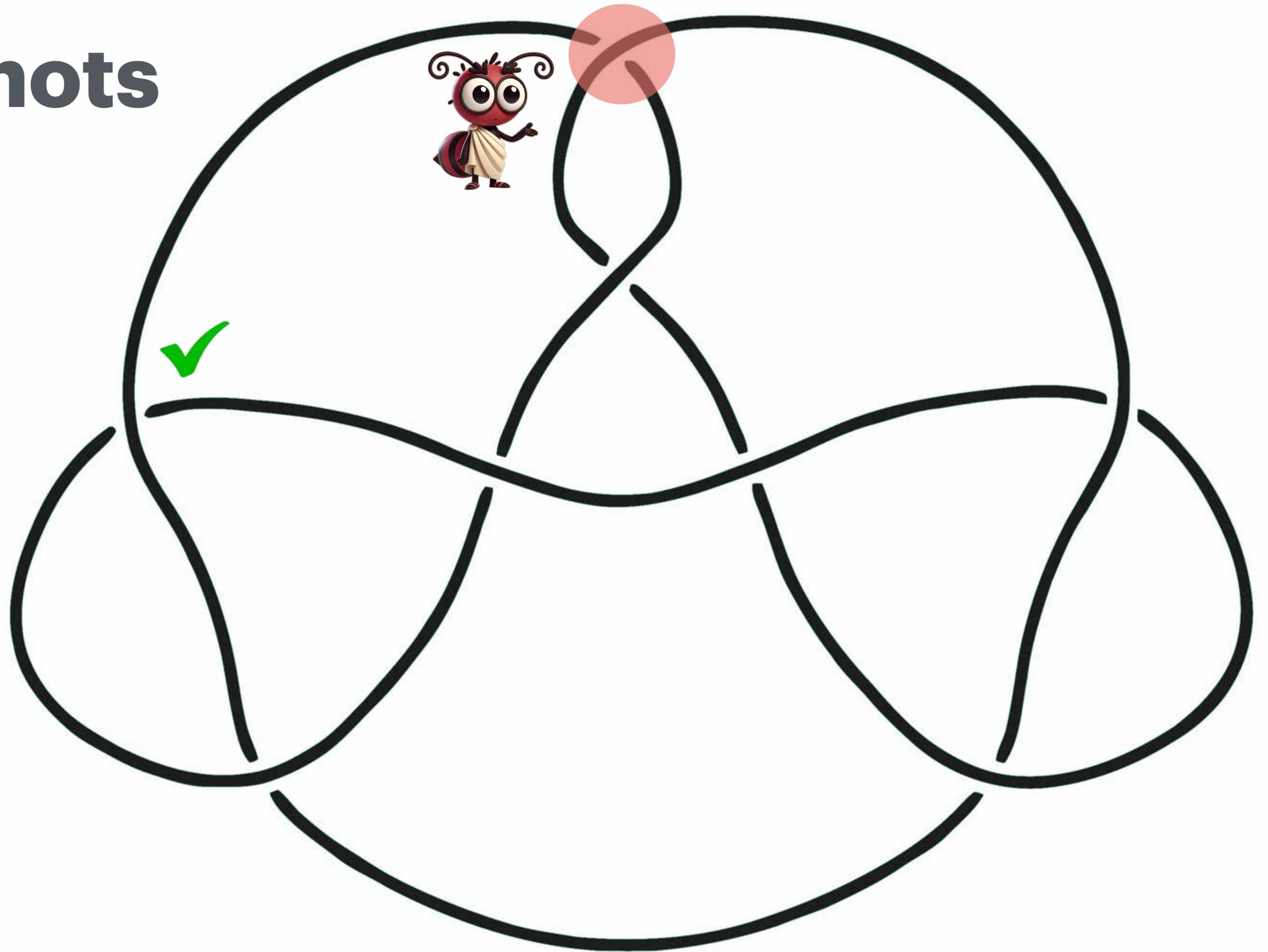
# Untying Knots

All knots come undone this way



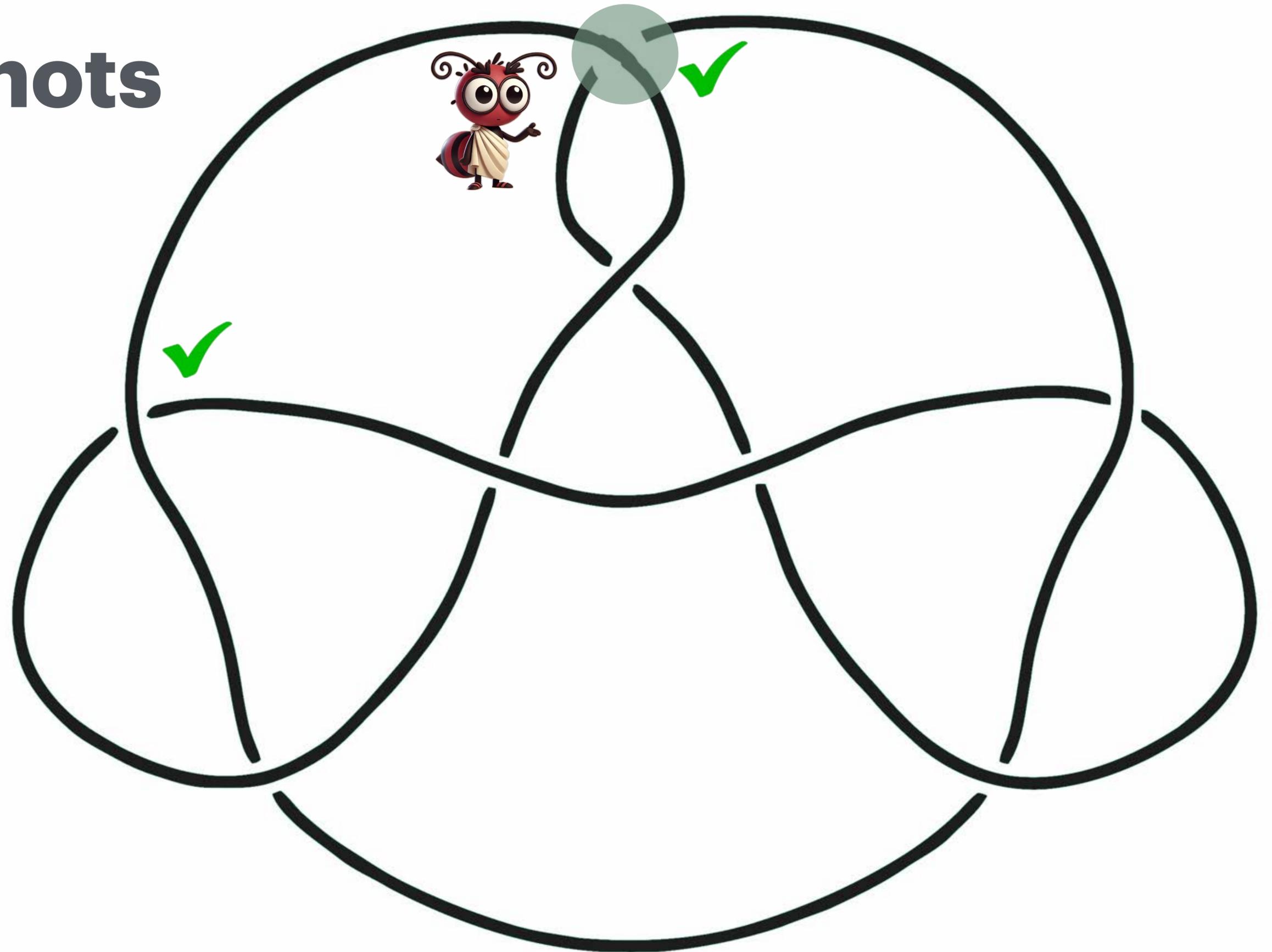
# Untying Knots

All knots come undone this way



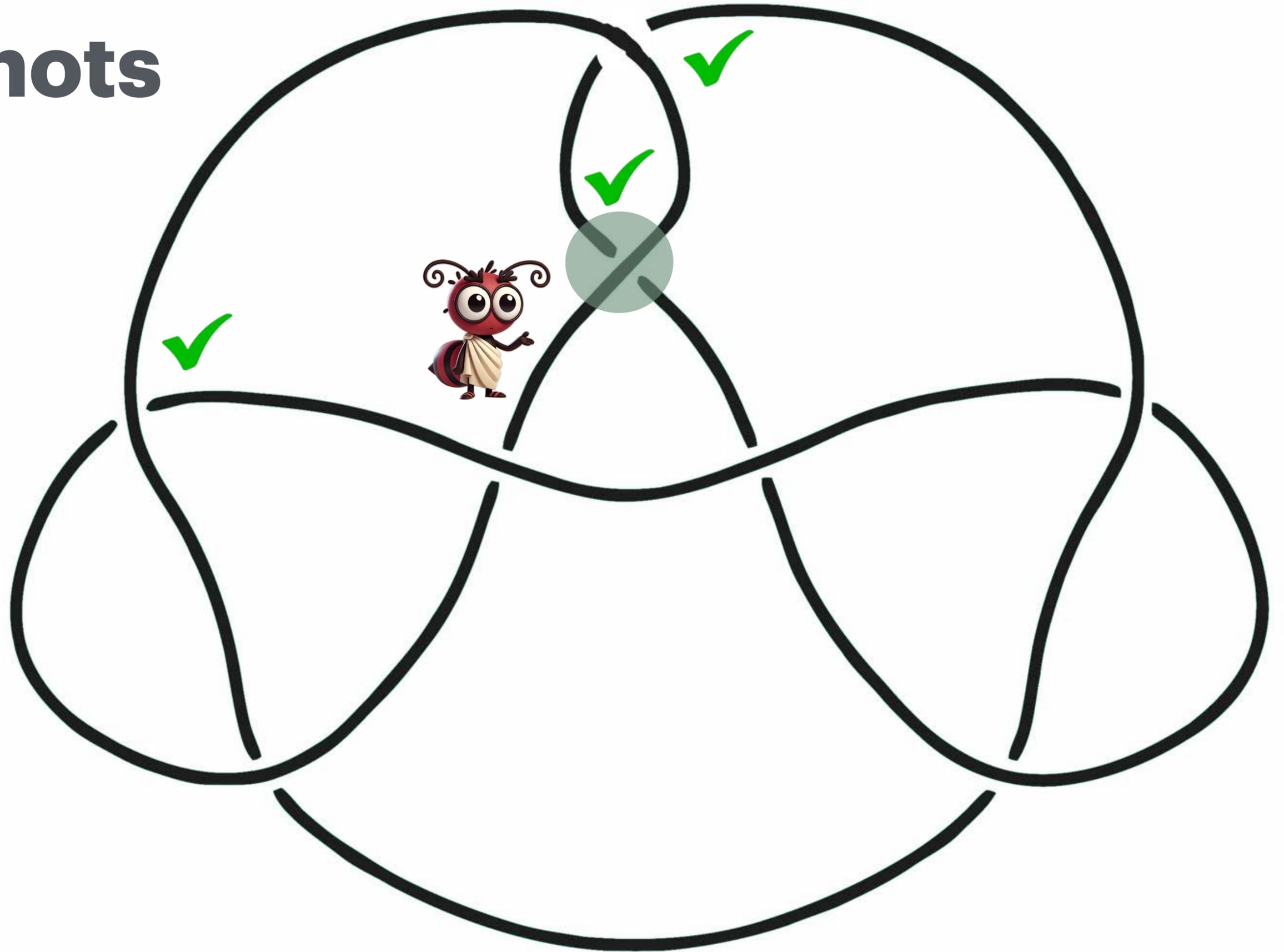
# Untying Knots

All knots come undone this way



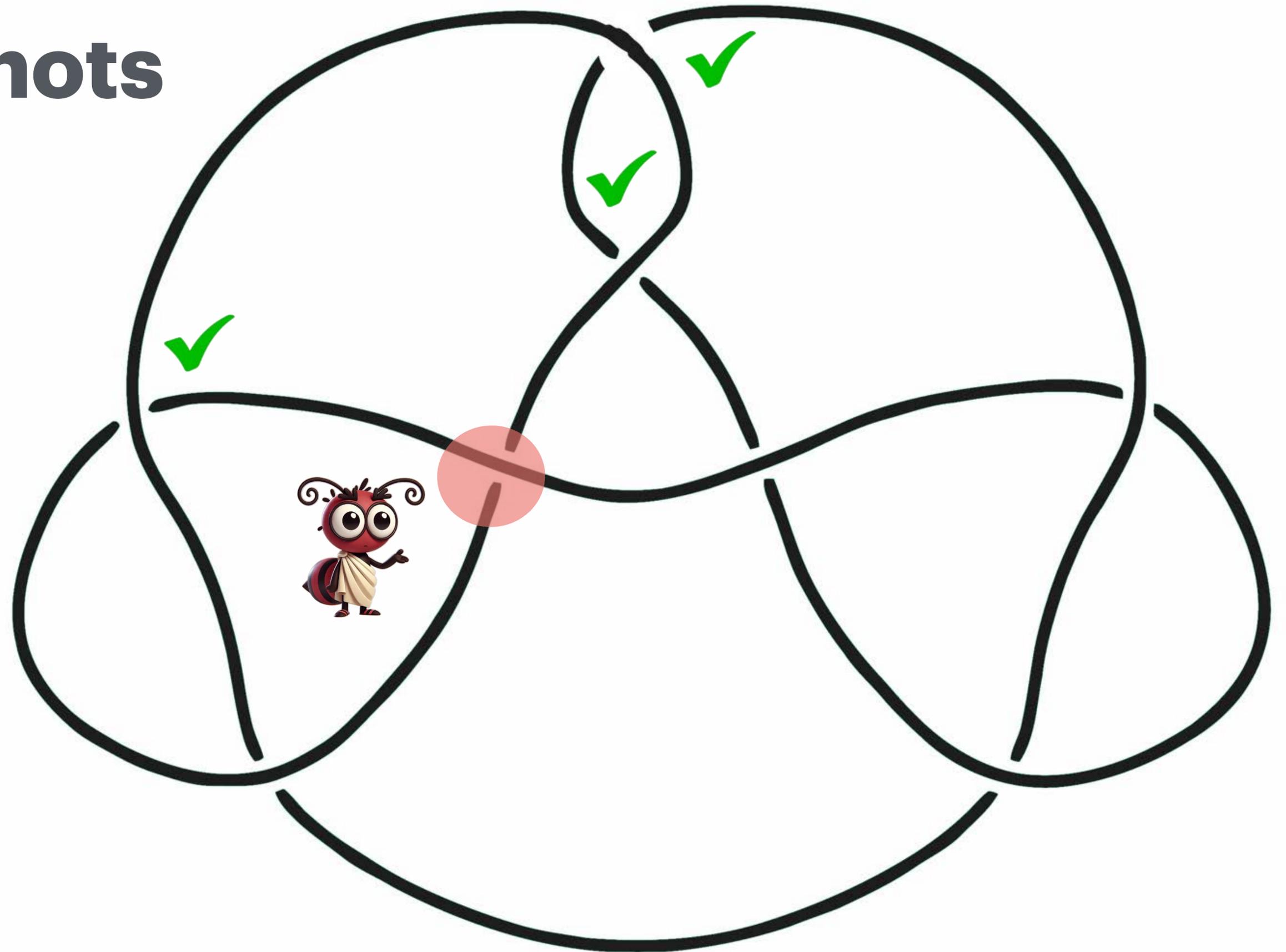
# Untying Knots

All knots come undone this way



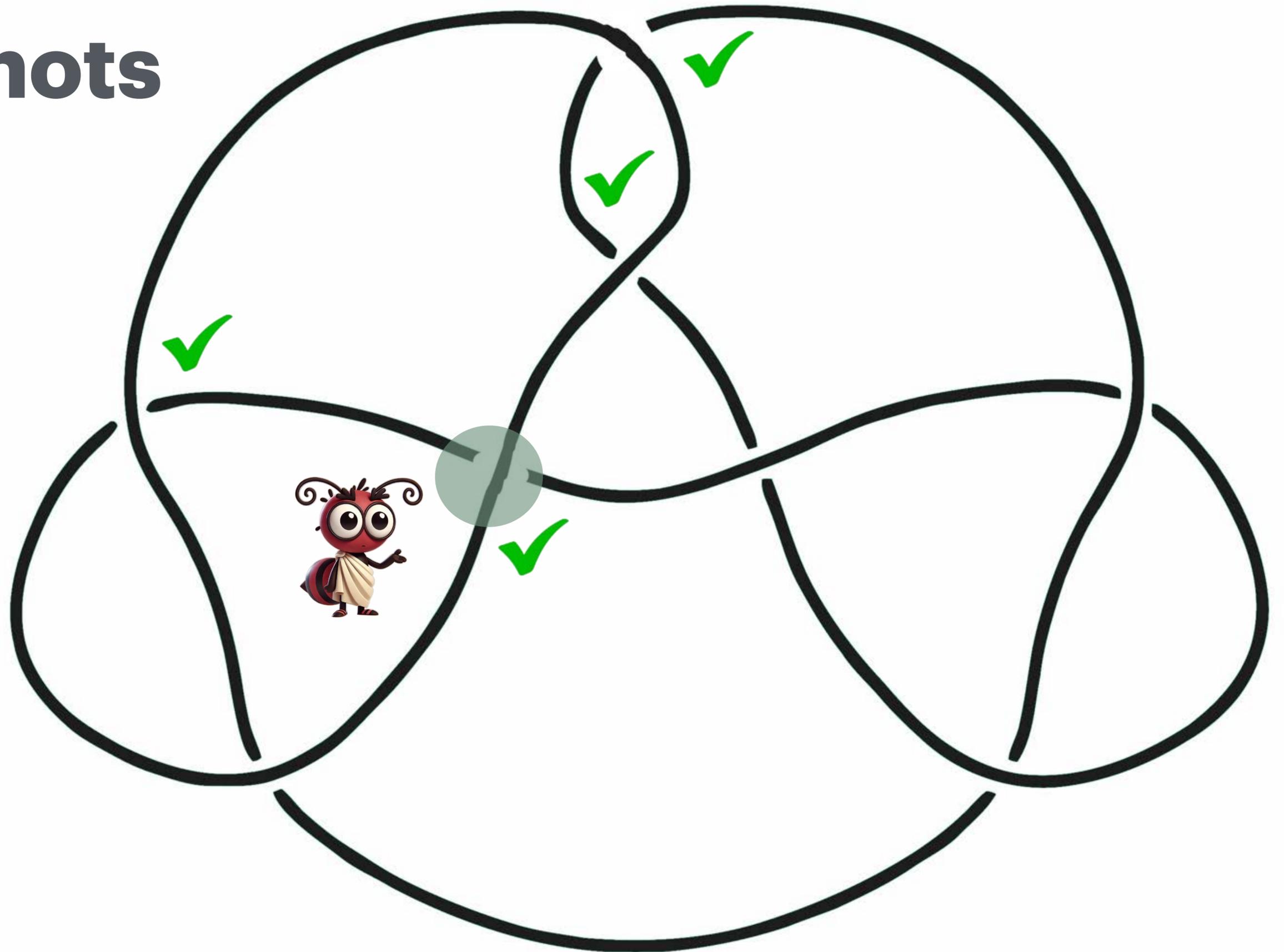
# Untying Knots

All knots come undone this way



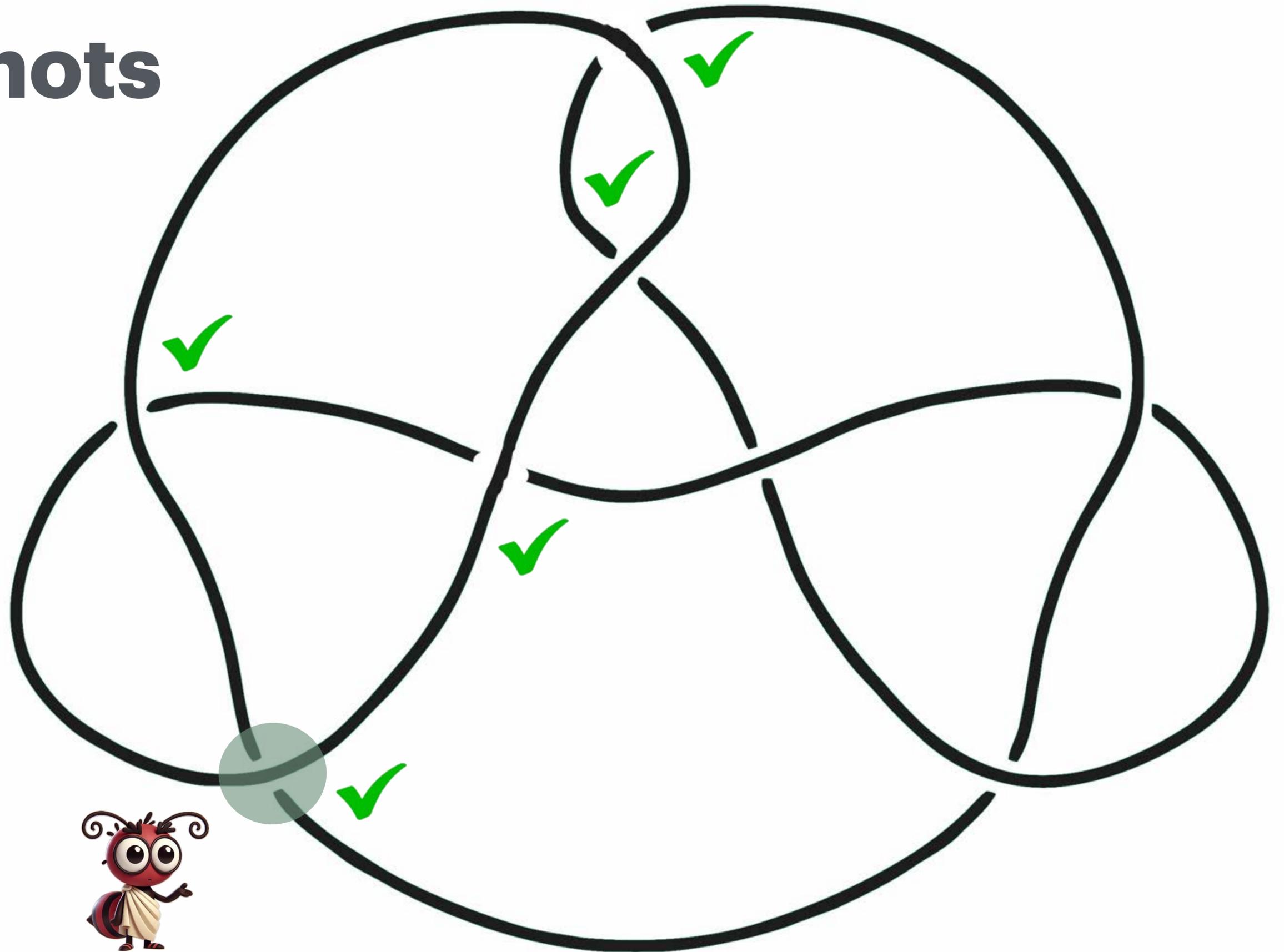
# Untying Knots

All knots come undone this way



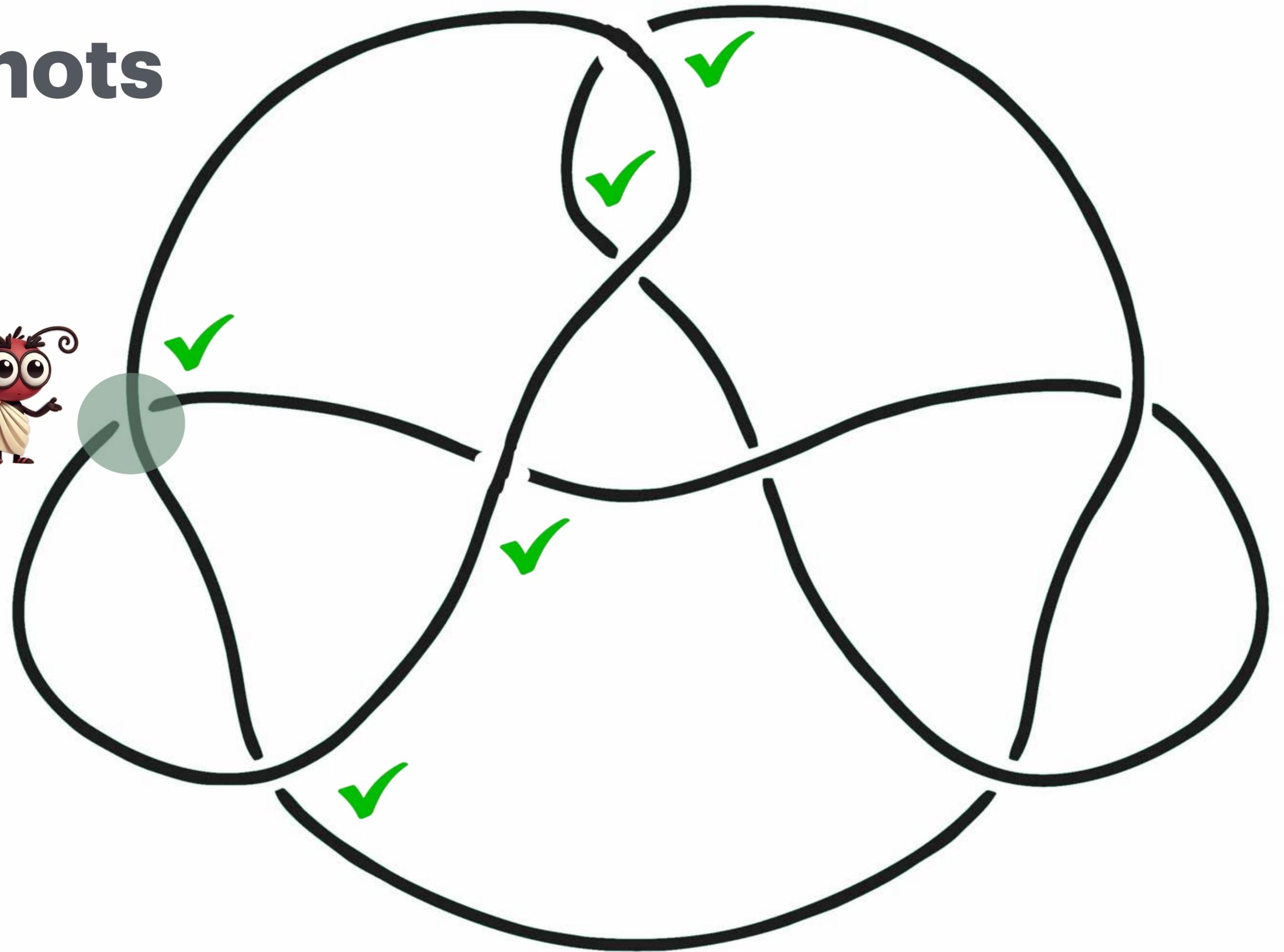
# Untying Knots

All knots come undone this way



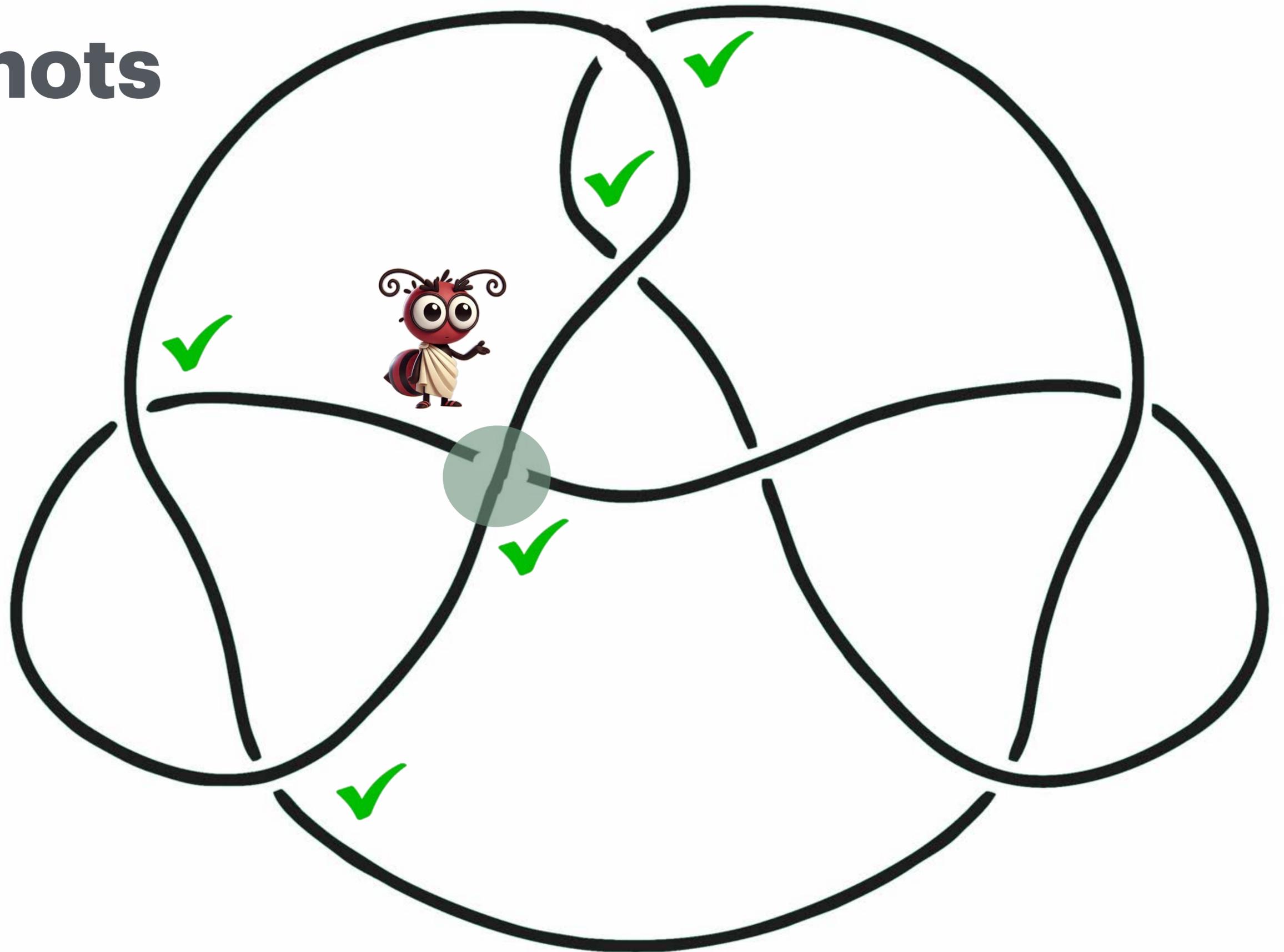
# Untying Knots

All knots come undone this way



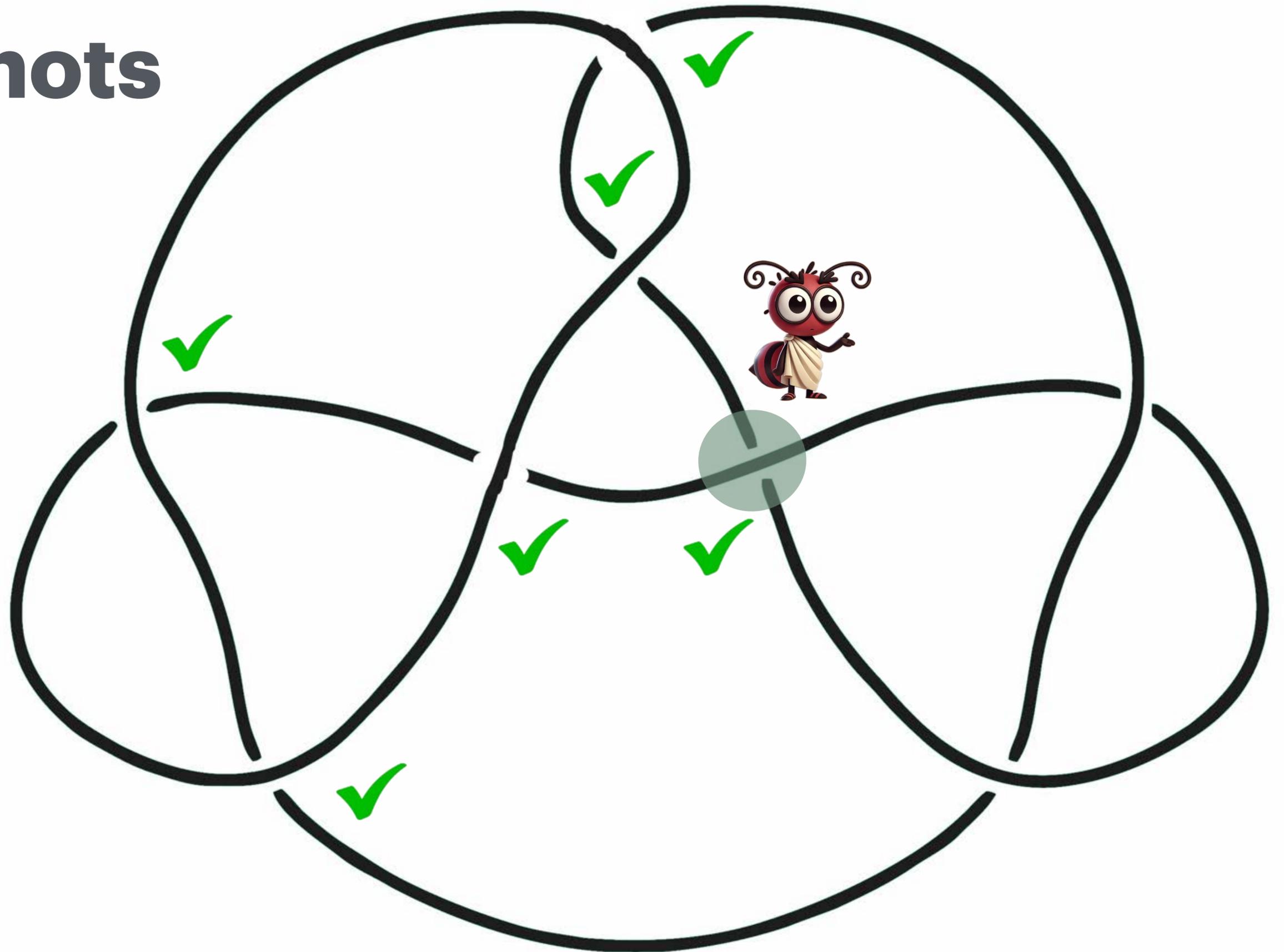
# Untying Knots

All knots come undone this way



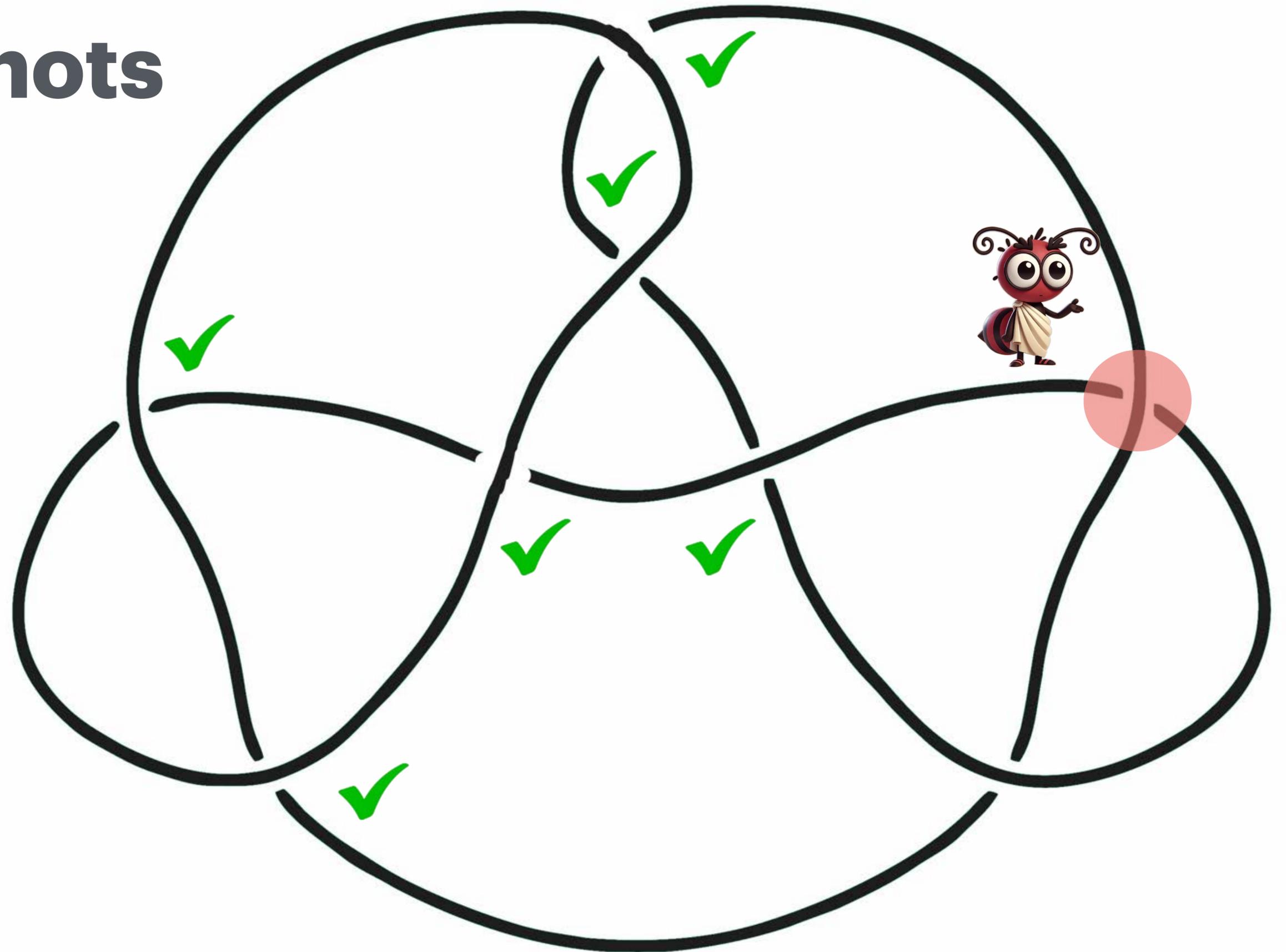
# Untying Knots

All knots come undone this way



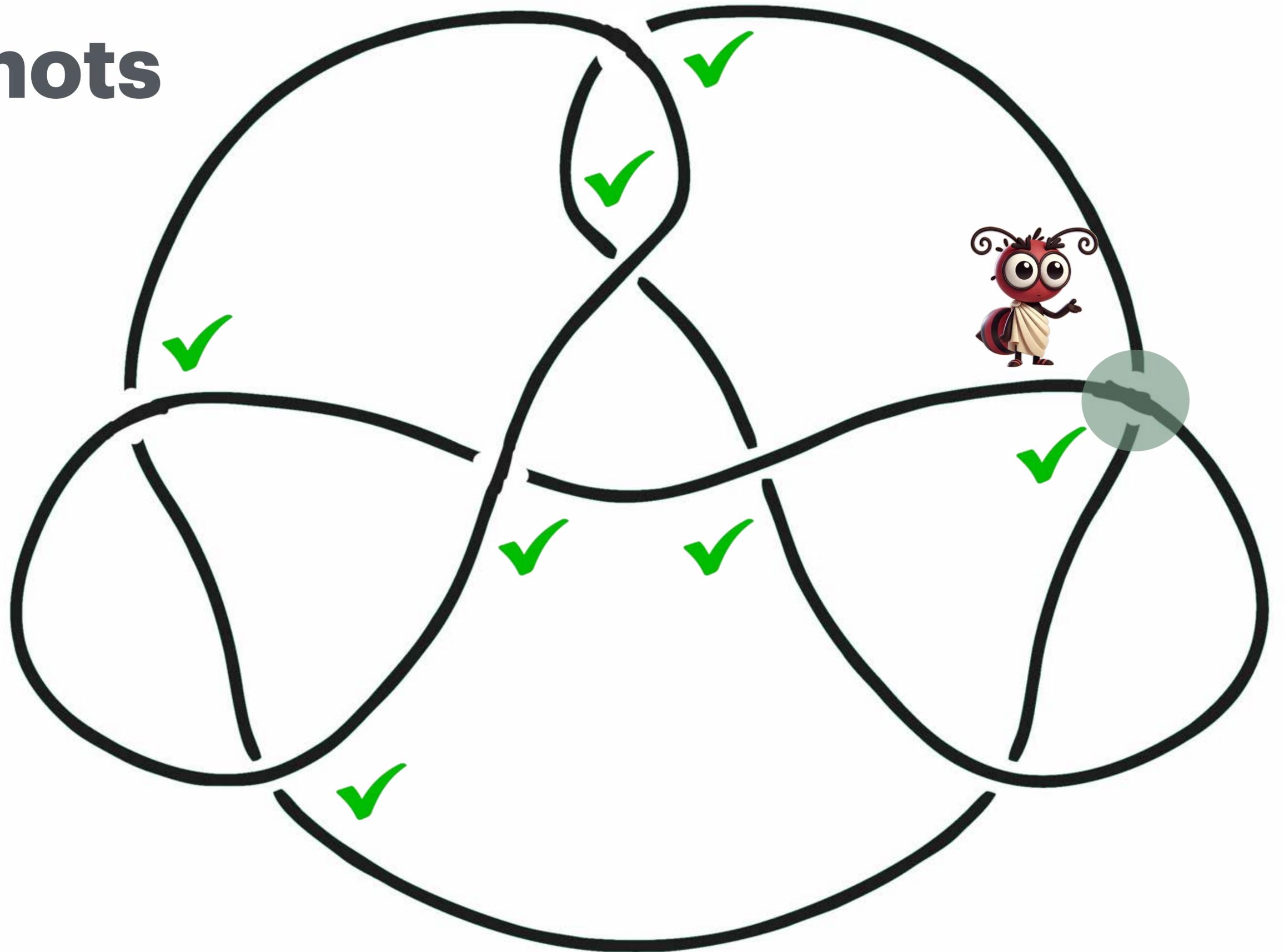
# Untying Knots

All knots come undone this way



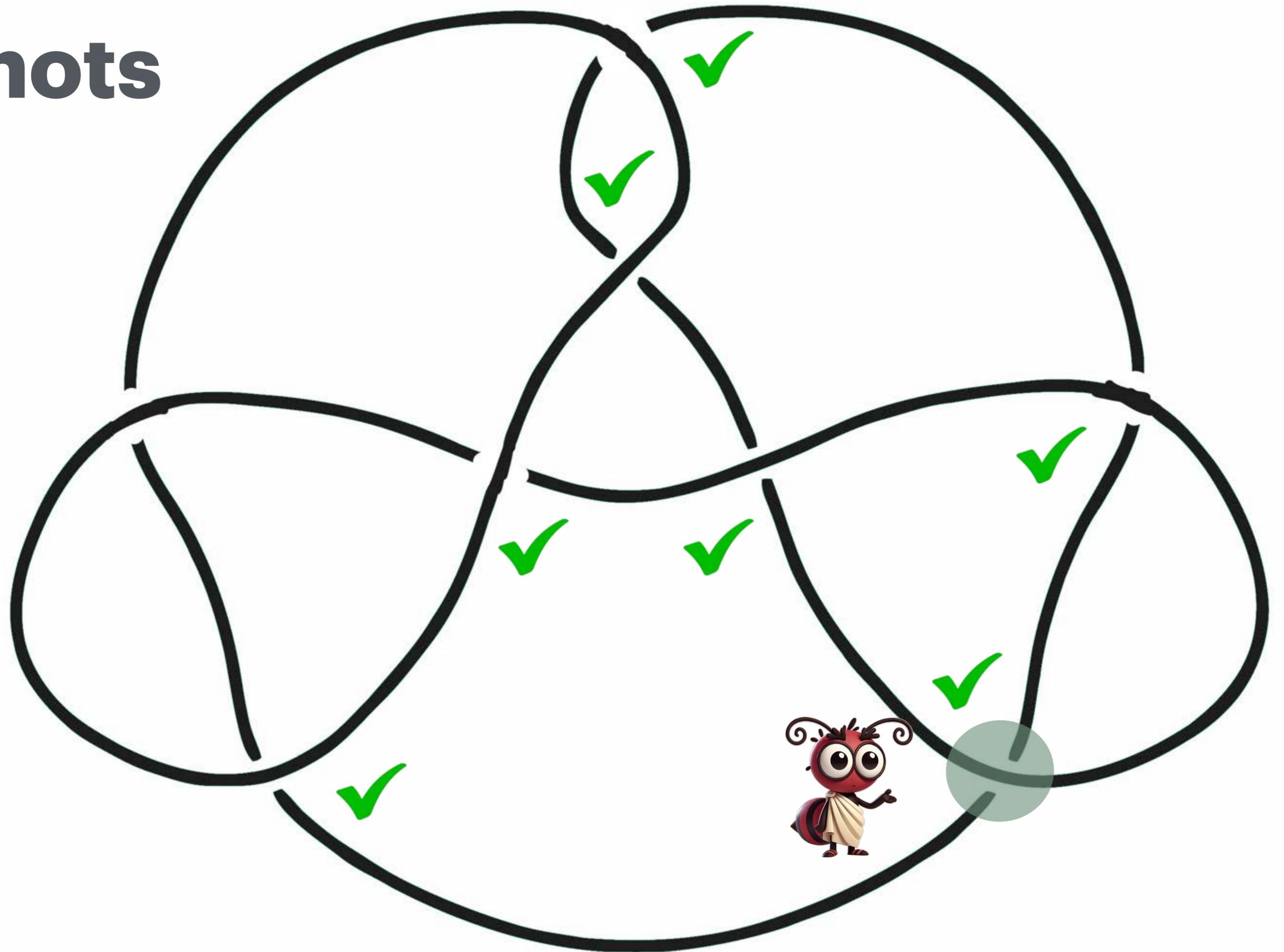
# Untying Knots

All knots come undone this way



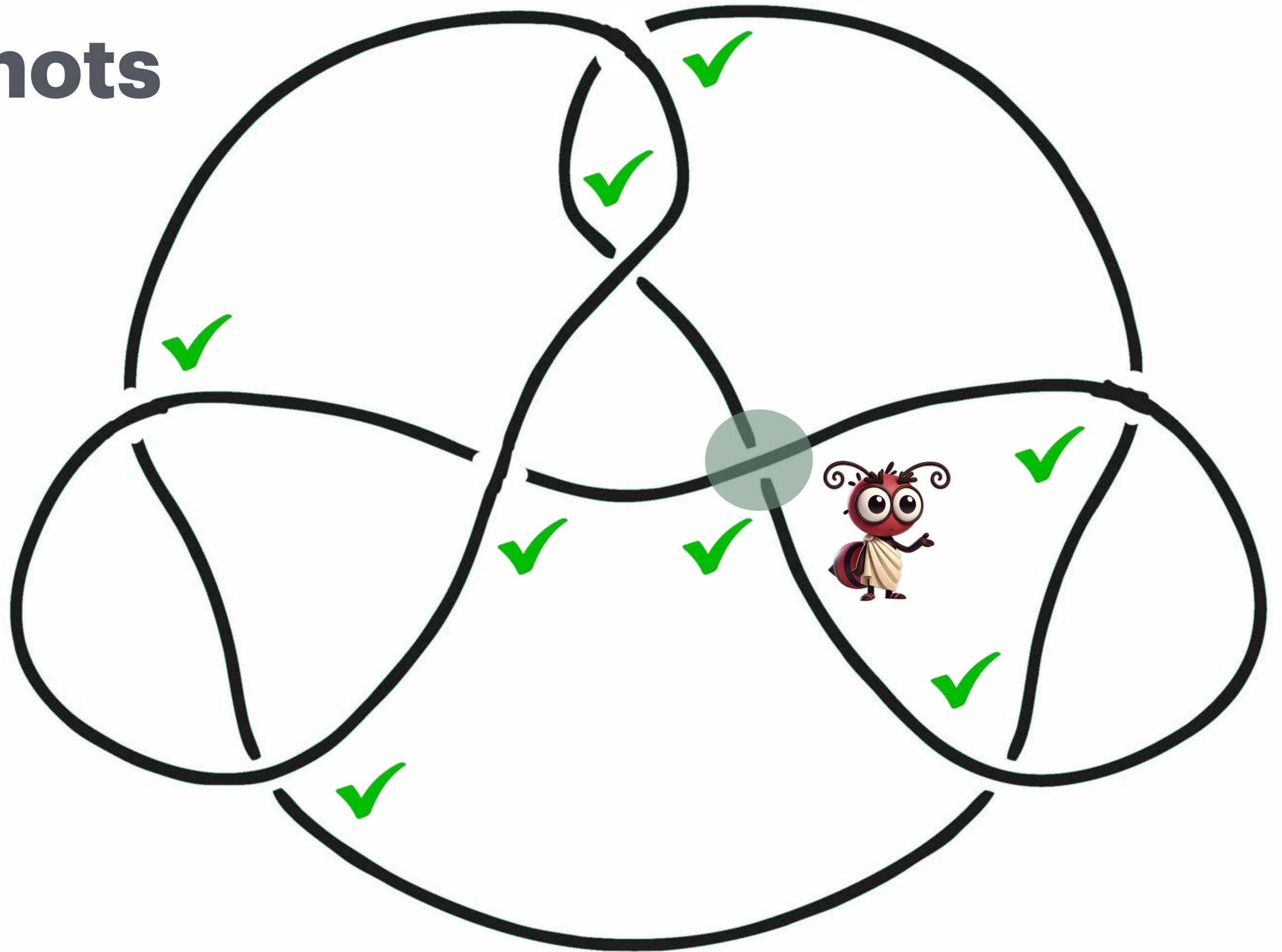
# Untying Knots

All knots come undone this way



# Untying Knots

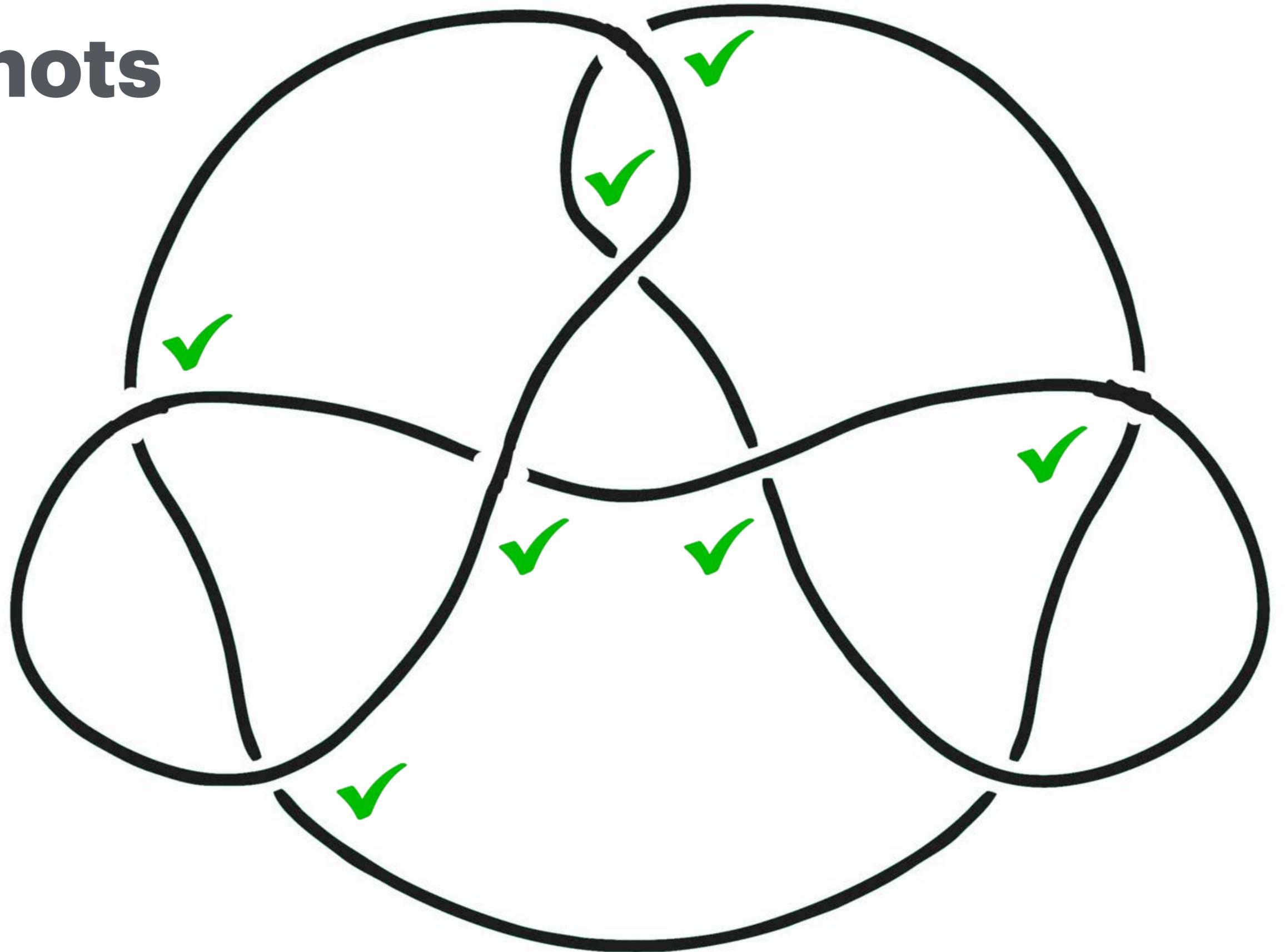
All knots come undone this way



# Untying Knots

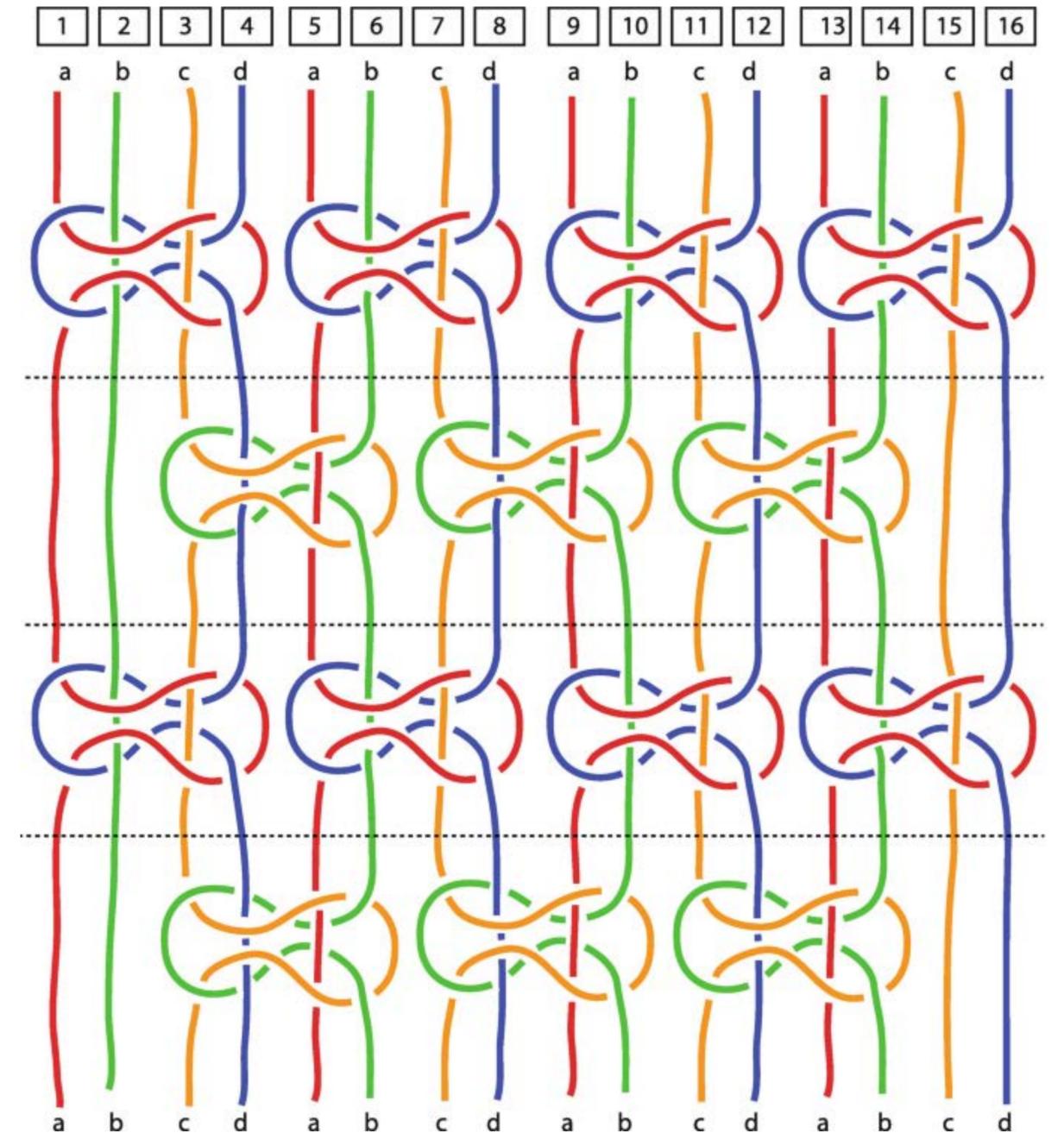
All knots come undone this way

Between the start and end, the string is now *monotonically decreasing in height!*



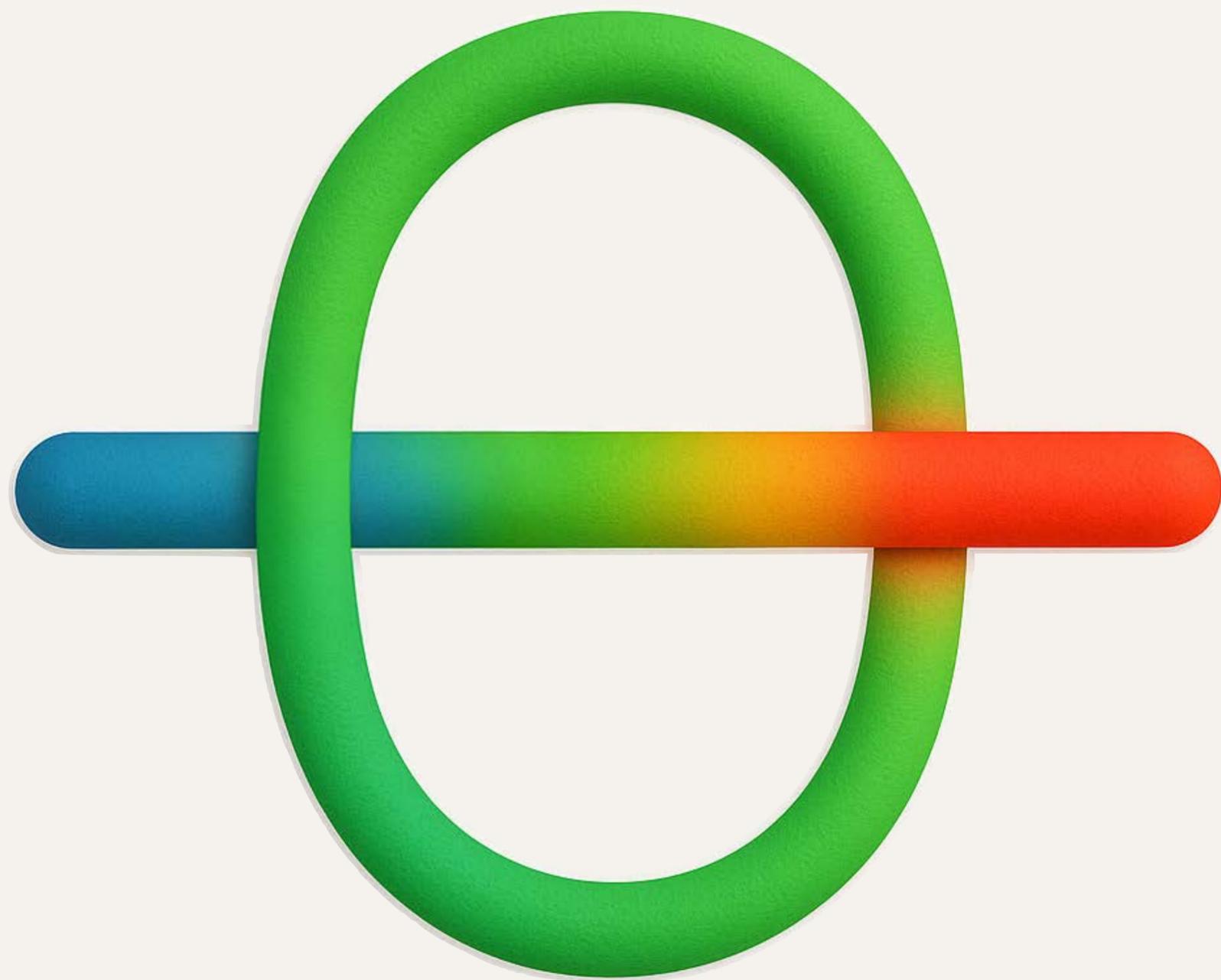
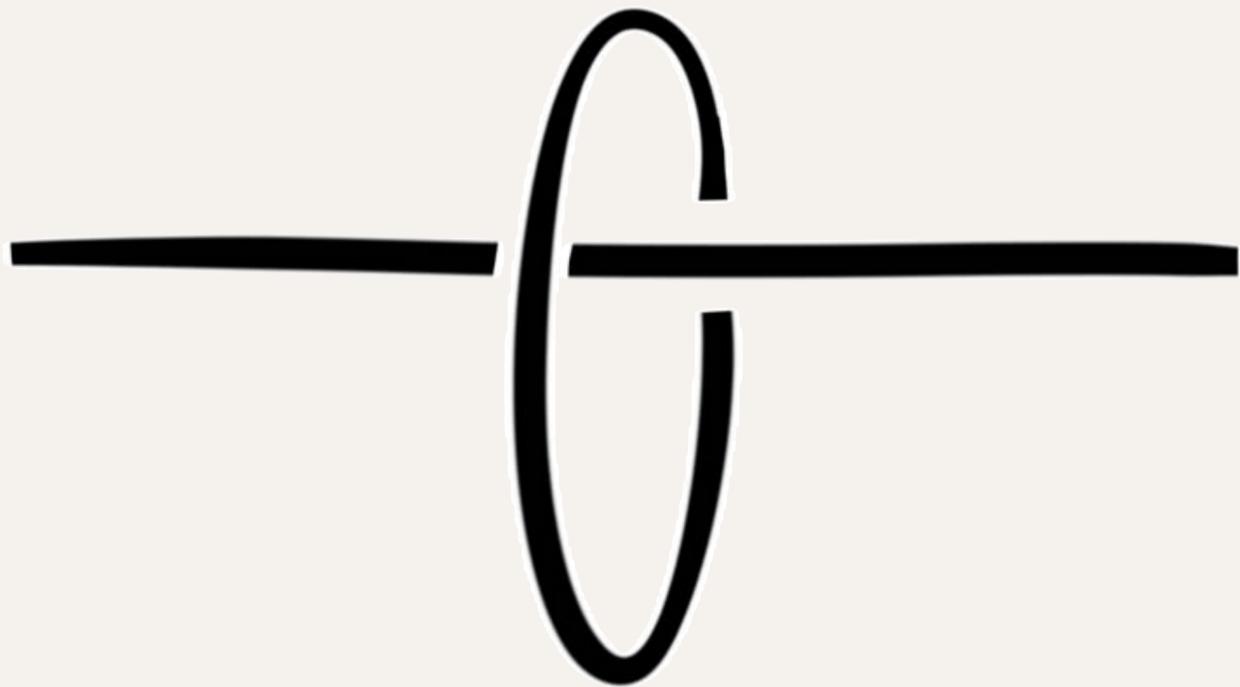
# Untying Knots

Knitted fabric all falls apart!

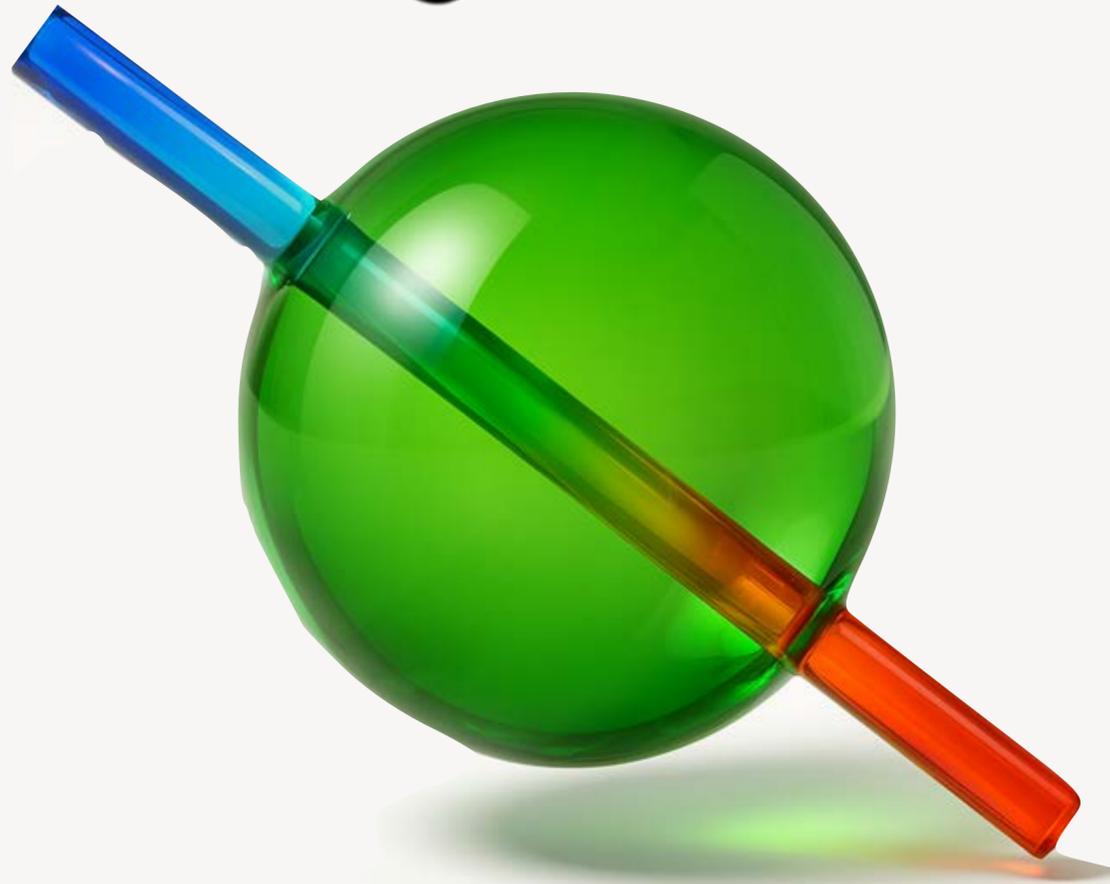
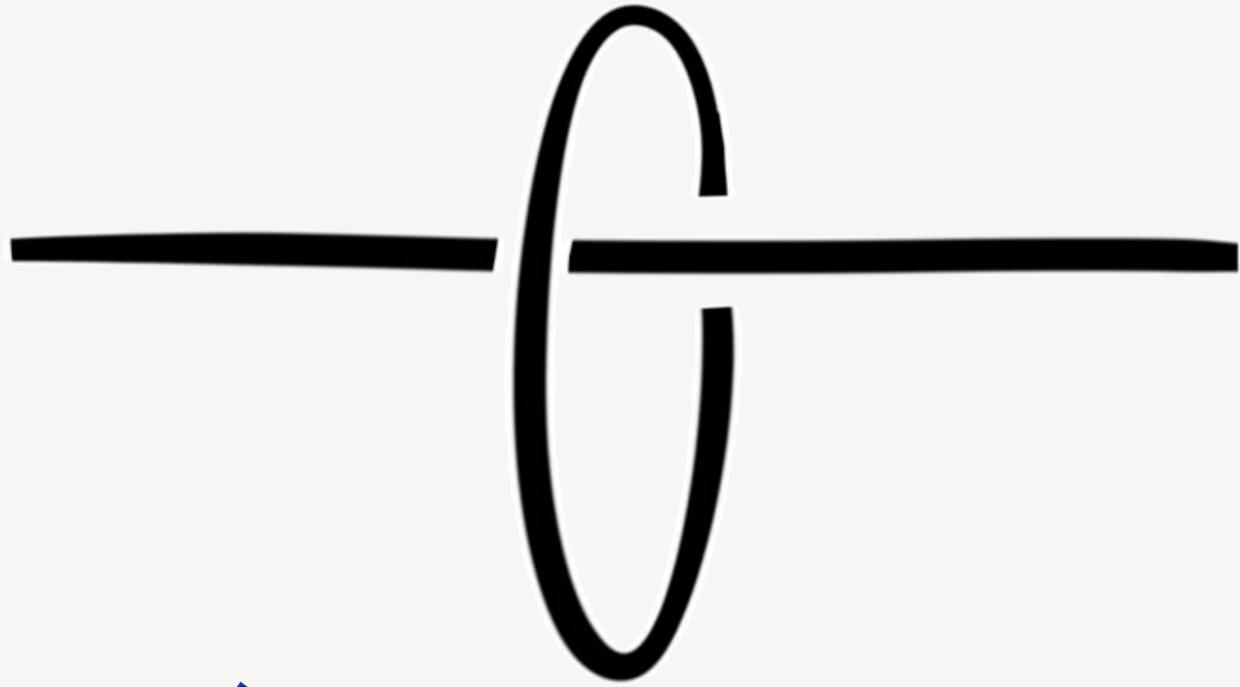


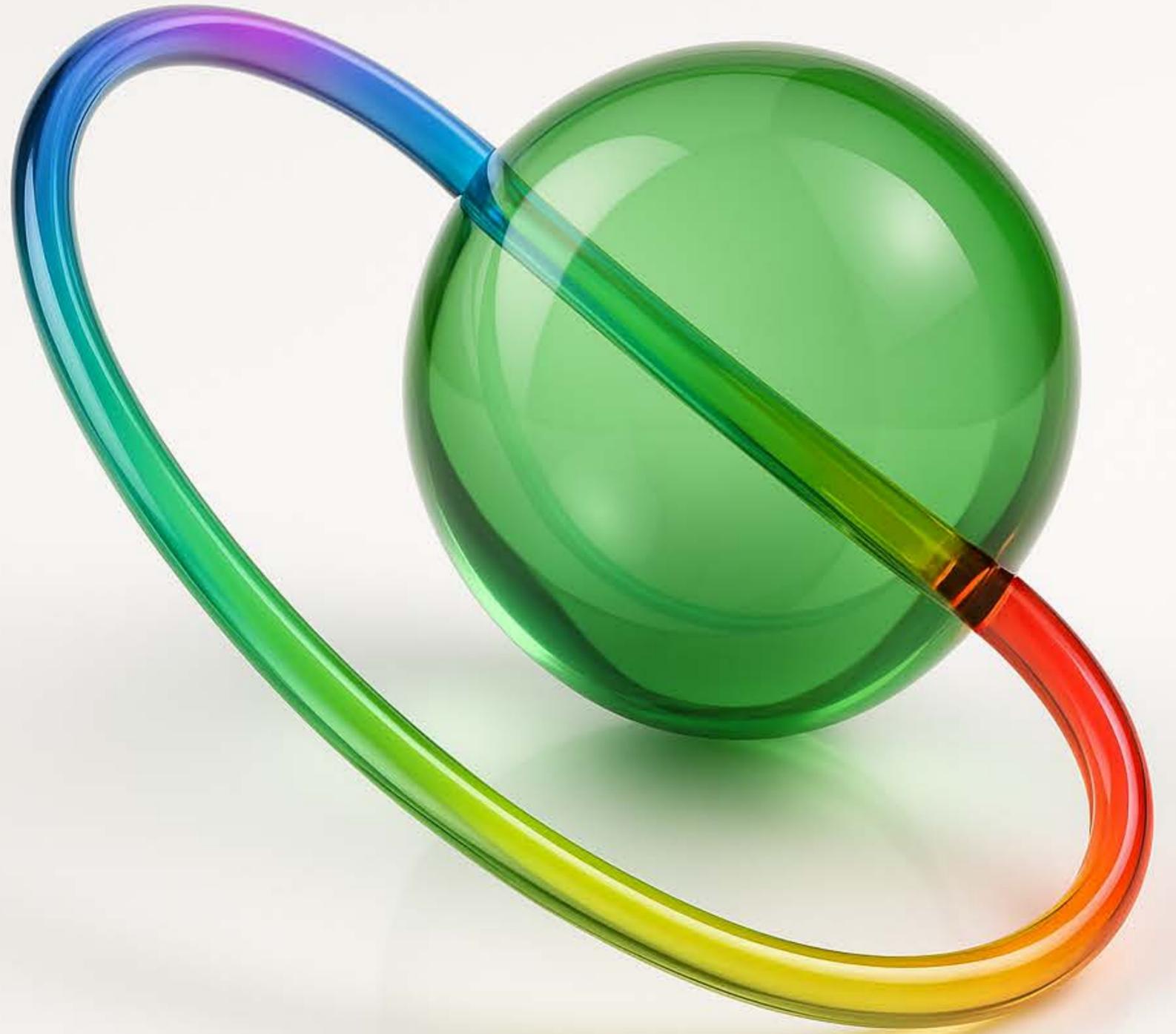
Weird and Wonderful

# **Creations in the 4th dimension**



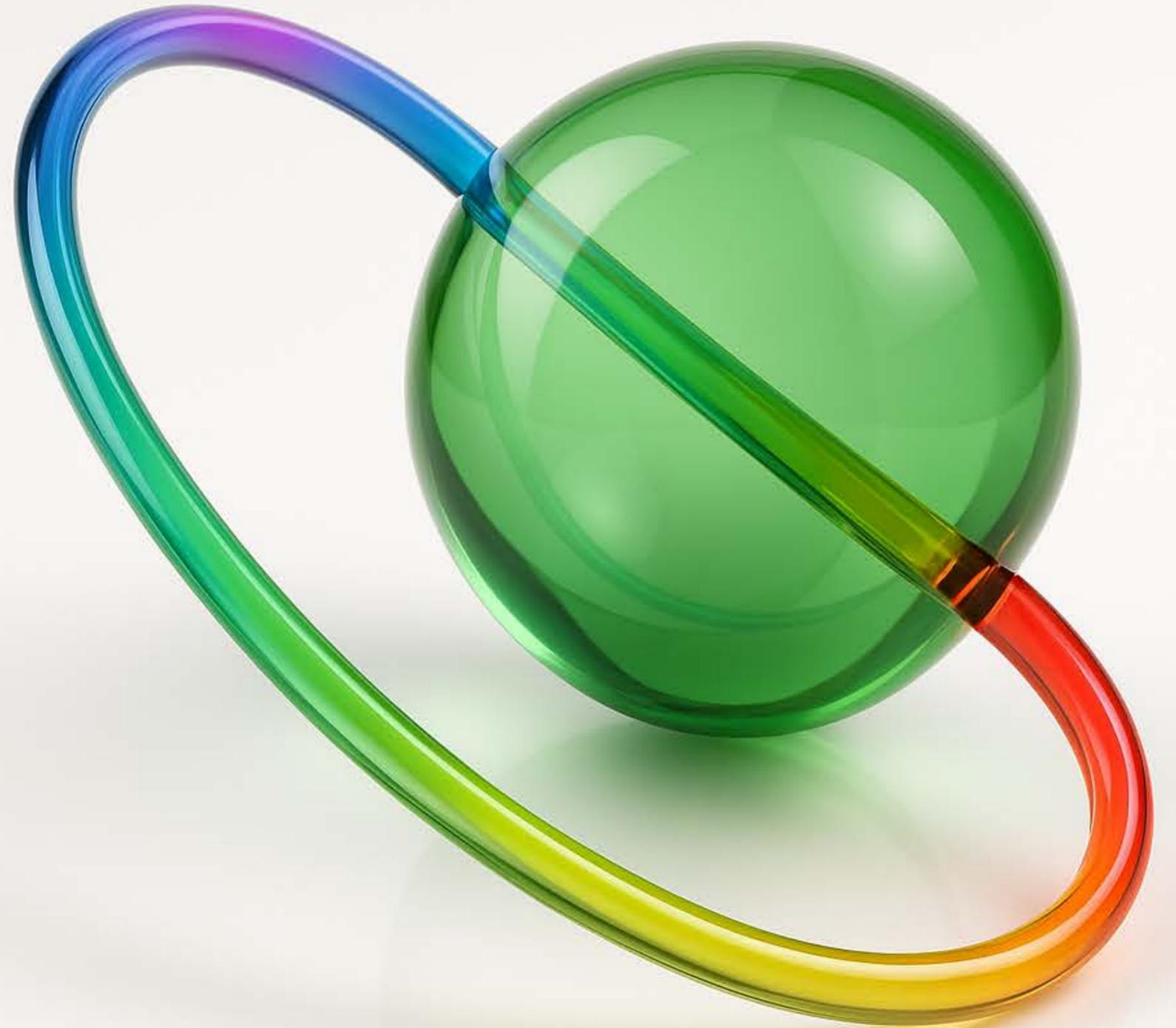






This is a nontrivial  
closed loop in the  
complement of a 2  
sphere in  $\mathbb{R}^4$

In fact, it generates  
 $\pi_1(\mathbb{R}^4 \setminus S^2)$ !



There are *knotted circles* in  $\mathbb{R}^3$ ....

**Are there *knotted spheres* in  $\mathbb{R}^4$ ?**

We can distort the sphere topologically if it helps.

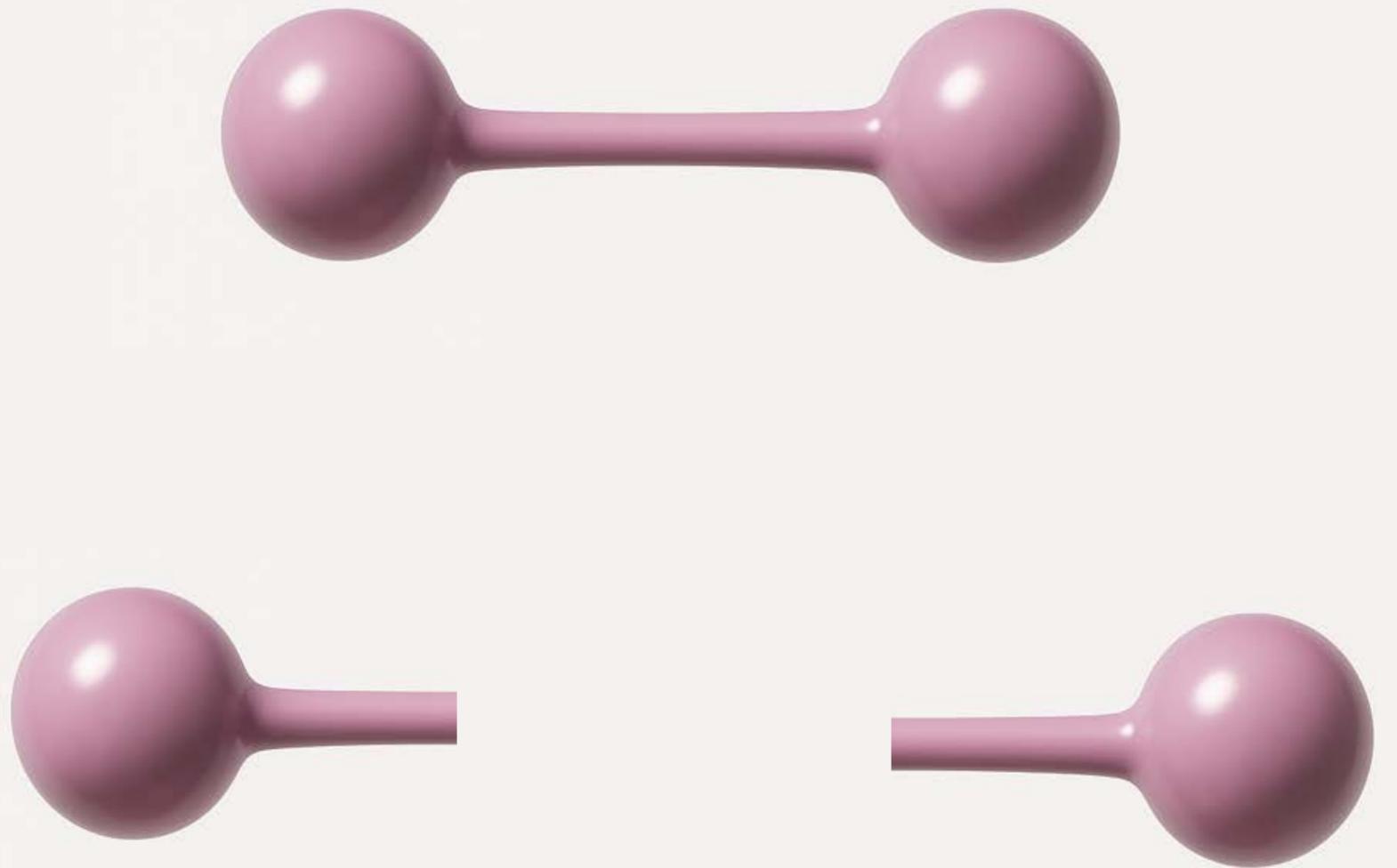
We can even start by knotting a 'dumbbell' and then '*inflating*'.



There are *knotted circles* in  $\mathbb{R}^3$ ....

**Are there *knotted spheres* in  $\mathbb{R}^4$ ?**

To knot a dumbbell, we can start with two spheres with 'tails', and eventually fuse them.



Everything starts  
in the same 3-  
dimensional slice,  
so is uniformly  
colored.



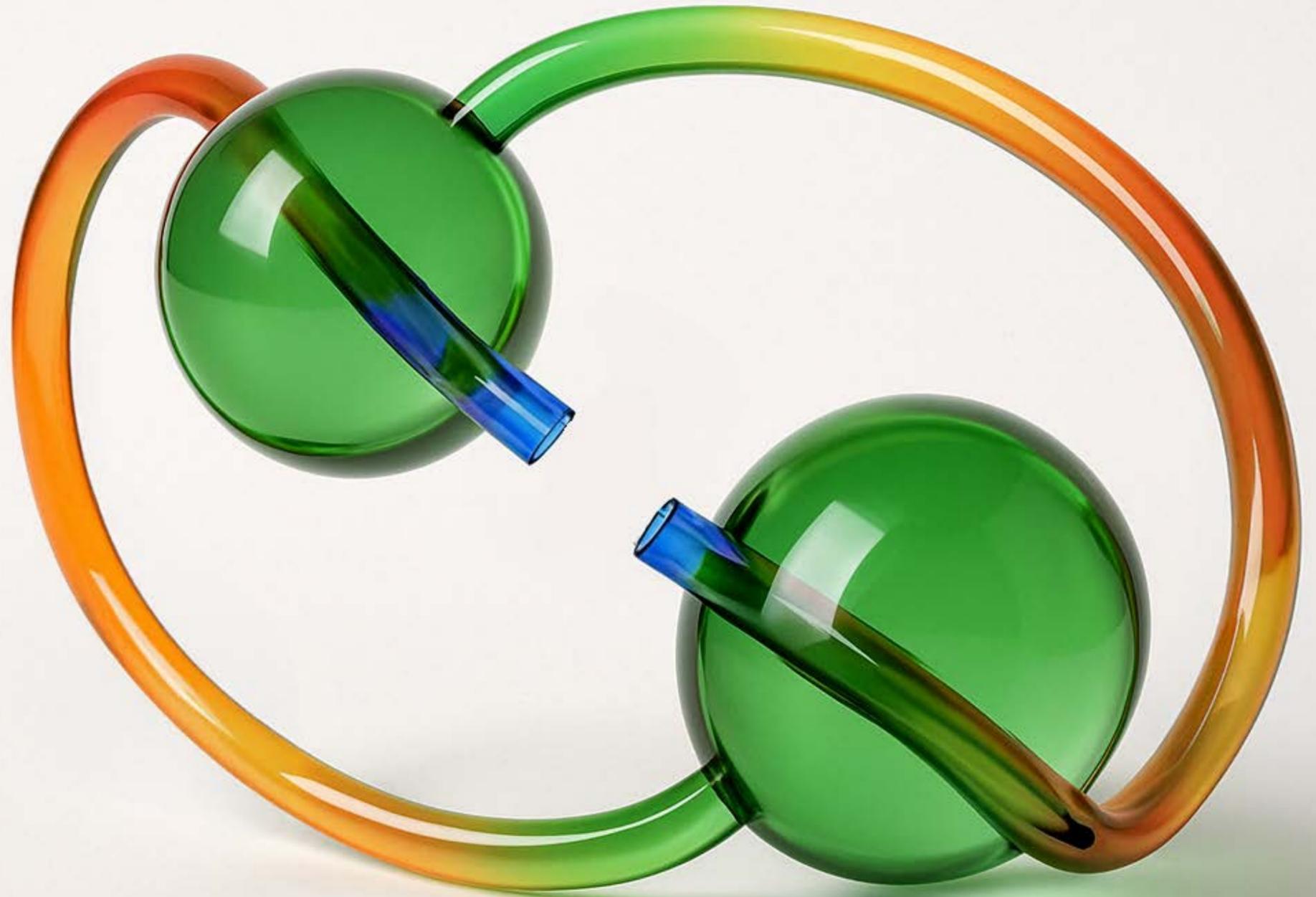
We extend the tails, remaining in the same 3-dimensional slice.



We now lift the tails up 'over the top' of the spheres, in the 4th direction.



We now thread  
the tails through  
the spheres,  
entering above  
and leaving  
below.



The tails are now below the spheres at the same level, so we can 'fuse them'



Now: inflate from  
a dumbbell to a  
sphere!



This sphere is knotted: there is no ambient isotopy of  $\mathbb{R}^4$  taking it to the standard embedding.



This sphere is knotted: there is no ambient isotopy of  $\mathbb{R}^4$  taking it to the standard embedding.

*Idea; compute the fundamental group of its complement.*



**Thanks!**

